# Draft materials for Advanced Discrete Math under construction

# Arun Ram email: aram@unimelb.edu.au

# January 23, 2025

#### Abstract

Thiese are noes in preparation for teaching Advanced Discrete Mathematics MAST90030 at University of Melbourne in 2025.

Key words—symmetric functions, crystals, binomial theorems, and hypergeometric functions

# Contents

1	Intr	roduction	4
	1.1	The background	4
	1.2	The plan	4
	1.3	Possible assignments	5
2	In p	preparation Exam problem list	6
3	Lec	tures	13
	3.1	Week 1	13
		3.1.1 Lecture 1: Examples of Lattices and Bratelli diagrams	13
		3.1.2 Lecture 2: The binomial theorem and the exponential	15
		3.1.3 Lecture 3: The Symmetric group $S_n$	17
	3.2	Week 2: Posets and maximal chains	18
		3.2.1 Lecture 4: Posets, lattices and modular lattices	18
		3.2.2 Lecture 5: Maximal chains in $\mathbb{G}(\mathbb{F}_q^n)$	18
		3.2.3 Lecture 6: Maximal chains in $\mathbb{S}(n)$	19
	3.3	Week 3: Fundamental symmetric functions	20
		3.3.1 Lecture 7: The pheqg functions	20
		3.3.2 Lecture 8: The power sum symmetric functions	20
		3.3.3 Lecture 9: Binomial theorems	20
		3.3.4 Lecture 9: Wronski identities, Jacobi-Trudi and Giambelli formulas	20
	3.4	Week 4: Crystals and Schur functions	21
		3.4.1 Lecture 10: Crystals	21
		3.4.2 Lecture 11: Words and SSYTs	21
		3.4.3 Lecture 12: The Weyl character formula	22
	3.5	Week 5: Symmetric functions, crystals and RSK	22
		3.5.1 Lecture 13: The Littlewood-Richardson rule	22

AMS Subject Classifications: Primary 05E05; Secondary 20G99.

		3.5.2 Lecture 14: The combinatorial R-matrix and RSK	23				
		3.5.3 Lecture 15: Pieri rules and Murnaghan-Nakayama rules	24				
	3.6	Week 6: Catalan combinatorics	24				
		3.6.1 Lecture 16: q-t-Catalan and Dyck paths	24				
		3.6.2 Lecture 17: $\nabla e_n$ and diagonal coinvariants	25				
			25				
	3.7	• •	25				
	0.,		25				
			$\frac{25}{25}$				
			$\frac{20}{26}$				
	90		$\frac{20}{26}$				
	3.8						
			26				
		~ ·	26				
			26				
	3.9	1 0	26				
		1 0	26				
		3.9.2 Lecture 26: Modified Hall-Littlewood polynomials	26				
		3.9.3 Lecture 27: AFL Grand Final Eve Holiday	26				
	3.10	Week 10: More Catalan combinatorics	26				
		3.10.1 Lecture 25: The Temperley-Lieb algebra	26				
		3.10.2 Lecture 26: The noncrossing partition lattice	26				
			26				
	3.11		27				
			27				
		*	$\frac{-}{27}$				
			$\frac{-}{27}$				
			$\frac{27}{27}$				
			$\frac{27}{27}$				
		5.11.9 Decidie 10. Reflection groups	۷ ۱				
1	Wee	Week 1: Partitions, binomial coefficients, symmetric group					
_	4.1		28				
	4.2	9	$\frac{20}{29}$				
	1.2	*	$\frac{29}{29}$				
			$\frac{20}{30}$				
		*	30				
		•					
	4.9		30				
	4.3	The symmetric group	31				
ξ.	Woo	eks 2: Posets and maximal chains	32				
,	5.1		$\frac{32}{32}$				
	9.1		$\frac{32}{33}$				
			33				
	F 0		34				
	5.2	·	34				
		* <b>.</b>	35				
	5.3	1 /	36				
		* /	36				
		5.3.2 Projective space and cosets	37				

	5.3.3 Counting and the Hecke	algebra	37					
	5.4 The subset lattice $\mathbb{S}(n)$		38					
	, ,		38					
	* * *		39					
	-		36					
c	C. W. 1 9. Consulting and the	C	4 1					
6	3 v							
	9		41					
			41					
			42					
	6.4 Formulas in terms of sequences		43					
	6.5 Formulas in terms of monomial	symmetric functions	44					
	6.6 The Cauchy-Macdonald kernel		44					
	6.7 Binomial theorems		45					
	6.8 Monomial expansion of $\tilde{g}_{\lambda}$ , $\tilde{q}_{\lambda}$ , $h_{\lambda}$	$_{\lambda}$ and $e_{\lambda}$	46					
7	7 Week 4. Constale and DCV		17					
7	<b>U</b>							
			47					
			49					
			49					
	-		49					
	7.5 HW for Crystals and RSK		49					
8	8 Week 5: Products of symmetric	Week 5: Products of symmetric functions						
	· ·		5(					
			5(					
			51					
9	9 Week 6: Catalan algebraic comb	inatorics 5	52					
10	10 Week 7: $G/B$ for $GL_n(\mathbb{F}_q)$	5	53					
		$\mathcal{L}_n(\mathbb{F}_q)$	53					
		• •	54					
	9		55					
			5.5					
	10.9 The Brahav order		<i>)</i> (					
11	11 Week 8: Moment graphs and Ka		56					
	11.1 Lecture 22: Moment graphs and	I $H_T(G/B)$	56					
	11.2 Lecture 23: Sheaves on moment	graphs	57					
	11.3 Lecture 24: Kazhdan-Lusztig po	olynomials	58					
19	12 Week 9: Macdonald and Koornw	vinder polynomials	58					
14		- •	58					
	12.2 Koornwinger polynomials		59					
13	13 Definitions of the symmetric fun	actions 6	3(					
	13.1 The power sum symmetric funct	tions $p_{\mu}$	60					
		,	6(					
		•	ദ					

13.4 The little <i>q</i> 's
13.5 The little $g$ 's
13.6 The nonsymmetric Macdonald polynomials $E_{\mu}$
13.7 The symmetric Macdonald polynomials $P_{\lambda}$
13.8 The big $J$ s and the big $Q$ s
13.9 The fermionic Macdonald polynomials $A_{\lambda+\delta}$
13.10The Schurs $s_{\lambda}$ and the Big Schurs $S_{\lambda}$
13.11The modified Macdonald polynomials $\tilde{H}_{\lambda}(x;q,t)$
13.12Transition matrices $\chi(t)$ , $K(q,t)$ , $Z(q,t)$ , $\Psi(q,t)$ and $\mathcal{K}(q,t)$

# 1 Introduction

# 1.1 The background

I've been working as a researcher in advanced discrete mathematics for about 35 years (since 1988). A few years ago I was shocked to discover that we have a course with the title Advanced Discrete Mathematics here in the mathematics department of University of Melbourne. I had never mentally registered it because I am officially in the Pure Mathematics section of the department and this course is part of the Mathematical Physics section of the department.

The discovery of this course got me thinkng. Even though I've worked in this field for a long time and know a few things about it, I have never taught such a course, ever, in my whole career. I thought to myself: perhaps this is something that I would enjoy teaching and perhaps I could make a positive contribution for our students – I think, actually, maybe, I would like to teach course this once before I retire. So, I put my hand up, and listed it as a teaching preference for the following year's teaching allocations.

It didn't happen right away, but in the natural progression of administrative cycles, the emperors stirred up and reshuffled the ministry a bit, as they do, and I found myself assigned to teach this course in 2025. So I thought I'd think about it more realistically. What, exactly, would I like to show the students, in my one chance to teach this course? I've had a very stimulating research career in this field, with constant amazement and awe while tending the beautiful structures that we study, and I have one chance to show a few students our wondrous garden. How should I design the garden tour?

#### 1.2 The plan

Not suprisingly, once I actually started thinking about it, I realised that I'd have to give assignments and a final exam. For this I'd need to cook up some problems, for which I'd would enjoy "covering the content" behind those problems in my in class lectures.

So, the reality is that I need to decide what problems I will solve for them in class. So I started making some lists of problams, to get a feel, for myself, of what is "out there".

### 1.3 Possible assignments

Assignment 1: Write an introduction to Catalan numbers, including their definition, a closed, formula (with proof), recursion relations (with proof), and a formula for their generating function (with proof), and the fact that they count noncrossing matchings on an even number of vertices (with proof). You will be marked 40% on the quality and readability of your mathematical writing, 30% on your presentation, delivery and formatting, 20% on thoroughness and 5% on whether the answers are correct.

Assignment 2: Write a careful exposition of the proof of the Coxeter presentation of the symmetric group. Be sure to include definitions (of the symmetric group and the simple transpositions), state the theorem carefully, and write a clear, complete, careful proof of the theorem. You will be marked 40% on the quality and readability of your mathematical writing, 30% on your presentation, delivery and formatting, 20% on thoroughness and 5% on whether the answers are correct.

Assignment 3: Write up a careful check of the tables on pages 111 and 239-240 of Macdonald's book on symmetric functions. Make sure your exposition includes definitions of SSYTs, weight and charge and careful, readable, and easily followable exposition of your checks. Then give an exposition of the tables on pages 350-361, including their definitions, how they were computed, what can be noticed from them, and the expectations they generate. You will be marked 40% on the quality and readability of your mathematical writing, 30% on your presentation, delivery and formatting, 20% on thoroughness and 5% on whether the answers are correct.

**Assignment 4:** Give a 30 min talk on one of the following topics. You will be marked 50% on the preparation, clarity, organization, thoroughness and thoughtfulness of your handwritten notes for the talk and 50% on your delivery, boardwork, clarity, coherence, organization, elegance, audience engagement, audience learning, and audience inspiration from your live lecture.

- 1. Flag varieties
- 2. Schubert polynomials
- 3. Macdonald polynomials
- 4. R-matrices and vertex models
- 5. Free probability
- 6. The Bruhat order
- 7. Chevalley groups
- 8. Hecke algebras
- 9. Reflection groups
- 10. q-t-Catalan
- 11. q-t-Kostka
- 12. Card shuffling
- 13. Farahat-Higman
- 14. Brauer algebras
- 15. Partition algebras
- 16. Kronecker products
- 17. Plethysm
- 18. Schur-Wevl duality

- 19. Symmetric group representations and Murphy elements
- 20. Affine crystals
- 21. Hall algebras
- 22. Hypergeometric functions
- 23. Matroids
- 24. Nilpotent orbits
- 25. Hall-Littlewood polynomials and spherical functions
- 26. Littlewood-Richardson coefficients
- 27. Jack polynomials
- 28. Moment graphs
- 29. Kazhdan-Lusztig polynomials
- 30. The inifinite symmetric group
- 31. The affine Weyl group
- 32. Poset Laplacians
- 33. Stanley-Reisner rings
- 34. Chromatic quasisymmetric symmetric functions
- 35. polytopes, zonotopes, h-vectors and f-vectors
- 36. Loop erased walks
- 37. Combinatorics of the free Lie algebra and the partition algebra

# 2 In preparation Exam problem list

1. Prove that

$$(x+y)^k = \sum_{r=0}^k \binom{k}{r} x^r y^{k-r}.$$

2. Prove that

$$(x_1 + \dots + x_n)^k = \sum_{\lambda \in Y_n} f_{\lambda} s_{\lambda}.$$

3. Prove that

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n}{k-1}.$$

4. Let  $f_{\lambda}$  be the number of standard tableaux of shape  $\lambda$ . Prove that

$$f_{\lambda} = \sum_{\substack{\mu \subseteq \lambda \\ \lambda/\mu = \square}} f_{\mu}.$$

- 5. Prove that if xy = yx then  $e^{x+y} = e^x e^y$ .
- 6. Prove that

$$\log(1+z) = \sum_{k \in \mathbb{Z}_{>0}} (-1)^{k-1} \frac{1}{k} z^k \quad \text{and} \quad -\log(1-z) = \sum_{k \in \mathbb{Z}_{>0}} \frac{1}{k} z^k.$$

7. Prove that

$$\exp\left(\sum_{k\in\mathbb{Z}_{>0}}\frac{u^k}{k}\right) = \frac{1}{1-u} \quad \text{and} \quad \exp\left(\sum_{k\in\mathbb{Z}_{>0}}p_k(x)\frac{u^k}{k}\right) = \prod_{i=1}^n \frac{1}{1-x_iu}.$$

- 8. Define Young's lattice and prove that it is a lattice.
- 9. Let Y be Young's lattice and let  $\lambda \in Y$ . Give a bijection from the set of paths from  $\emptyset$  to  $\lambda$  to the set of standard tableaux of shape  $\lambda$ .
- 10. Let  $f_{\lambda}$  be the number of standard tableaux of shape  $\lambda$ . Prove that

$$f_{\lambda} = \frac{n!}{\prod_{b \in \lambda} (a(b) + l(b) + 1)}, \quad \text{where } a(b) = \# \operatorname{arm}_{\lambda}(b) \text{ and } l(b) = \# \operatorname{arm}_{\lambda}(b).$$

11. (Vadermonde determinant) Prove that

$$\det \begin{pmatrix} x_1^{n-1} & x_2^{n-1} & \cdots & x_n^{n-1} \\ x_1^{n-2} & x_2^{n-2} & \cdots & x_n^{n-2} \\ \vdots & & & \vdots \\ x_1 & x_2 & \cdots & x_n \\ 1 & 1 & \cdots & 1 \end{pmatrix} = \prod_{1 \le i < j \le n} (x_i - x_j).$$

12. (t-Vandermonde) Prove that

$$\sum_{w \in S_n} T_w x^{\rho} = \prod_{1 \le i < j \le n} (x_j - tx_i).$$

13. (Wronski's relations) Prove that

$$\sum_{i+j=k} (-1)^i e_i h_j = 0.$$

14. (q-t Wronski's relations) Prove that

$$\sum_{i+j=k} (-1)^i (t^i q^j - 1) e_i g_j = 0.$$

- 15. (a) Define the Koszul complex for  $\mathbb{C}^n$ .
  - (b) Show that the Koszul complex is a complex of  $GL_n(\mathbb{C})$  modules.
  - (c) Prove that the Koszul complex is exact.
  - (d) Compute the Euler characteristic of the Koszul complex.
- 16. Prove that

$$h_k = \sum_{n(\mu)+|\mu|=k} (-1)^{k=|\mu|} {|\mu| \choose \mu} e_{\mu}.$$

17. Prove that

$$e_k = \sum_{n(\mu)+|\mu|=k} (-1)^{k=|\mu|} {|\mu| \choose \mu} h_{\mu}.$$

18. (Newton's relations). Prove that

$$p_k - e_1 p_{k-1} + \dots + (-1)^{k-1} e_{k-1} p_1 + (-1)^k e_k = 0.$$

19. Prove that

$$p_k = \det \begin{pmatrix} e_1 & 1 & & & \\ 2e_2 & e_1 & 1 & & \\ \vdots & & & 1 & \\ (k-1)e_{k-1} & e_{k-2} & \cdots & e_1 & 1 \\ ke_k & e_{k-1} & \cdots & e_2 & e_1 \end{pmatrix}$$

- 20. Show that  $q_r(X_n; 0, t) = (-t)^{r-1}e_r$ , the elementary symmetric function.
- 21. Show that  $q_r(X_n; q, 0) = q^{r-1}h_r$ , the complete symmetric function.
- 22. Show that  $q_r(X_n; q, q) = q^{r-1}p_r$ , the power sum symmetric function.
- 23. Show that if f(t) is polynomial in t with roots  $\gamma_1, \ldots, \gamma_n$  then

the coefficient of 
$$t^r$$
 in  $f(t)$  is  $(-1)^r e_r(\gamma_1, \ldots, \gamma_n)$ .

