

13 Definitions of the symmetric functions

13.1 The power sum symmetric functions p_μ

Define p_r for $r \in \mathbb{Z}_{\geq 0}$ by

$$p_r = x_1^r + x_2^r + \cdots + x_n^r \quad \text{and define} \quad p_\nu = p_{\nu_1} p_{\nu_2} \cdots p_{\nu_\ell},$$

for a sequence $\nu = (\nu_1, \dots, \nu_\ell)$ of positive integers.

13.2 The elementary symmetric functions e_μ

Define e_r for $r \in \mathbb{Z}_{\geq 0}$ by

$$\sum_{r \in \mathbb{Z}_{\geq 0}} e_r z^r = \prod_{i=1}^n (1 + x_i z) \quad \text{and define} \quad e_\nu = e_{\nu_1} e_{\nu_2} \cdots e_{\nu_\ell},$$

for a sequence $\nu = (\nu_1, \dots, \nu_\ell)$ of positive integers.

13.3 The homogeneous symmetric functions h_μ

Define h_r for $r \in \mathbb{Z}_{\geq 0}$ by

$$\sum_{r \in \mathbb{Z}_{\geq 0}} h_r z^r = \prod_{i=1}^n \frac{1}{1 - x_i z} \quad \text{and define} \quad h_\nu = h_{\nu_1} h_{\nu_2} \cdots h_{\nu_\ell},$$

for a sequence $\nu = (\nu_1, \dots, \nu_\ell)$ of positive integers.

13.4 The little q 's

Following [Mac] (Ch. III (2.10)), define q_r for $r \in \mathbb{Z}_{\geq 0}$ by

$$\sum_{r \in \mathbb{Z}_{\geq 0}} q_r z^r = \prod_{i=1}^n \frac{1 - tx_i z}{1 - x_i z} \quad \text{and define} \quad q_\nu = q_{\nu_1} q_{\nu_2} \cdots q_{\nu_\ell},$$

for a sequence $\nu = (\nu_1, \dots, \nu_\ell)$ of positive integers. In plethystic notation CHECK THIS

$$q_\nu = e_\nu[X(t-1)] \quad \text{and} \quad e_\nu = q_\nu\left[\frac{X}{1-t}\right].$$

13.5 The little g 's

For a symbol a define the infinite product

$$(a; q)_\infty = (1-a)(1-aq)(1-aq^2) \cdots$$

Define g_r for $r \in \mathbb{Z}_{\geq 0}$ by

$$\sum_{r \in \mathbb{Z}_{\geq 0}} g_r z^r = \prod_{i=1}^n \frac{(tx_i z; q)_\infty}{(x_i z; q)_\infty} \quad \text{and define} \quad g_\nu = g_{\nu_1} g_{\nu_2} \cdots g_{\nu_\ell},$$

for a sequence $\nu = (\nu_1, \dots, \nu_\ell)$ of positive integers.

13.6 The nonsymmetric Macdonald polynomials E_μ

Let $\mathbb{C}[X] = \mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$. The symmetric group S_n acts on $\mathbb{C}[X]$ by permuting $x_1, \dots, x_n$. Let

$$\mathbb{C}[X]^{S_n} = \{g \in \mathbb{C}[X] \mid \text{if } w \in S_n \text{ then } wg = g\} \quad \text{the ring of symmetric functions.}$$

Letting $s_1, \dots, s_{n-1}$ denote the simple transpositions in S_n ,

$$(s_i f)(x_1, \dots, x_n) = f(x_1, \dots, x_{i-1}, x_{i+1}, x_i, x_{i+2}, \dots, x_n).$$

For $f \in \mathbb{C}[X]$ and $i \in \{1, \dots, n-1\}$ define

$$\partial_i f = \frac{f - s_i f}{x_i - x_{i+1}}.$$

Let $\mathbb{Z}_{\geq 0}^n$ denote the set of length n sequences $\mu = (\mu_1, \dots, \mu_n)$ of nonnegative integers (sometimes called the set of weak compositions). Define E_μ for $\mu \in \mathbb{Z}_{\geq 0}^n$ by setting $E_{(0,0,\dots,0)} = 1$ and using the following recursions:

- (1) If $\mu_i > \mu_{i+1}$ then $E_{s_i \mu} = \left(\partial_i x_i - t x_i \partial_i + \frac{(1-t)q^{\mu_i - \mu_{i+1}} t^{v_\mu(i) - v_\mu(i+1)}}{1 - q^{\mu_i - \mu_{i+1}} t^{v_\mu(i) - v_\mu(i+1)}} \right) E_\mu$,
where $v_\mu \in S_n$ is minimal length such that $v_\mu \mu$ is weakly increasing, and
- (2) $E_{(\mu_n+1, \mu_1, \dots, \mu_{n-1})} = q^{\mu_n} x_n E_\mu(x_2, \dots, x_n, q^{-1} x_1)$.

Explicitly, the permutation $v_\mu \in S_n$ which is minimal length such that $v_\mu \mu$ is weakly increasing is given by

$$v_\mu(i) = 1 + \#\{i' \in \{1, \dots, i-1\} \mid \mu_{i'} \leq \mu_i\} + \#\{i' \in \{i+1, \dots, n\} \mid \mu_{i'} < \mu_i\}.$$

13.7 The symmetric Macdonald polynomials P_λ

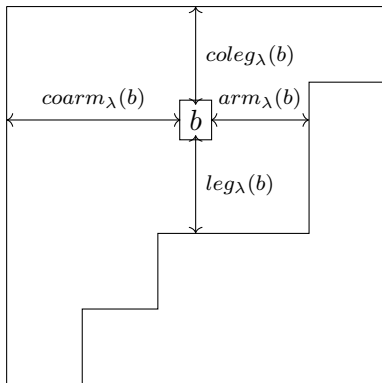
Let $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}_{\geq 0}^n$ with $\lambda_1 \geq \dots \geq \lambda_n$. Define

$$P_\lambda(q, t) = \frac{1}{W_\lambda(t)} \sum_{w \in S_n} w \left(E_\lambda \prod_{i < j} \frac{x_i - t x_j}{x_i - x_j} \right),$$

where $W_\lambda(t)$ is the appropriate constant which makes the coefficient of x^λ equal to 1 in $P_\lambda(q, t)$.

13.8 The big J s and the big Q s

Let λ be a partition and let λ' denote the conjugate partition to λ . Following, [Mac, VI (6.14)] for a box $b = (i, j)$ in λ define



$$\text{coleg}_\lambda(b) = i - 1,$$

$$\text{coarm}_\lambda(b) = j - 1, \quad b = (i, j), \quad \text{arm}_\lambda(b) = \lambda_i - j,$$

$$\text{leg}_\lambda(b) = \lambda'_j - i.$$

The *hook length* $h(b)$ and the *content* $c(b)$ of the box b are defined by

$$h(b) = \text{arm}_\lambda(b) + \text{leg}_\lambda(b) + 1 \quad \text{and} \quad c(b) = \text{coarm}_\lambda(b) - \text{coleg}_\lambda(b).$$

Define the *upper and lower hooks of a box* and the *upper and lower hook products of a partition* by

$$\begin{aligned} h_\lambda^*(b) &= 1 - q^{\text{arm}_\lambda(b)+1} t^{\text{leg}_\lambda(b)}, & h_\lambda^*(b) &= 1 - q^{\text{arm}_\lambda(b)} t^{\text{leg}_\lambda(b)+1}, \\ h_\lambda^* &= \prod_{b \in \lambda} h_\lambda^*(b), & h_\lambda^* &= \prod_{b \in \lambda} h_\lambda^*(b), \end{aligned}$$

The *integral form Macdonald polynomials* J_μ and the *dual Macdonald polynomials* Q_μ are given by [Mac] (8.3) and (8.11)]:

$$J_\mu(q, t) = h_\mu^* P_\mu(q, t) \quad \text{and} \quad Q_\mu(q, t) = \frac{h_\mu^*}{h_\mu^*} P_\mu(q, t).$$

13.9 The fermionic Macdonald polynomials $A_{\lambda+\delta}$

For $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}_{\geq 0}^n$ with $\lambda_1 \geq \dots \geq \lambda_n$ define

$$\lambda + \delta = (\lambda_1 + n - 1, \lambda_2 + n - 2, \dots, \lambda_{n-1} + 1, \lambda_n)$$

and

$$A_{\lambda+\delta}(q, t) = \left(\prod_{i < j} \frac{x_i - tx_j}{x_i - x_j} \right) \sum_{w \in S_n} (-1)^{\ell(w)} w E_{\lambda+\delta}.$$

Theorem 13.1. (*Weyl character formula for Macdonald polynomials*)

$$A_\delta(q, t) = \prod_{i < j} (x_i - tx_j) \quad \text{and} \quad P_\lambda(q, qt) = \frac{A_{\lambda+\delta}(q, t)}{A_\delta(q, t)}.$$