24. Show that if A is an  $n \times n$  matrix with entries in  $\mathbb{C}$  with eigenvalues  $\gamma_1, \ldots, \gamma_n$  then the trace of the action of A on the rth exterior power of the vector space  $\mathbb{C}^n$  is

$$\operatorname{tr}(A, \Lambda^r(\mathbb{C}^n)) = e_r(\gamma_1, \dots, \gamma_n), \quad \text{so that}$$
  
 $\operatorname{tr}(A) = e_1(\gamma_1, \dots, \gamma_n), \quad \text{and} \quad \operatorname{det}(A) = e_n(\gamma_1, \dots, \gamma_n).$ 

25. Show that if A is an  $n \times n$  matrix with entries in  $\mathbb{C}$  with eigenvalues  $\gamma_1, \ldots, \gamma_n$  then the chatacteristic polynomial of A is

$$\det(A - t\mathrm{id}) = \sum_{r=0}^{n} (-1)^r e_{n-r}(\gamma_1, \dots, \gamma_n) t^r.$$

26. Show that

$$q_r = \sum_{1 \le i_1 \le \dots \le i_r \le n} (q - t)^{\operatorname{Card}\{j \mid i_j \le i_{j+1}\}} q^{\operatorname{Card}\{j \mid i_j = i_{j+1}\}} x_{i_1} x_{i_2} \cdots x_{i_r}.$$

27. Show that

$$q_r = \sum_{i_1 \le i_2 \le \dots \le i_k > i_{k+1} > \dots > i_r} q^{k-1} (-t)^{r-k} x_{i_1} \cdots x_{i_k} x_{i_{k+1}} \cdots x_{i_r}.$$

28. Show that

$$q_r = \sum_{\lambda \vdash r} (q - t)^{\ell(\lambda) - 1} q^{r - \ell(\lambda)} m_{\lambda}(x_1, \dots, x_n).$$

29. For an  $n \times \ell$  matrix  $a = (a_{ij})$  with entries from  $\mathbb{Z}_{\geq 0}$  let

$$rs(a) = (\mu_1, \dots, \mu_n),$$
 where  $\mu_i = \sum_{j=1}^{\ell} a_{ij}$  and  $\lambda_j = \sum_{i=1}^{n} a_{ij},$ 

so that rs(a) and cs(a) are the sequences of row sums and column sums of a, respectively. Define

$$x^{a} = x^{rs(a)} = \prod_{i=1}^{n} \prod_{j=1}^{\ell} (x_{i})^{a_{ij}}, \qquad y^{a} = y^{cs(a)} = \prod_{j=1}^{\ell} \prod_{i=1}^{n} (y_{j})^{a_{ij}}, \qquad \text{and}$$

$$\operatorname{wt}(a) = \frac{1}{(q-t)^{\ell(\lambda)}} \prod_{a_{ij} \neq 0} \left( (q-t)q^{a_{ij}-1} \right) = q^{|\lambda|-\ell(a)} (q-t)^{\ell(a)-\ell(\lambda)},$$

where  $\lambda = cs(a)$ ,  $\ell(a)$  is the number of nonzero entries in a,  $\ell(\lambda)$  is the number of nonzero entries in  $\lambda$ , and  $|\lambda|$  is the sum of the entries of  $\lambda$ . For a sequence of nonnegative integers  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  define

$$q_{\lambda} = q_{\lambda_1} \, q_{\lambda_2} \cdots q_{\lambda_{\ell}}.$$

For a sequence  $\mu = (\mu_1, \dots, \mu_n)$  of nonnegative integers let

$$A_{\mu\lambda} = \{ a \in M_{n \times \ell}(\mathbb{Z}_{>0}) \mid cs(a) = \lambda, \ rs(a) = \mu \}.$$

Show that

$$q_{\lambda} = \sum_{\mu} a_{\mu\lambda}(q, t) m_{\mu}$$
, where  $a_{\mu\lambda}(q, t) = \sum_{a \in A_{\mu\lambda}} \operatorname{wt}(a)$ ,

and the first sum is over partitions  $\mu$  such that  $|\mu| = |\lambda|$ .

#### 30. Show that

$$(t-s)q_r(X_n;t,s) + (q-t)(t-s) \left( \sum_{j=1}^{r-1} q_j(X_n;q,t) q_{r-j}(X_n;t,s) \right) + (q-t)q_r(X_n;q,t) = (q-s)q_r(X_n;q,s).$$

Use this identity to deduce that

$$q_r(X_n; q, t) + \left(\sum_{j=1}^{r-1} h_j(X_n) t^j q_{r-j}(X_n; q, t)\right) - h_r(X_n)[r]_{q,t} = 0$$

$$q_r(X_n; q, t) + \left(\sum_{j=1}^{r-1} e_j(X_n) (-q)^j q_{r-j}(X_n; q, t)\right) + (-1)^r e_r(X_n)[r]_{q,t} = 0$$

$$\sum_{j=0}^{r} (-t)^{r-j} [j]_{q,t} h_j(X_n) e_{r-j}(X_n) = (q-t) q_r(X_n; q, t).$$

and

$$rq_r(X_n; q, t) - \left(\sum_{j=1}^{r-1} p_j(X_n)(q^j - t^j)q_{r-j}(X_n; q, t)\right) - p_r(X_n)[r]_{q,t} = 0$$

and the Newton identities

$$kh_k = \sum_{i=1}^k p_i h_{k-i}$$
 and  $ke_k = \sum_{i=1}^k (-1)^{i-1} p_i e_{k-i}$ ,

31. Show that  $\omega(q_r(X_n;q,t)) = q_r(X_n;-t,-q)$  and deduce that  $\omega(p_k) = (-1)^{k-1}p_k$ .

- 32. Prove that  $\mathbb{Z}[x_1,\ldots,x_n]^{S_n}=\mathbb{Z}[e_1,\ldots,e_n]$ .
- 33. (a) Prove that  $\mathbb{Q}[x_1,\ldots,x_n]^{S_n}=\mathbb{Q}[p_1,\ldots,p_n]$ .
  - (b) Prove that  $\mathbb{Z}[x_1,\ldots,x_n]^{S_n} \neq \mathbb{Z}[p_1,\ldots,p_n]$ .
- 34. Prove that  $\mathbb{Z}[X]^{\text{det}} = a_{\rho} \mathbb{Z}[X]^{S_n}$ .
- 35. Prove that

$${}_{r+1}F_r\left[\begin{array}{c}\alpha_0,\alpha_1,\ldots,\alpha_r\\\beta_1,\ldots,\beta_r\end{array};z\right]=\lim_{q\to 1}\left({}_{r+1}\phi_r\left[\begin{array}{c}q^{\alpha_0},q^{\alpha_1},\ldots,q^{\alpha_r}\\q^{\beta_1},\ldots,q^{\beta_r}\end{array};q,z\right]\right).$$

36. (q-binomial theorem). Prove that

$$\frac{(az;q)_{\infty}}{(z;q)_{\infty}} = \sum_{k \in \mathbb{Z}_{>0}} \frac{(a;q)_k}{(q;q)_k} z^k.$$

37. (q-exponential function) Prove that

$$\frac{1}{(z;q)_{\infty}} = \sum_{k \in \mathbb{Z}_{>0}} \frac{1}{(q;q)_k} z^k \quad \text{and} \quad (z;q)_{\infty} = \sum_{k \in \mathbb{Z}_{>0}} \frac{(-1)^k q^{\binom{n}{2}}}{(q;q)_k} z^k.$$

38. (exponential functions) Prove that  $F=\exp(z)$  and  $\varphi=\exp_q(z)$  satisfy

$$\frac{dF}{dz} = F$$
 and  $\varphi(qz) = \varphi(z)$ .

39. (power functions) Prove that

$$_{1}\phi_{0}[a;q,z] = \frac{(az;q)_{\infty}}{(z;q)_{\infty}}$$
 and  $_{1}F_{0}[\alpha;z] = (1-z)^{-\alpha}$ .

40. (Power functions) Prove that  $\varphi = {}_1\phi_0[a;q,z]$  and  $F = {}_1F_0[\alpha;z] = (1-z)^{-\alpha}$  satisfy

$$(1 - zq^a)\varphi(qz) = (1 - z)\varphi(z)$$
 and  $\frac{dF}{dz} = -\frac{a}{z}F$ .

41. (Gamma functions). Let

$$\Gamma_q(r) = \frac{(q;q)_{r-1}}{(1-q)^{r-1}}$$
 and  $\Gamma(r) = r!$ .

Prove that

$$\Gamma_q(a+1) = [a]\Gamma_q(a)$$
 and  $\Gamma(a+1) = a\Gamma(a)$ .

Prove that

$$\Gamma(a) = \int_0^1 e^{-t} t^{a-1} dt$$

Prove that

$$\Gamma_q(x) = (1 - q)^{1 - x} \frac{(q; q)_{\infty}}{(q^x; q)_{\infty}}$$
 and  $\Gamma(z) = ?????$ 

42. (Beta functions) Let

$$\beta(x,y) = \frac{\Gamma(x)\Gamma(y)}{\Gamma(x+y)}$$
 and  $B(r,s) = \frac{\Gamma_q(r)\Gamma_q(s)}{\Gamma_q(r+s)}$ .

Show that

$$\beta(x,y) = \int_0^1 t^{x-1} (1-t)^y \frac{dt}{(1-t)} \quad \text{and} \quad B(r,s) = \int_0^1 x^{r-1} (qx;q)_{s-1} \ d_q x.$$

and that the last integral is equivalent to

$$\sum_{m \in \mathbb{Z}_{>0}} a^m \frac{q^{m+1}; q)_{\infty}}{(a^{-1}bq^m; q)_{\infty}} = \frac{(b; q)_{\infty}(q; q)_{\infty}}{(a; q)_{\infty}(a^{-1}b; q)_{\infty}}, \quad \text{where } a = q^r \text{ and } b = q^{r+s}.$$

43. (Gauss Hypergeometric function) Show that

$$_{2}F_{1}(a,b,c;z) = \frac{\Gamma(c)}{\Gamma(b)\Gamma(c-b)} \int_{0}^{1} t^{b-1} (1-t)^{c-b-1} (1-tx)^{-a} dt$$

and

$${}_{2}\varphi_{1}(a,b,c;;q,z) = \sum_{r \in \mathbb{Z} > 0} \frac{(a;q)_{r}(b;q)_{r}}{(q;q)_{r}(c;q)_{r}} z^{r} = ????$$

Show that

$$(q^{a+b}z - q^{c-1})\varphi(q^2z) = (-(q^a + q^b)z + q^{c-1} + 1)\varphi(qz) + (z-1)\varphi(z) = 0.$$

and

$$z(z-1)\frac{d^{2}F}{dz^{2}} + (c - (a+b-1)z)\frac{dF}{dz} - abF = 0.$$

44. (Weyl character formula) Show that

$$s_{\lambda} = \frac{a_{\lambda+\rho}}{a_{\rho}}$$
 and  $P_{\lambda}(q,qt) = \frac{A_{\lambda+\rho}(q,t)}{A_{\rho}(q,t)}$ .

45. (Weyl denominator formula) Show that

$$a_{\rho} = \prod_{i < j} (x_j - x_i)$$
 and  $A_{\rho}(q, t) = \prod_{i < j} (x_j - tx_i).$ 

46. (Pieri rules) Show that

$$e_r s_\lambda = ???$$
  $h_r s_\lambda = ???$ ,  $p_r s_\lambda = ???$ ,  $q_r s_\lambda = ???$ ,  $g_r s_\lambda = ???$ 

and

$$e_r P_{\lambda} =???$$
  $h_r P_{\lambda} =???$ ,  $p_r P_{\lambda} =???$ ,  $q_r P_{\lambda} =???$ ,  $g_r P_{\lambda} =???$ 

47. (parabolic restriction) Show that

$$s_{\lambda}(x_1, \dots, x_n) = \sum_{\gamma \gamma} s_{\mu}(x_1, \dots, x_{n-1}) x_n^k$$
 and  $P_{\lambda}(x_1, \dots, x_n) = \sum_{\gamma \gamma} P_{\mu}(x_1, \dots, x_{n-1}) x_n^k$ 

48. (LR rules) Show that

$$s_{\mu}s_{\nu} = \sum_{\lambda} c_{\mu\nu}^{\lambda} s_{\lambda}$$
 and  $P_{\mu}P_{\nu} = \sum_{\lambda} c_{\mu\nu}^{\lambda}(q,t)P_{\lambda}$ .

49. (Cauchy identities) Show that

$$\prod_{i=1}^{m} \prod_{j=1}^{n} (1 + x_i y_j) = \sum_{\lambda \subseteq n^m} P_{\lambda}(x; q, t) P_{\lambda'}(y; t; q), \qquad \prod_{i=1}^{m} \prod_{j=1}^{n} (x_i + y_j) = \sum_{\lambda \subseteq n^m} P_{\lambda}(x; q, t) P_{\lambda^c}(y; t; q),$$

and

$$\prod_{i=1}^{m} \prod_{j=1}^{n} \frac{(tx_i y_j; q)_{\infty}}{(x_i y_j; q)_{\infty}} = \sum_{\ell(\lambda) \leq \min(m, n)} b_{\lambda} P_{\lambda}(x) P_{\lambda}(y). = \sum_{\ell(\lambda) \leq \min(m, n)} P_{\lambda}(x) Q_{\lambda}(y).$$

Then set q = t to deduce that

$$\prod_{i=1}^{m} \prod_{j=1}^{n} (1 + x_i y_j) = \sum_{\lambda} s_{\lambda}(x) s_{\lambda'}(y). \qquad \prod_{i=1}^{m} \prod_{j=1}^{n} (x_i + y_j) = \sum_{\lambda \subseteq n^m} s_{\lambda}(x) s_{\lambda^c}(y),$$

and

$$\prod_{i=1}^{m} \prod_{j=1}^{n} \frac{1}{1 - x_i y_j} = \sum_{\lambda} s_{\lambda}(x) s_{\lambda}(y).$$

50. (Nonsymmetric Cauchy identity) Show that

$$\Big(\prod_{i,j=1}^n \frac{(qtx_iy_j;q)_{\infty}}{(qx_iy_j;q)_{\infty}}\Big)\Big(\prod_{1\leq i\leq j\leq n} \frac{1-tx_iy_j}{1-x_iy_j}\Big) = \sum_{\lambda\in\mathbb{Z}_{>0}^n} a_{\lambda}(q,t)E_{\lambda}(x;q,t)E_{\lambda}(y;q^{-1},t^{-1}).$$

and the left hand side is related to the character of the space of polynomial functions on the Iwahori subgroup.

51. (Jacobi-Trudi formulas) Prove the Jacobi-Trudi formulas

$$s_{\lambda} = \det(h_{\lambda_i - j})$$
 and  $s_{\lambda} = \det(e_{\lambda'_i - j}).$ 

52. Prove that

$$h_{\mu} = \sum_{\lambda} K_{\lambda \mu} s_{\lambda}, \qquad e_{\mu} = \sum_{\lambda} K_{\lambda', \mu'} s_{\lambda}, \qquad p_{\mu} = \sum_{\lambda} \chi_{S_k}^{\lambda}(\mu) s_{\lambda}, \qquad q_{\mu} = \sum_{\lambda} \chi_{H_k}^{\lambda}(\mu) s_{\lambda}.$$

# 3 Lectures

### 3.1 Week 1

Lecture 1: Examples of lattices and Bratteli diagrams

Lecture 2: The binomial theorem and the exponential

Lecture 3: The symmetric group

#### 3.1.1 Lecture 1: Examples of Lattices and Bratelli diagrams

### The Young lattice

- 1. Define the Young lattice  $\mathbb{Y}$ .
- 2. Let  $\mathcal{F}(\mathbb{Y}_{\emptyset,\lambda}]$  be the set of maximal chains from  $\emptyset$  to  $\lambda$  and let  $f_{\lambda} = \operatorname{Card}(\mathcal{F}(\mathbb{Y}_{\emptyset,\lambda}])$ . For  $\lambda$  with  $\leq 5$  boxes compute  $f_{\lambda}$ .
- 3. Draw the Hasse diagram of the first 5 levels of  $\mathbb{Y}$  and label each vertex with  $f_{\lambda}$ .
- 4. For  $k \in \{1, \dots 5\}$  compute

$$\sum_{\lambda \in \mathbb{Y}_k} f_\lambda^2 \qquad \text{and} \qquad \sum_{\lambda \in \mathbb{Y}_k} f_\lambda.$$

- 5. Define standard Young tableau of shape  $\lambda$ .
- 6. Let  $\lambda \in \mathbb{Y}_k$ . Give a bijection between  $\mathcal{F}(\mathbb{Y}_{[\emptyset,\lambda]})$  and the set of standard tableaux of shape  $\lambda$ .

#### The Bratelli diagram for the Brauer algebras

- 1. Define the Bratelli diagram for the Brauer algebras B.
- 2. Let  $\mathcal{F}(\mathbb{B}_{\emptyset,\lambda]}$  be the set of maximal chains from  $\emptyset$  to  $\lambda$  and let  $b_{\lambda} = \operatorname{Card}(\mathcal{F}(\mathbb{B}_{\emptyset,\lambda]})$ . For  $\lambda$  with  $\leq 5$  boxes compute  $b_{\lambda}$ .
- 3. Draw the Hasse diagram of the first 5 levels of  $\mathbb{B}$  and label each vertex with  $b_{\lambda}$ .
- 4. For  $k \in \{1, \dots 5\}$  compute

$$\sum_{\lambda \in \mathbb{B}_k} b_{\lambda}^2 \quad \text{and} \quad \sum_{\lambda \in \mathbb{B}_k} b_{\lambda}.$$

#### The Bratelli diagram for the Temperley-Lieb algebras

- 1. Define the Bratelli diagram for the Temperley-Lieb algebras  $\mathbb{TL}$  as a sublattice of Young's lattice  $\mathbb{Y}$ .
- 2. Let  $\mathcal{F}(\mathbb{TL}_{[\emptyset,\lambda]})$  be the set of maximal chains from  $\emptyset$  to  $\lambda$  and let  $f_{\lambda} = \operatorname{Card}(\mathcal{F}(\mathbb{TL}_{[\emptyset,\lambda]}))$ . For  $\lambda$  with  $\leq 5$  boxes compute  $f_{\lambda}$ .
- 3. Draw the Hasse diagram of the first 5 levels of  $\mathbb{TL}$  and label each vertex with  $f_{\lambda}$ .

4. For  $k \in \{1, \dots 5\}$  compute

$$\sum_{\lambda \in \mathbb{TL}_k} f_{\lambda}^2 \quad \text{and} \quad \sum_{\lambda \in \mathbb{TL}_k} f_{\lambda}.$$

#### The Pascal lattice

- 1. Define the Pascal lattice as a sublattice of the Young lattice  $\mathbb{P}$ .
- 2. Let  $\mathcal{F}(\mathbb{P}_{\emptyset,\lambda]}$  be the set of maximal chains from  $\emptyset$  to  $\lambda$  and let  $f_{\lambda} = \operatorname{Card}(\mathcal{F}(\mathbb{P}_{\emptyset,\lambda]})$ . For  $\lambda$  with  $\leq 4$  boxes compute  $f_{\lambda}$ .
- 3. Draw the Hasse diagram of the first 5 levels of  $\mathbb{P}$  and label each vertex with  $f_{\lambda}$ .
- 4. For  $k \in \{1, \dots 5\}$  compute

$$\sum_{\lambda \in \mathbb{P}_k} f_{\lambda}^2 \quad \text{and} \quad \sum_{\lambda \in \mathbb{P}_k} f_{\lambda}.$$

#### Standard tableaux

- 1. Define partition, box,  $\ell(\lambda)$ ,  $|\lambda|$ ,  $\lambda \subseteq \mu$  and  $\lambda'$ . Illustrate these definitions with pictures.
- 2. Define arm, leg, hook length, and content of a box. Illustrate these definitions with pictures.
- 3. Define standard Young tableau. Illustrate this definition with illuminating pictorial examples.
- 4. Prove the following theorem.

**Theorem 3.1.** Let  $n \in \mathbb{Z}_{>0}$ . Let  $\lambda \in \mathbb{Y}_n$  and let  $f_{\lambda}$  be the number of standard tableaux of shape  $\lambda$ . For a box  $b \in \lambda$  let  $h_{\lambda}(b)$  be the hook length at the box b. Then

$$n! = \sum_{\lambda \in \mathbb{Y}_k} f_{\lambda}^2$$
 and  $f_{\lambda} = \frac{n!}{\prod_{b \in \lambda} h_{\lambda}(b)}$ .

Theorem 3.2.

$$\sum_{\lambda \in \mathbb{Y}_k} f_{\lambda}^2 = n!, \qquad \sum_{\lambda \in \mathbb{B}_k} b_{\lambda}^2 = \frac{(2n)!}{2^n n!}, \qquad \sum_{\lambda \in \mathbb{TL}_k} f_{\lambda}^2 = Catalan, \qquad \sum_{\lambda \in \mathbb{P}_k} \binom{k}{\lambda}^2 = \binom{2n}{n}.$$

# 3.1.2 Lecture 2: The binomial theorem and the exponential

# Binomial coefficents

- 1. Define n! and  $\binom{n}{k}$ .
- 2. Calculate, by brute force, with full details suitable for a grade 8 student,

$$(x+y)^2$$
,  $(x+y)^3$ ,  $(x+y)^4$ , and  $(x+y)^5$ .

3. Write a careful proof of the following theorem.

**Theorem 3.3.** Let  $n, k \in \mathbb{Z}_{\geq 0}$  with  $k \leq n$ .

- (a) Let S be a set with cardinality n. Then  $\binom{n}{k}$  is the number of subsets of S with cardinality k.
- (b)  $\binom{n}{k}$  is the coefficient of  $x^{n-k}y^k$  in  $(x+y)^n$ .
- (c) If  $k \in \{1, ..., n-1\}$  then

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}, \quad and \quad \binom{n}{0} = 1 \quad and \quad \binom{n}{n} = 1.$$

(d) In  $\mathbb{C}[x,y]$ ,

$$(x+y)^n = \sum_{k=0} \binom{n}{k} x^k y^{n-k}.$$

- 4. Give diagrams/pictures illustrating Theorem 4.2.
- 5. Write a careful proof of the following proposition.

**Proposition 3.4.** For  $\lambda \in \mathbb{Y}$  let  $f_{\lambda}$  be the number of standard tableaux of shape  $\lambda$ . If  $n \in \mathbb{Z}_{>0}$  and  $k \in \{1, \ldots, n\}$  then

$$f_{(k,1^{n-k})} = \binom{n}{k}, \qquad \sum_{k=0}^{n} \binom{n}{k} = 2^n, \qquad \sum_{k=0}^{n} \binom{n}{k}^2 = \binom{2n}{n}.$$

# Formal power series

- 1. Define  $\mathbb{C}((x))$ ,  $\mathbb{C}[[x]]$  and  $\mathbb{C}[x]$ .
- 2. Carefully define  $\frac{d}{dx}$ .
- 3. Prove that  $\mathbb{C}((x))$  is the field of fractions of  $\mathbb{C}[[x]]$  (don't forget to prove that  $\mathbb{C}[[x]]$  is an integral domain, so that you can be sure that the field of fractions is actually well defined).
- 4. Determine (with careful proof)  $\mathbb{C}((x))^{\times}$ ,  $\mathbb{C}[[x]]^{\times}$  and  $\mathbb{C}[x]^{\times}$ .
- 5. Prove that

$$\mathbb{C}((x)) = \{0\} \cup \Big(\bigsqcup_{\ell \in \mathbb{Z}} x^{-\ell} \mathbb{C}[[x]]^{\times}\Big).$$

- 6. Write a careful proof that if  $\ell \in \mathbb{Z}$  then  $\frac{d}{dx}(x^{-\ell}) = -\ell x^{-\ell-1}$ .
- 7. Write a careful proof that if  $p \in \mathbb{C}[[x]]$  then

$$p = a_0 + a_1 x + a_2 x^2 + \cdots$$
 with  $a_k = \frac{1}{k!} \left( \frac{d^k p}{dx} \right]_{x=0}$ .

8. Let  $D = \frac{d}{dx}$ . Let  $p \in \mathbb{C}[[x]]$  and let  $a \in \mathbb{C}$ . Write a careful proof that

$$e^{aD}p(x) = p(x+a),$$

# The exponential

- 1. Define the exponential.
- 2. Write a careful proof of the following theorem.

# Theorem 3.5.

- (a) If xy = yx then  $\exp(x + y) = \exp(x) \exp(y)$ .
- (b)  $\frac{d}{dx}(\exp(x)) = \exp(x)$ .
- 3. Write a careful proof of the following theorem.

## Theorem 3.6.

(a) If  $p \in \mathbb{C}[[x]]$  and p(x+y) = p(x)p(y) then

there exists  $a \in \mathbb{C}$  such that  $p(x) = \exp(ax)$ .

(b) If  $p \in \mathbb{C}[[x]]$  and  $\frac{d}{dx}(p) = p$  then

there exists  $c_0 \in \mathbb{C}$  such that  $p(x) = c_0 \exp(x)$ .

#### The binomial theorem

- 1. Define  $(a)_k$  and  $(a;q)_k$  and  $(a;q)_{\infty}$ .
- 2. Define  $_{r+1}\phi_r$  and  $_{r+1}F_r$ .
- 3. Write a careful proof of the following theorem.

**Theorem 3.7.** Let  $\alpha \in \mathbb{C}$ . Then

$$(1-z)^{-\alpha} = \sum_{k \in \mathbb{Z}_{>0}} \frac{(\alpha)_k}{k!} z^k = \sum_{k \in \mathbb{Z}_{>0}} {\binom{-\alpha}{k}} (-z)^k = {}_1F_0[\alpha; z].$$

# 3.1.3 Lecture 3: The Symmetric group $S_n$

- 1. Carefully define the algebra of  $n \times n$  matrices  $M_n(\mathbb{C})$ .
- 2. Define the matrix unit basis of  $M_n(\mathbb{C})$ .
- 3. Carefully define the general linear group  $GL_n(\mathbb{C})$ .
- 4. Define permutation, the symmetric group and describe different ways of representing a permutation.
- 5. Carefully define group homomorphisms  $GL_n(\mathbb{C}) \times GL_m(\mathbb{C}) \to GL_{n+m}(\mathbb{C})$  and  $S_n \times S_m \to S_{n+m}$  given by direct sum and product respectively. Check that these homomorphisms are well defined and injective.
- 6. Carefully define transpositions and simple transpositions, and the favourite element  $\gamma_{\mu}$  of cycle type  $\mu$ .
- 7. Carefully define Coxeter elements.
- 8. Write a careful proof of the following theorem.

Theorem 3.8. Let  $n \in \mathbb{Z}_{>0}$ .

(a) The function

(b) For  $\mu \in \mathbb{Y}_n$  define  $z_{\mu} = 1^{m_1} 2^{m_2} \cdots m_1! m_2! \cdots$  where  $m_i$  is the number of parts of size i in  $\mu$ .

Then

$$\operatorname{Card}([\gamma_{\mu}]) = \frac{n!}{z_{\mu}}.$$

#### 3.2 Week 2: Posets and maximal chains

Lecture 4: Posets, lattices and modular lattices

Lecture 5: Maximal chains in  $\mathbb{S}(n)$ 

Lecture 6: Maximal chains in  $\mathbb{G}(\mathbb{F}_q^n)$ 

#### 3.2.1 Lecture 4: Posets, lattices and modular lattices

- 1. Carefully define the following terms: relation, poset, Hasse diagram, supremum, infimum, lattice and modular lattice.
- 2. Give some favourite examples of posets and lattices.
- 3. Determine all posets with 3 elements and all posets with 4 elements.
- 4. Give three sensible precise definitions of ranked poset. Give examples to show that these are inequivalent. Discuss (with proof) conditions under which these definitions become equivalent.
- 5. Carefully define maximal chains.
- 6. Prove the following theorem.

**Theorem 3.9.** Let A be a ring and let V be an A-module. Let

$$\mathbb{G}(V) = \{A\text{-submodules of } V\}$$
 partially ordered by inclusion.

Then  $\mathbb{G}(V)$  is a modular lattice.

7. Let A be a ring and let V be an A-module. Let M and N be A-submodules of V, Show that, in  $\mathbb{G}(V)$ ,

$$\sup(M, N) = M + N$$
 and  $\inf(M, N) = M \cap N$ ,

where  $M + N = \{m + n \mid m \in M, n \in N\}$ 

# **3.2.2** Lecture 5: Maximal chains in $\mathbb{G}(\mathbb{F}_q^n)$

- 1. Carefully define the lattice of  $\mathbb{F}_q$ -subspaces of  $\mathbb{F}_q^n$ .
- 2. Show that  $\mathbb{G}(\mathbb{F}_q^n)$  is a ranked lattice and compute  $\operatorname{Card}(\mathbb{G}(\mathbb{F}_q^n))$  and  $\operatorname{Card}(\mathbb{G}(\mathbb{F}_q^n)_k)$ .
- 3. Determine the rank generating function for  $\mathbb{G}(\mathbb{F}_q^n)$ .
- 4. Prove that  $\operatorname{Aut}(\mathbb{G}(\mathbb{F}_q^n)) = GL_n(\mathbb{F}_q)$ .
- 5. Let  $G = GL_n(\mathbb{F}_q)$ . Define the standard flag  $E = (\emptyset \subsetneq E_1 \subsetneq \cdots \subsetneq E_n)$  and explicitly determine the subgroups  $P_k$  and B of G given by

$$\operatorname{Stab}_G(E_k) = P_k$$
 and  $\operatorname{Stab}_G(E) = B$ .

6. Give explicit bijections

$$G/P_k \cong \mathbb{G}(\mathbb{F}_q^n)_k \qquad G/B \cong \mathcal{F}(\mathbb{G}(\mathbb{F}_q^n)), \quad \text{and} \quad \mathbb{G}(\mathbb{F}_q^n)_k \cong \mathbb{G}(\mathbb{F}_q^n)_{n-k}.$$

7. Define  $\mathbb{P}^1$  and  $\mathbb{P}^{n-1}$  and show that

$$Card(\mathbb{P}^1) = 1 + q$$
 and  $Card(\mathbb{P}^{n-1}) = 1 + q + q^2 + \dots + q^{n-1}$ .

8. Let  $\mathbb{C}[G/B]$  be the  $\mathbb{C}$ -vector space with basis indexed by the maximal chains in  $\mathbb{G}(\mathbb{F}_q^n)$ . For  $i \in \{1, \ldots, n-1\}$  define  $s_i \colon \mathbb{C}[G/B] \to \mathbb{C}[G/B]$  given by

$$T_i(\emptyset \subsetneq V_1 \subsetneq \cdots \subsetneq V_n) = \sum_{V_{i-1} \subsetneq W \subsetneq V_{i+1}} (\emptyset \subsetneq V_1 \subsetneq \cdots \subsetneq V_{i-1} \subsetneq W \subsetneq V_{i+1} \subsetneq \cdots \subsetneq V_n)$$

Prove that if  $i, j \in \{1, \dots, n-1\}$  with  $j \notin \{i-1, i+1\}$  and  $k \in \{1, \dots, n-2\}$  then

$$T_i^2 = (q-1)T_i + q, \quad T_iT_j = T_jT_i, \qquad T_kT_{k+1}T_k = T_{k+1}T_kT_{k+1}$$

and if  $g \in \operatorname{Aut}(S_n)$  and  $i \in \{1, \ldots, n\}$  then

$$gT_i = T_i g$$
, as operators on  $\mathbb{C}S_n$ .