13.10 The Schurs s_λ and the Big Schurs S_λ

The *Schur functions* s_λ and the *Big Schurs* S_λ are given in [Mac] Ch. I (7.7) and Ch. VI (8.9)] by the formulas

$$s_\lambda = \sum_{\rho} \frac{1}{z_\rho} \chi_{S_n}^\lambda(\rho) p_\rho \quad \text{and} \quad S_\lambda = S_\lambda(x; t) = \sum_{\rho} \frac{1}{z_\rho} \chi_{S_n}^\lambda(\rho) \left(\prod_{i=1}^{\ell(\rho)} (1 - t^{\rho_i}) \right) p_\rho$$

where p_ρ is the power sum symmetric function and $\chi_{S_n}^\lambda$ are the irreducible characters of the symmetric group. In plethystic notation

$$S_\lambda = s_\lambda[X(1-t)] \quad \text{and} \quad s_\lambda = S_\lambda\left[\frac{X}{1-t}\right].$$

13.11 The modified Macdonald polynomials $\tilde{H}_\lambda(x; q, t)$

Define $K_{\lambda\mu}(q, t)$ and the *modified Macdonald polynomials* $\tilde{H}_\mu$ by the formulas

$$J_\mu = \sum_{\lambda} K_{\lambda\mu}(q, t) S_\lambda \quad \text{and} \quad \tilde{H}_\mu = \sum_{\lambda} t^{n(\mu)} K_{\lambda\mu}(q, t^{-1}) s_\lambda. \quad (\text{modMacdefn})$$

In other words, change J_μ to $\tilde{H}_\mu$ by changing S_λ to s_λ , changing t to t^{-1} and multiplying by an overall factor of $t^{n(\mu)}$. This buries all the plethystic substitution into the switch from S_λ to s_λ . Write

$$\tilde{K}_{\lambda\mu}(q, t) = t^{n(\mu)} K_{\lambda\mu}(q, t^{-1}) \quad \text{so that} \quad \tilde{H}_\lambda(q, t; X) = \sum_{\mu} \tilde{K}_{\lambda\mu}(q, t) s_{\mu}$$

The relation (modMacdefn) is not disssimilar to the relation

$$q_{\mu} = \sum_{\lambda} K_{\lambda\mu} S_{\lambda}. \quad \text{and} \quad h_{\mu} = \sum_{\lambda} K_{\lambda\mu} s_{\lambda}, \quad \text{where} \quad K_{\lambda\mu} = K_{\lambda\mu}(0, 1).$$

Remark 13.2. François Bergeron might define the *modified Macdonald polynomials* $\tilde{H}_{\mu} = \tilde{H}_{\mu}(q, t; x)$, using plethystic notation, by

$$\tilde{H}_{\mu}(q, t; X) = t^{n(\mu)} P_{\mu}\left(\frac{X}{1 - t^{-1}}; q, t\right) \prod_{c \in \mu} (q^{a(c)} - t^{-(l(c)+1)}). \quad \square$$

13.12 Transition matrices $\chi(t)$, $K(q, t)$, $Z(q, t)$, $\Psi(q, t)$ and $\mathcal{K}(q, t)$

Define $\chi_{\lambda\nu}(t)$ by

$$S_{\lambda} = \sum_{\nu} \chi_{\lambda\nu}(t) m_{\nu}.$$

Since $\chi_{\lambda\nu} = \langle S_{\lambda}(t), q_{\nu}(t) \rangle_{0,t}$ and $\langle q_{\nu}(t), m_{\mu} \rangle_{0,t} = \delta_{\nu\mu}$ and $\langle S_{\lambda}(t), s_{\mu} \rangle_{0,t} = \delta_{\lambda\mu}$ then

$$q_{\nu}(t) = \sum_{\lambda} \chi_{\lambda\nu}(t) s_{\lambda},$$

Define $K_{\lambda\nu}(q, t)$ and $Z_{\lambda\mu}(q, t)$ by

$$J_{\mu}(q, t) = \sum_{\lambda} K_{\lambda\mu}(q, t) S_{\lambda}(t) \quad \text{and} \quad J_{\lambda}(q, t) = \sum_{\mu} Z_{\lambda\mu}(q, t) s_{\mu}.$$