### **3.2.3** Lecture 6: Maximal chains in $\mathbb{S}(n)$

#### HW questions Lecture 6

- 1. Carefully define the lattice of subsets of  $\{1, \ldots, n\}$ .
- 2. Show that S(n) is a ranked lattice and compute Card(S(n)) and Card(S(n)).
- 3. Determine the rank generating function for  $\mathbb{S}(n)$ .
- 4. Prove that  $Aut(\mathbb{S}(n)) = S_n$ .
- 5. Give a bijection between  $\mathcal{F}(\mathbb{S}(n))$  and  $S_n$ .
- 6. Let  $\mathbb{C}\mathcal{F}(\mathbb{S}(n))$  be the  $\mathbb{C}$ -vector space with basis indexed by the maximal chains in  $\mathbb{S}(n)$ . For  $i \in \{1, \ldots, n-1\}$  define  $s_i \colon \mathbb{C}S_n \to \mathbb{C}S_n$  given by

$$s_i(\emptyset \subsetneq V_1 \subsetneq \cdots \subsetneq V_n) = \sum_{V_{i-1} \subsetneq W \subsetneq V_{i+1}} (\emptyset \subsetneq V_1 \subsetneq \cdots \subsetneq V_{i-1} \subsetneq W \subsetneq V_{i+1} \subsetneq \cdots \subsetneq V_n)$$

Prove that if  $i, j \in \{1, \dots, n-1\}$  with  $j \notin \{i-1, i+1\}$  and  $k \in \{1, \dots, n-2\}$  then

$$s_i^2 = 1$$
,  $s_i s_j = s_j s_i$ ,  $s_k s_{k+1} s_k = s_{k+1} s_k s_{k+1}$ 

and if  $g \in Aut(S_n)$  and  $i \in \{1, ..., n\}$  then

$$gs_i = s_i g$$
, as operators on  $\mathbb{C}S_n$ .

7. Prove that the symmetric group  $S_n$  is presented by generators  $s_1, \ldots, s_n$  and relations

$$s_i^2 = 1$$
,  $s_i s_j = s_j s_i$ ,  $s_k s_{k+1} s_k = s_{k+1} s_k s_{k+1}$ 

for  $i, j \in \{1, ..., n-1\}$  with  $j \notin \{i-1, i+1\}$  and  $k \in \{1, ..., n-2\}$ .

# 3.3 Week 3: Fundamental symmetric functions

#### 3.3.1 Lecture 7: The pheqg functions

- 1. Define the Pochhammer symbols
- 2. Define  $g_r$ ,  $q_r$ ,  $h_r$ , and  $e_r$
- 3. State the relation between the  $g_r$ ,  $q_r$   $h_r$  and  $e_r$  and Macdonald polynomials, Hall-Littlewood polynomials and Schur functions.
- 4. Define the extended functions  $\tilde{g}_r$  and  $\tilde{q}_r$  and explain why the extend functions are not really extended.
- 5. Explain how  $\tilde{q}_r$ ,  $q_r$ ,  $h_r$  and  $e_r$  are specializations of  $\tilde{g}_r$ .
- 6. Derive formulas for  $\tilde{q}_r$ ,  $q_r$ ,  $h_r$  and  $e_r$  in terms of sequences.
- 7. define monomial symmetric functions and give some examples
- 8. State the q-binomial theorem
- 9. Find the expansions of  $\tilde{g}_r$ ,  $\tilde{q}_r$ ,  $g_r$ ,  $q_r$ ,  $h_r$  and  $e_r$  in terms of monomial symmetric functions

# 3.3.2 Lecture 8: The power sum symmetric functions

- 1. Define the power sum symmetric functions
- 2. Derive the power sum expansion of the single Cauchy-Macdonald kernel
- 3. Fine the power sum expansions of  $\tilde{g}_r$ ,  $\tilde{q}_r$ ,  $g_r$ ,  $q_r$ ,  $h_r$  and  $e_r$ .
- 4. Derive the monomial and power sum expansion of the full Cauchy-Macdonald kernel
- 5. Find the monomial expansions of  $\tilde{g}_{\lambda}$ ,  $\tilde{q}_{\lambda}$ ,  $g_{\lambda}$ ,  $q_{\lambda}$ ,  $h_{\lambda}$  and  $e_{\lambda}$  in terms of matrices with specified row and column sum

#### 3.3.3 Lecture 9: Binomial theorems

- 1. Derive the binomial theorems for  $(1+z)^n$  and  $(1+z)^{-n}$ ,
- 2. Derive the finie q-binomial theorems
- 3. Derive the principal specializations of  $g_r$ ,  $q_r$ ,  $h_r$  and  $e_r$ .
- 4. State and prove the infinite q-binomial theorem
- 5. Establish the important specializations of the infinite q-binomial theorem

#### 3.3.4 Lecture 9: Wronski identities, Jacobi-Trudi and Giambelli formulas

- 1. Newton identities
- 2. Wronski identitiies
- 3. BGG resolutions
- 4. Koszul complex

# 3.4 Week 4: Crystals and Schur functions

### 3.4.1 Lecture 10: Crystals

- 1. Define the crystal  $B(\Box)$ .
- 2. Define the direct sum  $B_1 \oplus B_2$  of crystals.
- 3. Define the tensor product  $B_1 \otimes B_2$  of crystals.
- 4. Explicitly compute  $B(\square)^{\otimes 2}$  and  $B(\square)^{\otimes 3}$ .
- 5. Define the crystal of words.
- 6. Define crystal, morphism of crystals, isomorphism of crystals and crystal graph.

### 3.4.2 Lecture 11: Words and SSYTs

- 1. Define partitions and SSYTs.
- 2. Define  $B(\lambda)$  for a partition  $\lambda$ .
- 3. Prove the following theorem.

**Theorem 3.10.** There is a unique crystal structure on  $B(\lambda)$  such that the arabic reading map

$$B_n(\lambda) \hookrightarrow B(\square)^{\otimes k}$$
 is a crystal morphism.

- 4. Explicitly describe the crystal structure on  $B(\lambda)$ .
- 5. Explicitly construct the crystals  $B(\lambda)$  for  $|\lambda| \in \{1, 2, 3, 4\}$  and  $n \in \{2, 3\}$ .
- 6. Show that  $char(B(k)) = h_k$ .
- 7. Show that  $char(B((1^k)) = e_k$ .
- 8. Carefully define  $B(\lambda)_{\mu}$  and  $K_{\lambda\mu}$  and prove that  $K_{\lambda\lambda}=1$ .
- 9. Carefully define the dominance order and prove that if  $K_{\lambda\mu} \neq 0$  then  $\mu \leq \lambda$ .
- 10. Define  $x^{\mu}$  and prove that

$$\operatorname{char}(B(\lambda)) = \sum_{\mu} K_{\lambda\mu} x^{\mu}.$$

Carefully specify what set the sum is over.

11. Define the Schur function  $s_{\lambda}$ .

# 3.4.3 Lecture 12: The Weyl character formula

- 1. Carefully define symmetric functions and the character of a crystal.
- 2. Carefully define *i*-string.
- 3. Let B be a crystal. For  $i \in \{1, ..., n-1\}$  define  $s_i : B \to B$  by

$$s_i(b) = \tilde{e}^k t$$
, where  $h = \tilde{e}^k b, \tilde{e}^{k-1} b, \dots, \tilde{e}b, b, \tilde{f}b, \dots, \tilde{f}^{\ell}b = t$ ,

with  $\tilde{f}^{\ell}b \neq 0$ ,  $\tilde{f}^{\ell+1}b = 0$ ,  $\tilde{e}^kb \neq 0$  and  $\tilde{e}^{k+1}b = 0$ .

Show that the operators  $s_1, \ldots, s_{n-1}$  define an  $S_n$  action on B.

- 4. Let B be a crystal. Show that char(B) is a symmetric function.
- 5. Define  $s_{\lambda}$  and show that  $s_{\lambda}$  is a symmetric function.
- 6. Define  $\rho$  and  $a_{\lambda+\rho}$ .
- 7. Let B be a crystal and let  $B^+ = \{p \in B \mid \text{if } i \in \{1, \dots, n-1\} \text{ then } \tilde{e}_i p = 0\}$ . Show that

$$a_{\rho} \cdot \operatorname{char}(B) = \sum_{p \in B^{+}} a_{\operatorname{wt}(p) + \rho}.$$

8. Show that

$$s_{\lambda} = \frac{a_{\lambda + \rho}}{a_{\rho}}.$$

# 3.5 Week 5: Symmetric functions, crystals and RSK

#### 3.5.1 Lecture 13: The Littlewood-Richardson rule

1. Let B be a crystal and let  $B^+ = \{p \in B \mid \text{if } i \in \{1, \dots, n-1\} \text{ then } \tilde{e}_i p = 0\}$ . Show that

$$a_{\rho} \cdot \operatorname{char}(B) = \sum_{p \in B^+} a_{\operatorname{wt}(p) + \rho}.$$

- 2. Define irreducible crystal.
- 3. Prove that if B is an irreducible crystal then there is a unique  $p \in B$  such that if  $i \in \{1, ..., n-1\}$  then  $\tilde{e}_i p = 0$ .
- 4. Prove that if B is an irreducible crystal and  $p \in B$  such that if  $i \in \{1, ..., n-1\}$  then  $\tilde{e}_i p = 0$  then  $\operatorname{wt}(p)$  is a partition.
- 5. Prove that if B is an irreducible crystal and  $p \in B$  is such that if  $i \in \{1, ..., n-1\}$  then  $\tilde{e}_i p = 0$  then  $B \cong B(\lambda)$  where  $\lambda = \text{wt}(p)$ .
- 6. Let  $B_1$  and  $B_2$  be crystals. Prove that

$$char(B_1 \otimes B_2) = char(B_1)char(B_2).$$

7. Let  $\lambda, \mu$  be partitions and let  $n > \ell(\lambda) + \ell(\mu)$ . Show that

$$s_{\mu}s_{\nu} = \sum_{\nu} \operatorname{Card}((B(\mu) \otimes B(\nu))^{+}_{\lambda})s_{\lambda},$$

where

$$(B(\mu) \otimes B(\nu))^+_{\lambda} = \{ p \in B(\mu) \otimes B(\nu) \mid \operatorname{wt}(p) = \lambda \text{ and if } i \in \{1, \dots, n-1\} \text{ then } \tilde{e}_i p = 0 \}$$

- 8. Define skew shape and LR fillings.
- 9. Give a bijection

$$(B(\mu) \otimes B(\nu))_{\lambda}^+ \longleftrightarrow \{\text{LR fillings of shape } \lambda/\mu \text{ and weight } \nu\}$$

10. Prove the following theorem.

**Theorem 3.11.** Let  $c_{\mu\nu}^{\lambda}$  be the number of LR fillings of  $\lambda/\mu$  of weight  $\nu$ . Then

$$s_{\mu}s_{\nu} = \sum_{\lambda} c_{\mu\nu}^{\lambda} s_{\lambda}.$$

#### 3.5.2 Lecture 14: The combinatorial R-matrix and RSK

- 1. Explicitly describe the crystal  $B(1^k)$ .
- 2. Give a crystal isomorphism  $B(\square) \otimes B(1^k) \cong B(1^k) \otimes B(\square)$ .
- 3. Carefully define the RSK algorithm.
- 4. Use RSK to prove the following theorem.
- 5. Use RSK to prove the following theorem.

#### Theorem 3.12.

$$S_k \leftrightarrow \bigsqcup_{\lambda \in \mathbb{Y}_k} \hat{S}_k^{\lambda} \times \hat{S}_k^{\lambda}$$

$$B^{\otimes k} \leftrightarrow \bigsqcup_{\substack{\lambda \in \mathbb{Y}_k \\ \ell(\lambda) \le n}} \hat{S}_k^{\lambda} \times B_n(\lambda)$$

$$M_{t \times s}(\mathbb{Z}_{\ge 0}) \leftrightarrow \bigsqcup_{\ell(\lambda) \le \min(s,t)} B_t(\lambda) \times B_s(\lambda)$$

$$M_{t \times s}(\{0,1\}) \leftrightarrow \bigsqcup_{\lambda \subseteq (s^t)} B_t(\lambda) \times B_s(\lambda^c)$$

6. Carefully define the symbols in the following theorem and prove it.

Theorem 3.13.

$$k! = \sum_{\lambda \in \mathbb{Y}_k} f_{\lambda}^2.$$

$$(x_1 + \dots + x_n)^k = \sum_{\lambda \in \mathbb{Y}_k} f_{\lambda} s_{\lambda}.$$

$$\prod_{i=1}^t \prod_{j=1}^s \frac{1}{1 - x_i y_j} = \sum_{\ell(\lambda) \le \min(s,t)} s_{\lambda}(x) s_{\lambda}(y).$$

$$\prod_{i=1}^t \prod_{j=1}^s (1 + x_i y_j) = \sum_{\lambda \in (s^t)} s_{\lambda}(x) s_{\lambda^c}(y).$$

$$\prod_{i=1}^t \prod_{j=1}^s (x_i + y_j) = \sum_{\lambda \in (s^t)} s_{\lambda}(x) s_{\lambda'}(y).$$

#### 3.5.3 Lecture 15: Pieri rules and Murnaghan-Nakayama rules

- 1. Define horizontal strip, vertical strip, border strip and broken border strip.
- 2. Use RSK to prove the following theorem.

Theorem 3.14.

$$q_r s_{\mu} = \sum_{\lambda/\mu \text{ bbstrip}} q^{r - \text{ht}(\lambda/\mu)} (-t)^{\text{ht}(\lambda/\mu)} s_{\lambda},$$

$$h_r s_{\mu} = \sum_{\lambda/\mu \text{ hstrip}} s_{\lambda}, \qquad e_r s_{\mu} = \sum_{\lambda/\mu \text{ vstrip}} s_{\lambda}, \qquad p_r s_{\mu} = \sum_{\lambda/\mu \text{ bstrip}} (-1)^{\text{ht}(\lambda/\mu)} s_{\lambda}.$$

3. Carefully define  $K_{\lambda\mu}$ ,  $\chi_{S_k}^{\lambda}(\mu)$ ,  $\chi_{H_k}^{\lambda}(\mu)$  and prove the following theorem.

Theorem 3.15.

$$q_{\mu} = \sum_{\lambda} \chi_{H_{k}}^{\lambda}(\mu) s_{\lambda}.$$

$$h_{\mu} = \sum_{\lambda} K_{\lambda \mu} s_{\lambda}, \qquad e_{\mu} = \sum_{\lambda} K_{\lambda' \mu'} s_{\lambda}, \qquad p_{\mu} = \sum_{\lambda} \chi_{S_{k}}^{\lambda}(\mu) s_{\lambda}.$$

#### 3.6 Week 6: Catalan combinatorics

### 3.6.1 Lecture 16: q-t-Catalan and Dyck paths

- 1. Define the Shi arrangement, parking functions, dinv and area, and the q-t-RLT polynomial
- 2. Define Dyck paths, dominant Shi reagions, area, bounce and the q-t-Catalan polynomial
- 3. Prove that  $C_{d/n}(1,1) = \frac{1}{d+n} {d+n \choose n}$
- 4. Prove the recusion for Catalan  $C_{d/n}(1,1)$
- 5. Determine the generating function for  $C_{d/n}(1,1)$ .

# **3.6.2** Lecture 17: $\nabla e_n$ and diagonal coinvariants

- 1. Define the DAHA module  $L_{d/n}(triv)$
- 2. Define the parking module  $Park_{d/n}$
- 3. Define the Garsia-Haiman module  $GH_{d/n}$
- 4. Define  $H^*(Y_{\mathfrak{b}}^{-1}(\gamma^d))$
- 5. Prove that

$$L_{d/n}(triv) \cong Park_{d/n} \cong GH_{d/n} \cong H^*(Y_{\mathfrak{b}}^{-1}(\gamma^d)).$$

6. Prove that

$$\operatorname{grdim}(H^*(Y_{\mathfrak{h}}^{-1}(\gamma^d))) = R_{d//n}(q, t).$$

7. Prove that

$$\operatorname{grdim}(H^*(Y_{\mathfrak{b}}^{-1}(\gamma^d))^{\det}) = C_{d//n}(q,t).$$

# 3.6.3 Lecture 18: Modified Macdonald polynomials and Garsia-Haiman modules

- 1. Define the modified Macdonald polynomial
- 2. Define the nabla operator
- 3. Prove that

$$\nabla e_n = \operatorname{grch}(H^*(Y_{\mathfrak{h}}^{-1}(\gamma^{n+1}))).$$

# 3.7 Week 7: $GL_n(\mathbb{F}_q)$ and G/B

# 3.7.1 Lecture 19: Generators and relations for $GL_n(\mathbb{F})$

#### **HW** questions

1. Prove the following theorem

Theorem 3.16.

$$G = \bigsqcup_{w \in S_n} BwB$$

If  $w = s_{i_1} \cdots_{i_\ell}$  is a reduced word then

$$BwB = \{y_{i_1}(c_1) \cdots y_{i_{\ell}}(c_{\ell})B \mid c_1, \dots, c_{\ell}\}.$$

2. Prove that

$$\operatorname{Card}(BwB/B) = q^{\ell(w)}$$
 and  $\operatorname{Card}(G/B) = \sum_{w \in S_n} q^{\ell(w)}$ .

# 3.7.2 Lecture 20: The Bruhat decomposition and the Poincaré polynomial

- 1. Define  $x_{ij}(c)$ ,  $d_i(c)$ ,  $s_i$ ,  $s_{ij}$  and  $y_i(c)$ .
- 2. State and prove a presentation theorem for  $GL_n(\mathbb{F})$ .

#### 3.7.3 Lecture 21: Schubert varieties and Grassmannians

- 1. Simple transpositions and reduced words
- 2. reduced words for the longest element
- 3. Roots, Inversions and subwords

**Theorem 3.17.** The length gen function of W is

$$\prod_{i=1}^{n} \frac{(1-t^{d_i})}{(1-t)}.$$

- 3.8 Week 8: Moment graphs and Kazhdan-Lusztig polynomials
- **3.8.1** Lecture 22: Moment graphs and  $H_T(G/B)$
- 3.8.2 Lecture 23: Sheaves on moment graphs
- 3.8.3 Lecture 24: Kazhdan-Lusztig polynomials
- 3.9 Week 9: Springer fibers
- 3.9.1 Lecture 25: Cells in Springer fibers
- 3.9.2 Lecture 26: Modified Hall-Littlewood polynomials
- 3.9.3 Lecture 27: AFL Grand Final Eve Holiday
- 3.10 Week 10: More Catalan combinatorics
- 3.10.1 Lecture 25: The Temperley-Lieb algebra
- 3.10.2 Lecture 26: The noncrossing partition lattice
  - 1. transpositions and the absolute order
  - 2. The noncrossing partition lattice
  - 3. Murphy elements

**Theorem 3.18.** The rank gen function of  $C_{[1,c]}$  is

$$\prod_{i=1}^{n} 1 + (d_i - 1)q)$$

$$\operatorname{Card}(\mathcal{C}_{[1,c]}) = \operatorname{Catalan}, \qquad \#\{\operatorname{maximal\ chains\ in\ } \mathcal{C}_{[1,e]}\} = \frac{1}{|W|}h^n n!.$$

#### 3.10.3 Lecture 24: binary tree and rooted labeled trees

- 1. Determine the number of binary tress with n internal nodes
- 2. Determine the number of rooted labeled trees
- 3. Define the corresponding operads
- 4. Explain the Kontsevich integral

#### 3.11 Additional material

# 3.11.1 Lecture 10: special functions and differential equations

- 1. powers, exponential functions, gamma functions, beta integrals
- 2. hypergometric functions

#### 3.11.2 Lecture 11: The q-binomial theorem

- 1. The theorem as a specialization of a symmetric function identity
- 2. Jackson integrals

### 3.11.3 Lecture 12: q-hypergeometric functions

- 1. The difference equation
- 2. Selberg integrals

### 3.11.4 Lecture 18: Schubert polynomials

- 1. Definition of Schubert vareities
- 2. generalised cohomology
- 3. The Borel presentation (i.e. the coinvariant ring)
- 4. push-pulls, Schubert polynomials and Grothendieck polynomials
- 5. Schubert classes for Grassmannians
- 6. Monk's rule

#### 3.11.5 Lecture 18: Reflection groups

- 1. Definitions, reflections, simple reflections, roots
- 2. Bruhat order
- 3.  $C_{[1,c]}$

# 4 Week 1: Partitions, binomial coefficients, symmetric group

# 4.1 Partitions and the Young lattice

Young's lattice (boxes in a corner)

PICTURE

Brauer Bratteli diagram (add and remove)

PICTURE

Temperley-Lieb Bratteli diagram (restrict to two rows)

PICTURE

Pascal's triangle (restrict to along the wall)

PICTURE

A partition is  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  with  $\ell \in \mathbb{Z}_{\geq 0}, \lambda_1, \dots, \lambda_\ell$  and  $\lambda_1 \geq \dots \geq \lambda_\ell$ . A box is an element of  $\mathbb{Z}^2$ .

Identify  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  with a set of boxes

$$\lambda = \{(r, c) \in \mathbb{Z} \times \mathbb{Z} \mid r \in \{1, \dots, \ell\} \text{ and } c_r \in \{1, \dots, \lambda_r\} \},$$

so that  $\lambda$  has  $\lambda_r$  boxes in row r.

$$\lambda = (53311) = TABLEAU = \left\{ \begin{array}{ll} (1,1), & (1,2), & (1,3), & (1,4), & (1,5) \\ (2,1), & (2,2), & (2,3), & \\ (3,1), & (3,2), & (3,3), & \\ (4,1), & & \\ (5,1), & & \end{array} \right\}$$

Let

$$\ell(\lambda) = \ell$$
 and  $|\lambda| = \lambda_1 + \cdots + \lambda_\ell$ 

if  $\lambda = (\lambda_1, \dots, \lambda_\ell)$ . Write

 $\lambda \subseteq \mu$  if  $\lambda$  is a subset of  $\mu$ .

The *conjugate* of  $\lambda$  is

$$\lambda' = \{(c, r) \mid (r, c) \in \lambda\}.$$

For  $n \in \mathbb{Z}_{>0}$  let

$$\mathbb{Y}_n = \{ \text{partitions } \lambda \text{ with } |\lambda| = n \} \quad \text{and} \quad \mathbb{Y} = \bigsqcup_{n \in \mathbb{Z}_{\geq 0}} \mathbb{Y}_n.$$

Let  $\lambda \in \mathbb{Y}_n$  and identify  $\lambda$  with the set of boxes of  $\lambda$ . A standard tableau of shape  $\lambda$  is a function  $T \colon \lambda \to \{1, \ldots, n\}$  such that

(a) If 
$$(r, c), (r, c + 1) \in \lambda$$
 then  $T(r, c) < T(r, c + 1)$ .

(b) If 
$$(r, c), (r + 1, c) \in \lambda$$
 then  $T(r, c) < T(r + 1, c)$ .

Let

$$\hat{S}_n^{\lambda} = \{ \text{standard tableaux of shape } \lambda \}$$
 and  $f_{\lambda} = \text{Card}(\hat{S}_n^{\lambda}).$ 

If  $(r, c) \in \lambda$  define

$$\operatorname{arm}(r, c) = \lambda_r - r,$$

$$PICTURE$$

$$\operatorname{leg}(r, c) = \lambda'_c - c.$$

**Theorem 4.1.** Let  $n \in \mathbb{Z}_{>0}$  and  $\lambda \in \mathbb{Y}_n$ . Then

$$f_{\lambda} = \frac{n!}{\prod_{b \in \lambda} (\operatorname{arm}(b) + \operatorname{leg}(b) + 1)}$$
 and  $n! = \sum_{\lambda \in \mathbb{Y}_n} f_{\lambda}^2$ .

# 4.2 The binomial theorem and the exponential

#### 4.2.1 Binomial coefficients

For  $k \in \mathbb{Z}_{\geq 0}$  define

$$0! = 1$$
 and  $k! = k(k-1) \cdots 3 \cdot 2 \cdot 1$ , for  $k \in \mathbb{Z}_{>0}$ .

For  $k \in \{0, 1, \dots, n\}$  define

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}.$$

**Theorem 4.2.** Let  $n, k \in \mathbb{Z}_{>0}$  with  $k \leq n$ .

- (a) Let S be a set with cardinality n. Then  $\binom{n}{k}$  is the number of subsets of S with cardinality k.
- (b)  $\binom{n}{k}$  is the coefficient of  $x^{n-k}y^k$  in  $(x+y)^n$ .
- (c) If  $k \in \{1, ..., n-1\}$  then

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}, \quad and \quad \binom{n}{0} = 1 \quad and \quad \binom{n}{n} = 1.$$

(d) In  $\mathbb{C}[x,y]$ ,

$$(x+y)^n = \sum_{k=0} \binom{n}{k} x^k y^{n-k}.$$

$$\begin{pmatrix} 3 \\ 0 \end{pmatrix} & \begin{pmatrix} 3 \\ 1 \\ 1 \end{pmatrix} & \begin{pmatrix} 3 \\ 1 \\ 1 \end{pmatrix} & \begin{pmatrix} 4 \\ 2 \end{pmatrix} & \begin{pmatrix} 4 \\ 2 \end{pmatrix} & \begin{pmatrix} 4 \\ 3 \end{pmatrix} & \begin{pmatrix} 4 \\ 3 \end{pmatrix} & \begin{pmatrix} 4 \\ 4 \end{pmatrix} & \begin{pmatrix} 4 \\ 4$$

Corollary 4.3.

$$f_{(k,1^{n-k})} = \binom{n}{k}, \qquad \sum_{k=0}^{n} \binom{n}{k} = 2^n, \qquad \sum_{k=0}^{n} \binom{n}{k}^2 = \binom{2n}{n}$$

*Proof.* Flath see Halverson-Herbig arxiv:0806.3960 page 3.

### 4.2.2 Formal power series

The ring of formal power series is

$$\mathbb{C}[[x]] = \{a_0 + a_1 x + a_2 x^2 + \dots \mid a_i \in \mathbb{C}\}\$$

and its field of fractions is the ring of expressions,

$$\mathbb{C}((x)) = \{ a_{-\ell} x^{-\ell} + a_{-\ell+1} x^{-\ell+1} + a_{-\ell+2} 2x^{-\ell+2} + \dots \mid \ell \in \mathbb{Z}, a_i \in \mathbb{C} \},$$

and the ring of polynomials is

$$\mathbb{C}[x] = \{a_0 + a_1x + a_2x^2 + \cdots \mid a_i \in \mathbb{C} \text{ and all but a finite number of the } a_i \text{ are } 0\}.$$

### 4.2.3 The exponential

The exponential is

$$\exp(x) = e^x = 1 + x + \frac{1}{2!}x^2 + \frac{1}{3!}x^3 + \cdots$$

This is the most important expression in mathematics.

#### Theorem 4.4.

- (a) If xy = yx then  $\exp(x + y) = \exp(x) \exp(y)$ .
- (b)  $\frac{d}{dx} \exp(x) = \exp(x)$ .

#### Theorem 4.5.

(a) If  $p \in \mathbb{C}[[[x]]]$  and p(x+y) = p(x)p(y) then

there exists 
$$a \in \mathbb{C}$$
 such that  $p(x) = \exp(ax)$ .

(b) If  $p \in \mathbb{C}[[x]]$  and  $\frac{dp}{dx} = p$  then

there exists 
$$c_0 \in \mathbb{C}$$
 such that  $p(x) = c_0 \exp(x)$ .

#### 4.2.4 The binomial theorem

Let

$$(a;q)_k = (1-a)(1-aq)\cdots(1-aq^{k-1})$$
 and  $(\alpha)_k = \alpha(\alpha+1)\cdots(\alpha+k-1)$ .

Define

and

$${}_{r+1}F_r\left[\begin{array}{c}\alpha_0,\alpha_1,\ldots,\alpha_r\\\beta_1,\ldots,\beta_r\end{array};z\right] = \sum_{k\in\mathbb{Z}_{>0}}\frac{(\alpha_0)_k(\alpha_1)_k\cdots(\alpha_r;q)_k}{(1)_k(\beta_1)_k\cdots(\beta_r)_k}z^k,$$

If  $\alpha \in \mathbb{Z}_{>0}$  then

$$(\alpha)k = \frac{(\alpha+k-1)!}{(\alpha-1)!}$$
 so that  $n! = (1)_n$  and  $\binom{n}{k} = \frac{(k)_{n-k}}{(1)_k}$ 

when  $n, k \in \mathbb{Z}_{>0}$  with  $k \leq n$ .

**Theorem 4.6.** Let  $\alpha \in \mathbb{C}$ . Then

$$(1-z)^{-\alpha} = \sum_{k \in \mathbb{Z}_{>0}} \frac{(\alpha)_k}{k!} z^k = \sum_{k \in \mathbb{Z}_{>0}} {\binom{-\alpha}{k}} (-z)^k = {}_1F_0[\alpha; z]$$

*Proof.* (One option) Taylor series:

$$\frac{1}{k!} \frac{d^k}{dx^k} (1+x)^{\alpha} \Big]_{x=0} = \frac{\alpha(\alpha-1)\cdots(\alpha-(k-1))}{k!}.$$

# 4.3 The symmetric group

Let  $n \in \mathbb{Z}_{>0}$ . The vector space of  $n \times n$  matrices

$$M_n(\mathbb{C})$$
 has  $\mathbb{C}$ -basis  $\{E_{ij} \mid i, j \in 1, \dots, n\},\$ 

whee  $E_{ij}$  is the matrix with 1 in the (i, j) entry and 0 elsewhere.

A permutation of n is  $w \in M_{n \times n}(\mathbb{C})$  such that

- (a) There is exactly one nonzero entry in each row and each column.
- (b) The nonzero entries are 1.

The symmetric group is the set

$$S_n = \{ w \in M_{n \times n}(\mathbb{C}) \mid w \text{ is a permutation of } \{1, \dots, n\} \}$$

with matrix multiplication. Identify a permutation  $w \in M_{n \times n}(\mathbb{C})$  with a bijection  $w : \{1, \ldots, n\} \to \{1, \ldots, n\}$  by

$$w(i) = j$$
 if  $w_{ii} = 1$ ,

where  $w_{ij}$  is the (i,j)-entry of the matrix w. The transpositions, or reflections, in  $S_n$  are

$$s_{ij} = 1 + E_{ij} + E_{ji} - E_{ii} - E_{jj}$$
, for  $i, j \in \{1, ..., n\}$  with  $i \neq j$ .

The *simple transositions* are

$$s_1 = s_{12}, s_2 = s_{23}, \ldots, s_{n-1} = s_{n-1,n}.$$

The general linear group is the set

$$GL_n(\mathbb{C}) = \{ A \in M_n(\mathbb{C}) \mid \text{there exists } A^{-1} \in M_n(\mathbb{C}) \text{ with } AA^{-1} = 1 \text{ and } A^{-1}A = 1 \}$$

with matrix multiplication.