Define $\Psi_{\mu\nu}(q, t)$ and $\mathcal{K}_{\lambda\mu}(q, t)$ by

$$J_{\mu}(q, t) = \sum_{\nu} \Psi_{\mu\nu}(q, t) m_{\nu} \quad \text{and} \quad J_{\mu}(q, qt) = \sum_{\lambda} \mathcal{K}_{\lambda\mu}(q, t) J_{\lambda}(q, t).$$

Remark 13.3. Relations: $\Psi(q, t) = Z(q, t)K(0, 1)$ and $\Psi(q, t) = K(q, t)^t \chi(t)$. Since

$$s_{\lambda} = \sum_{\mu} K_{\lambda\mu}(0, 1) m_{\mu}$$

then

$$\Psi_{\lambda\nu}(q, t) = \sum_{\mu} Z_{\lambda\mu}(q, t) K_{\mu\nu}(0, 1), \quad \text{and} \quad \Psi_{\mu\nu}(q, t) = \sum_{\lambda} K_{\lambda\mu}(q, t) \chi_{\lambda\nu}(t). \quad \square$$

Remark 13.4. A difference equation: $D_t \Psi = \mathcal{K} \Psi$ so that $\mathcal{K}$ is a connection matrix! Since

$$D_t \Psi = \Psi(q, qt) = \mathcal{K}(q, t) \Psi(q, t) = \mathcal{K} \Psi \quad \text{and} \quad D_t Z = Z(q, qt) = \mathcal{K}(q, t) Z(q, t) = \mathcal{K} Z,$$

then Ψ and Z are both solutions of the same difference equation, but with different initial conditions,

$$\Psi(q, q) = K(0, 1) \quad \text{and} \quad Z(q, q) = \text{id}. \quad \square$$

References

- [AAR] G. Andrews, R. Askey, R. Roy, *Special functions*, Encyclopedia Math. Appl. **71** Cambridge University Press 1999. xvi+664 pp. ISBN:0-521-62321-9 ISBN:0-521-78988-5 MR1688958
- [BS17] Bump, Daniel and Schilling, Anne, *Crystal bases. Representations and combinatorics*, World Scientific 2017. xii+279 pp. ISBN:978-981-4733-44-1 MR3642318.
- [HH08] D. Flath, T. Halverson, K. Herbig, *The planar rook algebra and Pascal's triangle*, Enseign. Math. (2) **55** (2009) 77 - 92, MR2541502, arXiv:0806.3960.
- [HR95] T. Halverson and A. Ram, Characters of algebras containing a Jones basic construction: the Temperley-Lieb, Okada, Brauer, and Birman-Wenzl algebras Adv. Math. **116** (1995) 263-321, MR1363766s
- [Mac] I.G. Macdonald, *Symmetric functions and Hall polynomials*, Second edition, Oxford Mathematical Monographs, Oxford University Press, New York, 1995. ISBN: 0-19-853489-2, MR1354144. 13.4 13.8 13.10
- [Mac03] I.G. Macdonald, *Affine Hecke Algebras and Orthogonal Polynomials*, Cambridge Tracts in Mathematics **157** Cambridge University Press, Cambridge, 2003. MR1976581.
- [NY95] A. Nakayashiki and Y. Yamada, *Kostka polynomials and energy functions in solvable lattice models*, Selecta Math. (N.S.) **3** (1997) 547-599, MR1613527, arXiv:q-alg/9512027. 5.2
- [Nou23] M. Noumi, *Macdonald polynomials – Commuting family of q -difference operators and their joint eigenfunctions*, Macdonald polynomials—commuting family of q -difference operators and their joint eigenfunctions. Springer Briefs in Mathematical Physics **50** Springer 2023 viii+132 pp. ISBN:978-981-99-4586-3 ISBN:978-981-99-4587-0 MR4647625
- [St86] R.P. Stanley, *Enumerative combinatorics*,
Volume 1, Second edition Cambridge Stud. Adv. Math. **49** Cambridge University Press, Cambridge 2012 xiv+626 pp. ISBN:978-1-107-60262-5 MR2868112
Enumerative combinatorics. Vol. 2, Second edition Cambridge Stud. Adv. Math. **208** Cambridge University Press 2024, xvi+783 pp. ISBN:978-1-009-26249-1, ISBN:978-1-009-26248-4, MR4621625
- [Weh90] K.H. Wehrhahn, *Combinatorics. An Introduction*, Undergraduate Lecture Notes in Mathematics **1** Carslaw publications 1990, 162 pp. ISBN 18753990038