Proposition 4.7. The maps

$$GL_n(\mathbb{C}) \times GL_m(\mathbb{C}) \longrightarrow GL_{n+m}(\mathbb{C}) \qquad S_n \times S_m \longrightarrow S_{n \times m}$$

$$(A,B) \longmapsto \left(\begin{array}{c|c} A & 0 \\ \hline 0 & B \end{array}\right) \qquad and \qquad (v,w) \longmapsto \left(\begin{array}{c|c} v & 0 \\ \hline 0 & w \end{array}\right)$$

are injective group homomorphisms.

Let  $|gamma_1 = E_{11}$  in  $S_1$  and

$$\gamma_k = E_{12} + E_{23} + \dots + E_{k-1,k} + E_{k1}$$
 in  $S_k$ ,

for  $k \in \mathbb{Z}_{>1}$ . For  $\mu_1, \dots, \mu_\ell \in \mathbb{Z}_{>0}$  let

$$\gamma_{\mu} = \gamma_{\mu_1} \times \cdots \times \gamma_{\mu_{\ell}}$$
 in  $S_{\mu_1} \times \cdots S_{\mu_{\ell}} \subseteq S_{\mu_1 + \cdots + \mu_{\ell}}$ .

A Coxeter element of  $S_n$  is an element of the conjugacy class of  $\gamma_n$  in  $S_n$ . For  $\mu_1, \ldots, \mu_\ell \in \mathbb{Z}_{>0}$  let  $n = \mu_1 + \ldots + \mu_\ell$  and let

 $[\gamma_{\mu}]$  denote the conjugacy class of  $\gamma_{\mu}$  in  $S_n$ .

A partition of n is  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  such that  $\lambda_1, \dots, \lambda_\ell \in \mathbb{Z}_{>0}$  and  $\lambda_1 \geq \dots \geq \lambda_\ell$  and  $\lambda_1 + \dots + \lambda_\ell = n$ .

### Theorem 4.8.

(a) The map

(b) If  $\lambda$  is a partition of n and  $m_i$  is the number of parts of size i (write  $\lambda = (1^{m_1}2^{m_2}\cdots)$ ) then

$$\operatorname{Card}([\gamma_{\lambda}]) = \frac{n!}{z_{\lambda}}, \quad where \quad z_{\lambda} = (1^{m_1} 2^{m_2} \cdots)(m_1! m_2! \cdots).$$

*Proof.* For example, if w = (531624) then

$$PICTURE = PICTURE = \gamma_{42}$$

and if

$$\gamma_{\lambda} = \gamma_1 \times \gamma_1 \times \gamma_1 \times \gamma_1 \times \gamma_2 \times \gamma_2 \times \gamma_2 \times \gamma_3 \times \gamma_4 \times \gamma_4$$

then

$$Card(Stab(\gamma_{\lambda})) = 4! \cdot 1 \cdot 1 \cdot 1 \cdot 1 \cdot 3! \cdot 2 \cdot 2 \cdot 2 \cdot 3 \cdot 2! \cdot 4 \cdot 4$$
$$= 4! \cdot 1^{4} \cdot 3! \cdot 2^{3} \cdot 1! \cdot 3^{1} \cdot 2! \cdot 4^{2}$$

so that

$$\operatorname{Card}([\gamma_{\lambda}]) = \frac{\operatorname{Card}(S_n)}{\operatorname{Card}(\operatorname{Stab}(\gamma_{\lambda}))} = \frac{n!}{z_{\lambda}}.$$

# 5 Weeks 2: Posets and maximal chains

#### 5.1 Posets and lattices

Two examples:

(1) Let  $n \in \mathbb{Z}_{>0}$ . The subset lattice of  $\{1, \ldots, n\}$  is

$$\mathbb{S}(n) = \{\text{subsets of } \{1, \dots, n\}\}\$$
 partially ordered by inclusion.

(2) The Young lattice is

$$\mathbb{Y} = \{\text{partitions}\}$$
 partially ordered by inclusion.

PICTURE

The subset lattice S(3)

The Young lattice Y

Then

$$\mathbb{S}(n) = \bigsqcup_{k=0}^{n} \mathbb{S}(n)_{k}$$
, where  $\mathbb{S}(n) = \{\text{subsets of } \{1, \dots, n\} \text{ with cardinality } k\}$ ,

and

$$\mathbb{Y} = \bigsqcup_{n=0}^{\infty} \mathbb{Y}_n$$
, where  $\mathbb{Y}_n = \{\text{partitions with } n \text{ boxes}\}.$ 

#### 5.1.1 Posets

Let S be a set. A relation on S is a subset of  $S \times S$ .

Write 
$$x \leq y$$
 if  $(x, y)$  is in the relation  $\leq$ .

A partially ordered set, or poset, is a set P with a relation  $\leq$  on P such that

- (a) If  $x \in P$  then  $x \leq x$ ,
- (b) If  $x, y, z \in P$  and  $x \le y$  and  $y \le z$  then  $x \le z$ , and
- (c) If  $x, y \in P$  and  $x \le y$  and  $y \le x$  then x = y.

The  $Hasse \ diagram$  of P is the graph with

Vertices: 
$$P$$
 and Directed edges:  $x \to y$  if  $x \le y$ .

A maximal chain in P is a function

$$\mathbb{Z}_{>0} \to P$$
 such that (a) if  $i \in \mathbb{Z}_{>0}$  then  $x_i < x_{i+1}$ ,

 $i \mapsto r_i$  such that

(b) There does not exist  $y \in P$  such that  $x_i < y < x_{i+1}$ .

### 5.1.2 Lattices

Let P be a poset and  $E \subseteq P$ .

The influmum, or greatest lower bound of E in P is an element  $\ell \in P$  such that

- (a) If  $p \in E$  then  $\ell \leq p$ ,
- (b) If  $m \in P$  and m satisfies the condition (if  $p \in E$  then  $m \leq p$ ) then  $m \leq \ell$ .

The supremum, or least upper bound of E in P is an element  $\gamma \in P$  such that

- (a) If  $p \in E$  then  $p \geq \gamma$ ,
- (b) If  $\tau \in P$  and  $\tau$  satisfies the condition

if 
$$p \in E$$
 then  $p \le \tau$ 

then  $\gamma \leq \tau$ .

For  $k \in \mathbb{Z}_{>0}$  and  $x_1, \ldots, x_k \in P$  use the notation

$$\inf(x_1, \dots, x_k) = \inf(\{x_1, \dots, x_k\})$$
 and  $\sup(x_1, \dots, x_k) = \sup(\{x_1, \dots, x_k\})$ .

A lattice is a poset P such that

if 
$$x, y \in P$$
 then  $\inf(x, y)$  and  $\sup(x, y)$  exist in  $P$ .

### 5.1.3 Modular lattices

Let P be a lattice. Use the notation

$$x \wedge y = \inf(x, y)$$
 and  $x \vee y = \sup(x, y)$ ,

and the language is  $x \wedge y$  is "x meet y" and  $x \vee y$  is "x join y".

A modular lattice is a lattice P such that

if 
$$m, n, p \in P$$
 and  $p \le m$  then  $m \lor (n \land p) = (m \lor p) \land n$ .

**Theorem 5.1.** Let A be a  $\mathbb{Z}$ -algebra and let V be an A-module. Let

$$\mathbb{G}(V) = \{A\text{-submodules of } V\}$$
 partially ordered by inclusion.

Then  $\mathbb{G}(V)$  is a modular lattice.

**Proposition 5.2.** Let A be a  $\mathbb{Z}$ -algebra and let V be an A-module. Let  $M, N, P \in \mathbb{G}(V)$ .

(a) (infimums exist)

$$\inf(M,N) = M \cap N = \{v \in V \mid v \in M \text{ and } v \in N\}.$$

(b) (supremums exist)

$$\sup(M, N) = M + N = \{m + n \mid m \in M \text{ and } n \in N\}.$$

(c) (modular law)

If 
$$P \subseteq M$$
 then  $M + (N \cap P) = (M+) \cap P$ .

(d) (modular property)

$$\frac{M+N}{M} \cong \frac{N}{M \cap N}.$$

**Proposition 5.3.** Let  $A = \mathbb{F}_q$  and let V be an A-module so that  $V = \mathbb{F}_q^n$ , where  $n = \dim(V)$  as an  $\mathbb{F}_q$ -vector space. Then

$$\mathbb{G}(\mathbb{F}_q^n) = \bigsqcup_{k=0}^n \mathbb{G}(\mathbb{F}_q^n)_k, \qquad \text{where} \quad \mathbb{G}(FF_q^n)_k = \{\mathbb{F}_q\text{-subspaces }W \text{ of } \mathbb{F}_q^n \text{ with } \dim(W) = k\}$$

and

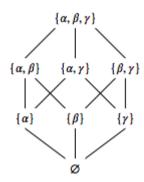
$$\operatorname{Card}(\mathbb{G}(\mathbb{F}_q^n)_k) = \begin{bmatrix} n \\ k \end{bmatrix}.$$

#### 5.2 Partially ordered sets

Let S be a set.

- A partial order on S is a relation  $\leq$  on S such that
  - (a) If  $x \in A$  then  $x \leq x$ ,
  - (b) If  $x, y, z \in S$  and  $x \le y$  and  $y \le z$  then  $x \le z$ , and
  - (c) If  $x, y \in S$  and  $x \le y$  and  $y \le x$  then x = y.
- A total order on S is a partial order  $\leq$  such that

- (d) If  $x, y \in S$  then  $x \leq y$  or  $y \leq x$ .
- A partially ordered set, or poset, is a set S with a partial order  $\leq$  on S.
- A totally ordered set is a set S with a total order  $\leq$  on S.



The poset of subsets of  $\{\alpha, \beta, \gamma\}$  with inclusion as  $\leq$ 

Let S be a poset. Write

$$x < y$$
 if  $x \le y$  and  $x \ne y$ .

• The Hasse diagram of S is the graph with vertices S and directed edges given by

$$x \to y$$
 if  $x \le y$ .

• A lower order ideal of S is a subset E of S such that

if 
$$y \in E$$
 and  $x \in S$  and  $x \le y$  then  $x \in E$ .

 $\bullet$  The intervals in S are the sets

$$\begin{split} S_{[a,b]} &= \{x \in S \mid a \leq x \leq b\} \\ S_{[a,b)} &= \{x \in S \mid a \leq x < b\} \\ S_{(-\infty,b]} &= \{x \in S \mid x \leq b\} \\ S_{(-\infty,b)} &= \{x \in S \mid x \leq b\} \\ S_{(-\infty,b)} &= \{x \in S \mid x < b\} \\ S_{(a,\infty)} &= \{x \in S \mid a \leq x\} \\ S_{(a,\infty)} &= \{x \in S \mid a < x\} \end{split}$$

for  $a, b \in S$ .

#### 5.2.1 Upper and lower bounds, sup and inf

Let S be a poset and let E be a subset of S.

- An upper bound of E in S is an element  $b \in S$  such that if  $y \in E$  then  $y \leq b$ .
- A lower bound of E in S is an element  $l \in S$  such that if  $y \in E$  then  $l \leq y$ .
- A greatest lower bound of E in S is an element  $\inf(E) \in S$  such that
  - (a)  $\inf(E)$  is a lower bound of E in S, and
  - (b) If  $l \in S$  is a lower bound of E in S then  $l \leq \inf(E)$ .
- A least upper bound of E in S is an element  $\sup(E) \in S$  such that

- (a)  $\sup(E)$  is a upper bound of E in S, and
- (b) If  $b \in S$  is a upper bound of E in S then  $\sup(E) \leq b$ .
- The set E is bounded in S if E has both an upper bound and a lower bound in S.

**Proposition 5.4.** Let S be a poset and let E be a subset of S. If  $\sup(E)$  exists then  $\sup(E)$  is unique.

# 5.3 The subspace lattice $\mathbb{G}(\mathbb{F}^n)$

The subspace lattice of  $\mathbb{F}^n$  is

$$\mathbb{G}(\mathbb{F}^n) = \{\mathbb{F}\text{-subspaces of }\mathbb{F}^n\}$$
 partially ordered by inclusion.

Then  $\mathbb{G}(\mathbb{F}^n)$  is a ranked modular lattice

$$\mathbb{G}(\mathbb{F}^n) = \bigsqcup_{k=0}^n \mathbb{G}(\mathbb{F}^n)_k, \quad \text{where} \quad \mathbb{G}(\mathbb{F}^n)_k = \{\mathbb{F}\text{-subspaces } V \subseteq \mathbb{F}^n \text{ with } \dim(V) = k\}.$$

**Theorem 5.5.** Let  $\mathbb{F}_q$  be a finite field with q elements. For  $r \in \mathbb{Z}_{>0}$  let

$$[r] = \frac{q^r - 1}{q - 1}, \qquad [r]! = [r][r - 1] \cdot [2][1], \qquad and \ let \qquad \begin{bmatrix} n \\ k \end{bmatrix} = \frac{[n]!}{[k]! [n - k]!},$$

for  $k \in \{0, 1, ..., n\}$ . Then

$$\operatorname{Card}(\mathbb{G}(\mathbb{F}_q^n)_k) = \begin{bmatrix} n \\ k \end{bmatrix}$$

and

$$\sum_{k=0}^{n} x^{k} q^{\frac{1}{2}k(k-1)} {n \brack k} = (1+x)(1+xq)\cdots(1+xq^{n-1}) = (-x;q)_{n}.$$

# **5.3.1** Automorphisms of $\mathbb{G}(\mathbb{F}^n)$

A morphism of posets is a function  $f: P \to Q$  such that P and Q are posets and

if 
$$x, y \in P$$
 and  $x \le y$  then  $f(x) \le f(y)$ .

An isomorphism of posets is a morphism  $f: P \to Q$  such that the inversee function  $f^{-1}: Q \to P$  exists and  $f^{-1}$  is a morphism of posets.

A automorphism of P is an isomorphism  $f: P \to P$  of posets.

**Proposition 5.6.** Let  $\mathbb{F}$  be a field.

$$Aut(\mathbb{G}(\mathbb{F}^n)) = GL_n(\mathbb{F}),$$

where  $GL_n(\mathbb{F}) = \{ g \in M_n(\mathbb{F}) \mid g^{-1} \text{ exists in } M_n(\mathbb{F}) \}.$ 

**HW:**. Give an example of a morphism  $f: P \to Q$  of finite posets that is bijective and is not an isomorphism of posets.

### 5.3.2 Projective space and cosets

Let  $\mathbb{F}$  be a field and define an equivalence relation on  $\mathbb{F}^n - \{(0, \dots, 0)\}$  by

$$[a_1, \ldots, a_n] = [\lambda a_1, \ldots, \lambda a_n], \quad \text{if } a_1, \ldots, a_n \in \mathbb{F} \text{ and } \lambda \in \mathbb{F}^{\times}.$$

The projective space  $\mathbb{P}^{n-1}$  is

$$\mathbb{P}^{n-1} = \{ \text{equivalence classes} \}.$$

Let  $\{e_1, \ldots, e_n\}$  be an  $\mathbb{F}$ -basis of  $\mathbb{F}^n$  and let

$$E = (0 = E_0 \subsetneq E_1 \subsetneq \cdots \subsetneq E_n = \mathbb{F}^n), \quad \text{where} \quad E_k = FF\text{-span}\{e_1, \dots, e_k\},$$

for  $k \in \{0, \ldots, n\}$ . Let

$$B = \left\{ \begin{pmatrix} * & \cdots & * \\ & \ddots & \vdots \\ & 0 & * \end{pmatrix} \right\} \quad \text{and} \quad P_k = ????$$

for  $k \in \{1, ..., n\}$ .

**Proposition 5.7.** Let  $G = GL_n(\mathbb{F}^n) = \operatorname{Aut}(\mathbb{G}(\mathbb{F}^n))$  acting on  $\mathbb{G}(\mathbb{F}^n)$ .

(a)  $\operatorname{Stab}_{G}(E_{k}) = P_{k} \quad and \quad \operatorname{Stab}_{G}(E) = B.$ 

(b) 
$$\mathbb{G}(\mathbb{F}^n)_k \cong G/P_k \quad and \quad \mathcal{F}(\mathbb{G}(\mathbb{F}^n)) \cong G/B,$$

where  $\mathcal{F}(\mathbb{G}(\mathbb{F}^n)) = \{ \text{maximal chains in } \mathbb{G}(\mathbb{F}) \}.$ 

(c) 
$$\mathbb{G}(\mathbb{F}^n)_1 \cong \mathbb{P}^{n-1} \quad and \quad \mathbb{G}(\mathbb{F}^n)_{n-1} \cong \mathbb{P}^{n-1} \quad and \quad \mathbb{G}(\mathbb{F}^n)_k \cong \mathbb{G}(\mathbb{F}^n)_{n-k}.$$

### 5.3.3 Counting and the Hecke algebra

Let  $\mathbb{F}_q$  be a finite field with q elements.

### Proposition 5.8.

(a)

$$\operatorname{Card}(GL_n(\mathbb{F}_q^n)) = [n]! q^{\frac{1}{2}n(n-1)} (q-1)^n, \quad \operatorname{Card}(B) = q^{\frac{1}{2}n(n-1)} (q-1)^n, \quad \operatorname{Card}(\mathcal{F}(\mathbb{G}(\mathbb{F}_q^n))) = [n]!.$$

(b) 
$$\operatorname{Card}(\mathbb{P}^1) = 1 + q, \quad and \quad \operatorname{Card}(\mathbb{P}^{n-1}) = 1 + q + \dots + q^{n-1}.$$

Let  $\mathbb{C}[G/B]$  be a vector space with basis indexed by the element of  $\mathcal{F}(\mathbb{G}(\mathbb{F}_q^n))$ . The group  $G = GL_n(\mathbb{F}_q)$  acts on  $\mathbb{C}[G/B]$  by the  $\mathbb{C}$ -linear maps given by

$$g(0 \subsetneq V_1 \subsetneq \cdots \subsetneq V_n) = (0 \subsetneq gV_1 \subsetneq \cdots \subsetneq gV_n), \quad \text{for } g \in GL_n(\mathbb{F}_q).$$

**Theorem 5.9.** For  $I \in \{1, ..., n-1\}$  define a  $\mathbb{C}$ -linear map  $T_i : \mathbb{C}[G/B] \to \mathbb{C}[G/B]$  by

$$T_i(0 \subsetneq V_1 \subsetneq \cdots \subsetneq V_n) = \sum_{V_{i-1} \subseteq W \subseteq V_{i+1}} (0 \subsetneq V_1 \subsetneq \cdots \subsetneq V_{i-1} \subsetneq W \subsetneq V_{i+1} \subsetneq \cdots \subsetneq V_n).$$

Then

$$T_j^2 = (q-1)T_j + q,$$
  $T_iT_{i+1}T_i = T_{i+1}T_iT_{i+1},$   $T_jT_k = T_kT_j,$   $gT_j = T_jg$   
for  $j, k \in \{1, ..., n-1\}$  and  $i \in \{1, ..., n-2\}$  with  $k \notin \{j-1, j+1\}$  and  $g \in GL_n(\mathbb{F}_q)$ .

# 5.4 The subset lattice $\mathbb{S}(n)$

The subset lattice  $\mathbb{S}(n)$  is

$$\mathbb{S}(n) = \{\text{subsets of } \{1, \dots, n\}\},$$
 partially ordered by inclusion.

The subset lattice  $\mathbb{S}(n)$  is a ranked modular lattice with

$$\mathbb{S}(n) = \bigsqcup_{k=0}^{n} \mathbb{S}(n)_{k}, \quad \text{wtih} \quad \mathbb{S}(n)_{k} = \{\text{subsets } V \subseteq \{1, \dots, n\} \text{ with } \operatorname{Card}(V) = k\}.$$

Then

$$\operatorname{Card}(\mathbb{S}(n)_k) = \binom{n}{k}$$
 and  $\sum_{k=0}^n x^k \binom{n}{k} = (1+x)^n$ 

is the rank generating function for  $\mathbb{S}(n)$ .

**Proposition 5.10.** The automorphism group of  $\mathbb{S}(n)$  is the symmetric group

$$\operatorname{Aut}(\mathbb{S}(n)) = S_n.$$

**Proposition 5.11.** For  $k \in \{1, ..., n\}$  let  $E_k = \{1, ..., k\}$ . Then  $E_k \in \mathbb{S}(n)$  and  $(\emptyset \subsetneq E_1 \subsetneq ... \subsetneq E_n)$  is a maximal chain in  $\mathbb{S}(n)$ .

$$\operatorname{Stab}_{S_n}(E_k) = S_k \times S_{n-k}$$
 and  $\operatorname{Stab}_{S_n} = \{1\} = S_1 \times \dots \times S_1.$   

$$\mathbb{S}(n)_k \cong \frac{S_n}{S_k \times S_{n-k}}$$
 and  $\mathcal{F}(\mathbb{S}(n)) \cong S_n/\{1\} = S_n.$   

$$\mathbb{S}(n)_k \cong \mathbb{S}(n)_n \ k.$$

### 5.4.1 Maximal chains in $\mathbb{S}(n)$

Proposition 5.12. The map

$$\mathcal{F}(\mathbb{S}(n)) \longrightarrow S_n \\
(\emptyset \subsetneq V_1 \subsetneq \cdots \subsetneq V_n) \longmapsto (V_1, V_2 - V_1, \dots, V_n - V_{n-1}) \quad is \ a \ bijection.$$

Let  $\mathbb{C}S_n$  be the vector space with basis indexed by the elements of  $\mathcal{F}(\mathbb{S}(n))$ . For  $i \in \{1, \ldots, n-1\}$  define a  $\mathbb{C}$ -linear transformation  $s_i : \mathbb{C}S_n \to \mathbb{C}S_n$  by

$$s_i(\emptyset \subsetneq V_1 \subsetneq \cdots \subsetneq V_n) = \sum_{V_{i-1} \subsetneq W \subsetneq V_{i+1}} (\emptyset \subsetneq V_1 \subsetneq \cdots \subsetneq V_{i-1} \subsetneq W \subsetneq V_{i+1} \subsetneq \cdots \subsetneq V_n).$$

Then

$$s_i^2 = 1,$$
  $s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1},$   $s_i s_j = s_j s_i$  if  $j \notin \{i-1, i+1\},$ 

and

$$s_i g = g s_i, \quad \text{for } g \in S_n,$$

where

$$g(\emptyset \subseteq V_1 \subseteq \cdots \subseteq V_n) = (\emptyset \subseteq gV_1 \subseteq \cdots \subseteq gV_n)$$
 for  $g \in S_n$  and  $(\emptyset \subseteq V_1 \subseteq \cdots \subseteq V_n) \in \mathcal{F}(\mathbb{S}(n))$ .

### 5.4.2 Simple reflections

Let  $S_n$  be the symmetric group of permutation matrices and let

$$s_i = 1 + E_{i,i+1} + E_{i+1,i} - E_{ii} - E_{i+1,i+1},$$
 for  $i \in \{1, \dots, n-1\}.$ 

**Theorem 5.13.** The symmetric group  $S_n$  is presented by generators  $s_1, \ldots, s_{n-1}$  and relations

$$s_j^2 = 1,$$
  $s_i s_{is+1} s_i = s_{i+1} s_i s_{i+1},$   $s_j s_k = s_k s_j,$ 

for  $j, k \in \{1, ..., n-1\}$  with  $k \notin \{j-1, j+1\}$  and  $i \in \{1, ..., n-2\}$ .

*Proof.* The proof requires four steps:

- (1) Generators A in terms of generators B.
- (2) Generators B in terms of generators A.
- (3) Relations A from relations B.
- (4) Relations B from relations A.

Here

Generators A: { permutation matrices}

Relations A: { matrix multiplication of permutation matrices}

Generators B: { simple transpositions}

Relations B: { the braid relations in the statement }

### 5.4.3 Reduced words

Let  $w \in S_n$ . A reduced word for w is an expression  $w = s_{i_1} \cdots s_{i_\ell}$  with  $i_1, \ldots, i_\ell \in \{1, \ldots, n-1\}$  and  $\ell$  minimal. The *length* of w is  $\ell(w)$ , the length of a reduced word for w.

Let  $w \in S_n$ . The following is an explicit algorithm for producing a reduced word for w. Let  $j_1 > 1$  be minimal such that  $w_{j,1} \neq 0$ . If  $j_1$  does not exist set  $w^{(1)} = w$  and if  $j_1$  does exist set

$$w^{(1)} = s_1 \cdots s_{j_1 - 1} w.$$

Let  $j_2 > 2$  be minimal such that  $w_{j,2}^{(1)} \neq 0$ . If  $j_2$  does not exist set  $w^{(2)} = w^{(1)}$  and if  $j_2$  does exist set

$$w^{(2)} = s_2 \cdots s_{j_2-1} w^{(1)}.$$

Continue this process to produce  $w^{(1)}, \ldots, w^{(n)}$ . Then  $w^{(n)} = 1$  and

$$w = \cdots (s_{j-2-1} \cdots s_2)(s_{j_1-1} \cdots s_1)$$
 is a reduced word for  $w$ .

Proposition 5.14. Let

$$Inv(w) = \{(i, j) \mid i, j \in \{1, ..., n\} \text{ with } i < j \text{ and } w(i) > w(j)\}.$$

Then  $\ell(w) = \operatorname{Card}(\operatorname{Inv}(w))$ .

Define a graph  $\Gamma(w)$  with

Vertices:  $\{\text{reduced words of } w\}$ 

Edges:  $u \to u'$  if  $u' = s_{i_1} \cdots s_{i_\ell}$  is obtained from  $u = s_{j_1} \cdots s_{j_\ell}$  by applying a relation  $s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1}$  or a relation  $s_i s_j = s_j s_i$  with  $j \notin \{i-1, i+1\}$ .

**Theorem 5.15.** Let  $w \in S_n$ , The graph  $\Gamma(w)$  of reduced words of w is connected.

Proof. Let

$$w = s_{i_1} \cdots s_{i_\ell}$$
 and  $w = s_{j_1} \cdots s_{j_\ell}$ 

be reduced words.

Case 1:  $i_1 = j_1$ . The two reduced words for w have the same first letter. By induction, the reduced words  $v = s_{i_2} \cdots s_{i_\ell}$  and  $v = s_{j_2} \cdots s_{j_\ell}$  are connected.

Case 2:  $i_1 \neq j_1$ . Since  $\ell(s_{j_1w}) < \ell(w)$  then there exists k such that  $s_{j_1}w = s_{i_1} \cdots s_{i_{k-1}}s_{i_k}s_{i_{k+1}} \cdots s_{i_{\ell}}$ . Case 2a:  $k \neq \ell$ . Then

$$w=s_{j_1}\cdots s_{j_\ell}$$
 
$$w=s_{j_1}s_{i_1}\cdots s_{i_{k-1}}s_{i_k}s_{i_{k+1}}\cdots s_{i_\ell} \quad \text{and}$$
 
$$w=s_{i_1}\cdots s_{i_\ell}$$

are all reduced words for w. Since the first factor is the same in the first two of these they are connected. Since the last factor is the same in the last two of these they are connected. So, by transitivity, the first is connected to the last.

Case 2b:  $k = \ell$  and  $j_1 \notin \{i_1 - 1, i_1 + 1\}$ . Then

$$w = s_{j_1} \cdots s_{j_\ell},$$

$$w = s_{j_1} s_{i_1} \cdots s_{i_{\ell-1}},$$

$$w = s_{i_1} s_{j_1} \cdots s_{i_{\ell-1}} \quad \text{and}$$

$$w = s_{i_1} s_{i_2} \cdots s_{i_\ell}$$

and the first two are connected since they have the same first letter, the middle two are connected by the move  $s_{j_1}s_{i_1} = s_{j_1}s_{i_1}$  and the last two are connected since they have the same first letter.

Case 2c:  $k = \ell$  and  $j_1 \in \{i_1 - 1, i_1 + \}$ . Then

$$\begin{split} w &= s_{i_1} s_{i_2} \cdots s_{i_\ell}, \\ w &= s_{i_1} s_{j_1} s_{i_1} \cdots s_{i_{r-1}} \cancel{s_{i_r}} s_{i_{r+1}} \cdots s_{i_{\ell-1}}, \\ w &= s_{j_1} s_{i_1} s_{j_1} \cdots s_{i_{r-1}} \cancel{s_{i_r}} s_{i_{r+1}} \cdots s_{i_{\ell-1}}, \quad \text{and} \\ w &= s_{j_1} s_{j_2} \cdots s_{j_\ell}, \end{split}$$

and the first two are connected since they have the same first letter, the middle two are connected by the move  $s_{i_1}s_{j_1}s_{i_1}=s_{j_1}s_{i_1}s_{j_1}$  and the last two are connected since they have the same first letter.  $\Box$ 

# 6 Week 3: Generating symmetric functions

## 6.1 Generating function definitions

Define

$$(a;q)_k = (1-a)(1-aq)\cdots(1-aq^{k-1})$$
 and  $(a;q)_\infty = (1-a)(1-aq)(1-aq^2)\cdots$ 

Define  $g_r = g_r(x;q,t), q_r = q_r(x;t), h_r = h_r(x), e_r = e_r(x)$  by the generating functions

$$\prod_{i=1}^{n} \frac{(tx_{i}z; q)_{\infty}}{(x_{i}z; q)_{\infty}} = \sum_{r \in \mathbb{Z}_{\geq 0}} g_{r}z^{r}, \qquad \qquad \prod_{i=1}^{n} \frac{1 - tx_{i}z}{1 - x_{i}z} = \sum_{r \in \mathbb{Z}_{\geq 0}} q_{r}z^{r}, 
\prod_{i=1}^{n} \frac{1}{1 - x_{i}z} = \sum_{r \in \mathbb{Z}_{\geq 0}} h_{r}z^{r}, \qquad \qquad \prod_{i=1}^{n} (1 + x_{i}z) = \sum_{r \in \mathbb{Z}_{\geq 0}} e_{r}z^{r},$$

**Remark 6.1.** In later sections we will understand that the  $g_r$  are, up to a normalization factor, the Macdonald polynomials for a single row, the  $q_r$  are Hall-Littlewood polynomials for a single row, and the  $h_r$  are Schur functions for a single row. In formulas

$$g_r = \frac{(t;q)_r}{(q;q)_r} P_{(r)}(x;q,t),$$
 one row Macdonald polynomials,  
 $q_r = (1-t)P_{(r)}(x;0,t),$  one row Hall-Littlewood polynomials,  
 $h_r = s_{(r)}(x),$  one row Schur functions, and  
 $e_r = P_{(1^r)}(x;q,t)$  one column Macdonald polynomials,  
 $= P_{(1^r)}(x;0,t)$  one column Hall-Littlewood polynomials,  
 $= s_{(1^r)}(x)$  one column Schur functions.  $\square$ 

Let us extend these definitions just slightly by defining  $\tilde{g}_r = \tilde{g}_r(x;q,t,u)$  and  $\tilde{q}_r = \tilde{q}_r(x;t,u)$  by

$$\prod_{i=1}^{n} \frac{(tx_i z; q)_{\infty}}{(ux_i z; q)_{\infty}} = \sum_{r \in \mathbb{Z}_{>0}} \tilde{g}_r z^r \quad \text{and} \quad \prod_{i=1}^{n} \frac{1 - tx_i z}{1 - ux_i z} = \sum_{r \in \mathbb{Z}_{>0}} \tilde{q}_r z^r.$$

This is not really an extension since  $g_r(x;q,t) = \tilde{g}_r(x;q,t,1)$  and

$$\tilde{g}_r(x_1,\ldots,x_n;q,t,u) = u^r \tilde{g}_r(u^{-1}x_1,\ldots,u^{-1}x_n;q,t,u) = u^r g_r(x;q,tu^{-1}),$$

so that any formula for  $\tilde{g}_r$  immediately converts to a formula for  $g_r$  and vice versa. From the generating function definitions,

$$\tilde{q}_r(x;t,u) = \tilde{g}_r(x;0,t,u), \qquad q_r(x;t) = \tilde{g}_r(x;0,t,1), h_r(x) = \tilde{g}_r(x;0,0,1), \qquad e_r(x) = \tilde{g}_r(x;0,-1,0).$$
(6.1)

### 6.2 Formulas in terms of power sums

The power sums  $p_r \in \mathbb{C}[x_1, \dots, x_n]$ , for  $r \in \mathbb{Z}_{>0}$ , are defined by

$$p_0 = 1$$
 and  $p_r = x_1^r + \dots + x_n^r$  for  $r \in \mathbb{Z}_{>0}$ .

For a sequence of nonnegative integers  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  define

$$p_{\lambda} = p_{\lambda_1} p_{\lambda_2} \cdots p_{\lambda_{\ell}}.$$

Since

$$\log(1-z) = \int \frac{-1}{1-z} dz = \int -(1+z+z^2+\cdots) dz = -z - \frac{1}{2}z^2 - \frac{1}{3}z^3 - \cdots = -\sum_{r \in \mathbb{Z}_{\geq 0}} \frac{1}{r}z^r$$

then

$$\log\left(\prod_{i=1}^{n} \frac{(tx_{i}z;q)_{\infty}}{(ux_{i}z;q)_{\infty}}\right) = \sum_{i=1}^{n} \sum_{\ell \in \mathbb{Z}_{\geq 0}} \left(\log(1 - tx_{i}zq^{\ell}) - \log(1 - ux_{i}zq^{\ell})\right)$$

$$= \sum_{i=1}^{n} \sum_{\ell \in \mathbb{Z}_{\geq 0}} \sum_{r \in \mathbb{Z}_{> 0}} \left(-\frac{1}{r}t^{r}x_{i}^{r}q^{\ell r}z^{r} + \frac{1}{r}u^{r}x_{i}^{r}q^{\ell r}z^{r}\right)$$

$$= \sum_{\ell \in \mathbb{Z}_{> 0}} \sum_{r \in \mathbb{Z}_{> 0}} \frac{1}{r}(u^{r} - t^{r})q^{\ell r}p_{r}z^{r} = \sum_{r \in \mathbb{Z}_{> 0}} \left(\frac{u^{r} - t^{r}}{1 - q^{r}}\right)\frac{p_{r}}{r}z^{r}.$$
(6.2)

Define

$$z_{\lambda}(q,t,u) = z_{\lambda} \prod_{i=1}^{\ell(\lambda)} \frac{1 - q^{\lambda_i}}{u^{\lambda_i} - t^{\lambda_i}}, \quad \text{where} \quad z_{\lambda} = 1^{m_1} m_1! 2^{m_2} m_2! \cdots$$

for  $\lambda = (\lambda_1, \dots, \lambda_n) = (1^{m_1} 2^{m_2} \dots)$ . Taking the exponential of both sides of (6.2) gives

$$\prod_{i=1}^{n} \frac{(tx_i z; q)_{\infty}}{(ux_i z; q)_{\infty}} = \sum_{r \in \mathbb{Z}_{>0}} \left( \sum_{|\lambda|=r} \frac{1}{z_{\lambda}(q, t, u)} p_{\lambda}(x) \right) z^r$$

so that

$$\tilde{g}_r = \sum_{|\lambda|=r} \frac{1}{z_\lambda(q,t,u)} p_\lambda(x) = \sum_{|\lambda|=r} \left( \prod_{i=1}^{\ell(\lambda)} \frac{u^{\lambda_i} - t^{\lambda_i}}{1 - q^{\lambda_i}} \right) \frac{p_\lambda}{z_\lambda}.$$
(6.3)

Applying (6.1) gives

$$\tilde{q}_r = \sum_{|\lambda|=r} \left( \prod_{i=1}^{\ell(\lambda)} (u^{\lambda_i} - t^{\lambda_i}) \right) \frac{p_{\lambda}}{z_{\lambda}}, \qquad q_r = \sum_{|\lambda|=r} \left( \prod_{i=1}^{\ell(\lambda)} (1 - t^{\lambda_i}) \right) \frac{p_{\lambda}}{z_{\lambda}},$$

$$h_r = \sum_{|\lambda|=r} \frac{1}{z_{\lambda}} p_{\lambda}(x), \qquad e_r = \sum_{|\lambda|=r} (-1)^{r-\ell(\lambda)} \frac{p_{\lambda}}{z_{\lambda}}.$$

# 6.3 Generalized Newton identities

Taking the coefficient of  $z^r$  on each side of the identity

$$\prod_{i=1}^{n} \frac{(tx_i z; q)_{\infty}}{(ux_i z; q)_{\infty}} \prod_{i=1}^{n} \frac{(ux_i z; q)_{\infty}}{(sx_i z; q)_{\infty}} = \prod_{i=1}^{n} \frac{(tx_i z; q)_{\infty}}{(sx_i z; q)_{\infty}}$$

gives

$$\tilde{g}_r(x;q,t,u) + \left(\sum_{j=1}^{r-1} \tilde{g}_j(x;q,t,u) \tilde{g}_{r-j}(x;q,u,s)\right) + \tilde{g}_r(x;q,u,s) = \tilde{g}_r(x;q,t,s). \tag{6.4}$$

Using the specializations in (6.1),

$$\tilde{q}_{r}(x;t,u) + \left(\sum_{j=1}^{r-1} \tilde{q}_{j}(x;t,u)\tilde{q}_{r-j}(x;u,s)\right) + \tilde{q}_{r}(x;u,s) = \tilde{q}_{r}(x;t,s),$$

$$\tilde{q}_{r}(x;t,u) + \left(\sum_{j=1}^{r-1} h_{j}(x)u^{j}\tilde{q}_{r-j}(x;t,u)\right) - h_{r}(x)(u^{r} - t^{r}) = 0,$$

$$\tilde{q}_{r}(x;t,u) + \left(\sum_{j=1}^{r-1} e_{j}(x)(-t)^{j}\tilde{q}_{r-j}(x;t,u)\right) + (-1)^{r}e_{r}(x)(u^{r} - t^{r}) = 0,$$

$$\sum_{j=0}^{r} (-t)^{r-j}(u^{j} - t^{j})h_{j}(x)e_{r-j}(x) = (u - t)\tilde{q}_{r}(x;t,u),$$

$$r\tilde{q}_{r}(x;t,u) - \left(\sum_{j=1}^{r-1} p_{j}(x)(u^{j} - t^{j})\tilde{q}_{r-j}(x;t,u)\right) - p_{r}(x)(u^{r} - t^{r}) = 0,$$

Further specializations give the Wronski identities

$$\sum_{i+j=k} (-1)^i e_i h_j = 0 \quad \text{and} \quad \sum_{i+j=k} (-1)^i (t^i q^j - 1) e_i g_j = 0$$

and the Newton identities

$$kh_k = \sum_{i=1}^{k} p_i h_{k-i}$$
 and  $ke_k = \sum_{i=1}^{k} (-1)^{i-1} p_i e_{k-i}$ . (6.5)

# **6.4** Formulas in terms of sequences $(i_1, \ldots, i_r)$

Using the geometric series expansions

$$\frac{1}{1 - ux_i z} = 1 + ux_i z + u^2 x_i^2 z^2 + \cdots$$

gives

$$\frac{1 - tx_i z}{1 - ux_i z} = 1 + \frac{(u - t)x_i z}{1 - ux_i z} = 1 + (u - t)x_i z (1 + ux_i z + u^2 x_i^2 z^2 + \cdots),$$

Apply this, factor by factor, to the product

$$\prod_{i=1}^{n} \frac{1 - tx_{i}z}{1 - ux_{i}z} = \left(\frac{1 - tx_{1}z}{1 - ux_{1}z}\right) \cdots \left(\frac{1 - tx_{n}z}{1 - ux_{n}z}\right)$$

to get

$$\tilde{q}_r = \sum_{1 \le i_1 \le \dots \le i_r \le n} (u - t)^{1 + \operatorname{Card}\{j \mid i_j < i_{j+1}\}} u^{\operatorname{Card}\{j \mid i_j = i_{j+1}\}} x_{i_1} x_{i_2} \dots x_{i_r}.$$
(6.6)

Dividing  $\tilde{q}_r$  by (u-t) and specializing t=u gives

$$\left(\frac{1}{u-t}\tilde{q}_r\right)\Big|_{t=u} = p_r = \sum_{i_1=i_2=\dots=i_r} x_{i_1}\cdots x_{i_r}.$$
(6.7)

Applying  $(1 - ux_iz)^{-1} = 1 + ux_iz + u^2x_i^2z^2 + \cdots$  and expanding, factor by factor, the product

$$\prod_{i=1}^{n} \frac{1 - tx_i z}{1 - ux_i z} = \left(\frac{1}{1 - ux_1 z}\right) \cdots \left(\frac{1}{1 - ux_n z}\right) (1 - tx_n z) \cdots (1 - tx_1 z)$$

gives

$$\tilde{q}_r = \sum_{i_1 \le i_2 \le \dots \le i_k > i_{k+1} > \dots > i_r} u^{k-1} (-t)^{r-k} x_{i_1} \dots x_{i_k} x_{i_{k+1}} \dots x_{i_r}.$$
(6.8)

Applying (6.1) gives

$$e_r = \sum_{i_1 < i_2 < \dots < i_r} x_{i_1} \cdots x_{i_r}, \quad \text{and} \quad h_r = \sum_{i_1 \le i_2 \le \dots \le i_r} x_{i_1} \cdots x_{i_r}.$$
 (6.9)

# 6.5 Formulas in terms of monomial symmetric functions

For  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$  with  $\lambda_1 \geq \dots \geq \lambda_n \geq 0$ , the monomial symmetric function is defined by

$$m_{\lambda} = \sum_{\gamma \in S_n \lambda} x^{\gamma}, \quad \text{where} \quad x^{\gamma} = x_1^{\gamma_1} \cdots x_n^{\gamma_n}.$$

Applying the expansion (from the infinite q-binomial theorem, see below)

$$\frac{(tx_iz;q)_{\infty}}{(x_iz;q)_{\infty}} = \sum_{r \in \mathbb{Z}_{>0}} \frac{(t;q)_r}{(q;q)_r} x_i^r z^r,$$

and expanding the product

$$\prod_{i=1}^{n} \frac{(tx_i z; q)_{\infty}}{(x_i z; q)_{\infty}} = \frac{(tx_1 z; q)_{\infty}}{(x_1 z; q)_{\infty}} \cdots \frac{(tx_n z; q)_{\infty}}{(x_n z; q)_{\infty}},$$

gives

$$\tilde{g}_r = \sum_{|\mu|=r} u^r \frac{(tu^{-1}; q)_{\mu}}{(q; q)_{\mu}} m_{\mu}, \quad \text{where} \quad \frac{(tu^{-1}; q)_{\mu}}{(q; q)_{\mu}} = \frac{(tu^{-1}; q)_{\mu_1} \cdots (tu^{-1}; q)_{\mu_{\ell}}}{(q; q)_{\mu_1} \cdots (q; q)_{\mu_{\ell}}}.$$

if  $\mu = (\mu_1, \dots, \mu_\ell)$ . Using the specializations in (6.1),

$$\tilde{q}_r = \sum_{|\mu|=r} u^{r-\ell(\mu)} (u-t)^{\ell(\mu)} m_{\mu}, \qquad g_r = \sum_{|\mu|=r} \frac{(t;q)_{\mu}}{(q;q)_{\mu}} m_{\mu}, \qquad q_r = \sum_{|\mu|=r} (1-t)^{\ell(\mu)} m_{\mu}.$$

$$h_r = \sum_{|\mu|=r} m_{\mu}, \qquad e_r = m_{(1^r)}, \qquad p_r = m_{(r)}.$$

### 6.6 The Cauchy-Macdonald kernel

For a sequence of nonnegative integers  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  define

$$\tilde{g}_{\lambda} = \tilde{g}_{\lambda_1} \, \tilde{g}_{\lambda_2} \cdots \tilde{g}_{\lambda_{\ell}}, \qquad \tilde{q}_{\lambda} = \tilde{q}_{\lambda_1} \, \tilde{q}_{\lambda_2} \cdots \tilde{q}_{\lambda_{\ell}}, \qquad h_{\lambda} = h_{\lambda_1} \, h_{\lambda_2} \cdots h_{\lambda_{\ell}}, \qquad e_{\lambda} = e_{\lambda_1} \, e_{\lambda_2} \cdots e_{\lambda_{\ell}}.$$

Then

$$\prod_{i,j} \frac{(tx_i y_j; q)_{\infty}}{(ux_i y_j; q)_{\infty}} = \sum_{\lambda} \tilde{g}_{\lambda}(x; q, t, u) m_{\lambda}(y)$$
$$= \sum_{\lambda} \frac{1}{z_{\lambda}(q, t, u)} p_{\lambda}(x) p_{\lambda}(y).$$

# 6.7 Binomial theorems

Using

$$\prod_{i=1}^{n} (u + x_i z) = u^n \prod_{i=1}^{n} (1 + x_i \frac{z}{u}) = \sum_{r=0}^{n} u^{n-r} z^r e_r(x),$$

and

$$\prod_{i=1}^{n} \frac{1}{(u-x_i z)} = u^{-n} \prod_{i=1}^{n} \frac{1}{(1-x_i \frac{z}{u})} = \sum_{r \in \mathbb{Z}_{\geq 0}} u^{-n-r} z^r h_r(x),$$

and specializing  $x_1 = x_2 = \cdots = x_n = 1$  gives the binomial theorem,

$$(u+z)^n = \sum_{r=0}^n u^{n-r} z^r \binom{n}{r}$$
 and  $(u-z)^{-n} = \sum_{r \in \mathbb{Z}_{>0}} u^{-n-r} z^r \binom{n+r-1}{r}$ ,

where

$$\binom{n}{r} = \frac{n!}{r!(n-r)!} = e_r(1,1,\ldots,1) \quad \text{and} \quad \binom{n+r-1}{r} = \frac{(n+r-1)!}{r!(n-1)!} = h_r(1,1,\ldots,1).$$

Letting  $x_i = q^{i-1}$  gives the q-binomial theorem,

$$\prod_{i=1}^n (u+q^{i-1}z) = \sum_{r=0}^n q^{\frac{1}{2}r(r-1)} {n\brack r} u^{n-r}z^r \qquad \text{and} \qquad \prod_{i=1}^n \frac{1}{(u-q^{i-1}z)} = \sum_{r\in\mathbb{Z}_{\geq 0}} {n+r-1\brack r} u^{-n-r}z^r,$$

where

$$e_r(1, q, q^2 \dots, q^{n-1}) = \frac{(q; q)_n}{(q; q)_r (q; q)_{n-r}} = \begin{bmatrix} n \\ r \end{bmatrix}$$
 and

$$h_r(1, q, q^2 \dots, q^{n-1}) = \frac{(q; q)_{n+r-1}}{(q; q)_r (q; q)_{n-1}} = \begin{bmatrix} n+r-1 \\ r \end{bmatrix}.$$

A general infinite q-binomial theorem is

$$\frac{(tz;q)_{\infty}}{(uz;q)_{\infty}} = \prod_{i=1}^{\infty} \left( \frac{1 - tq^{i-1}z}{1 - uq^{i-1}z} \right) = \sum_{r \in \mathbb{Z}_{\geq 0}} \left( \prod_{i=1}^{r} \frac{u - tq^{i-1}}{1 - q^i} \right) z^r = \sum_{r \in \mathbb{Z}_{\geq 0}} u^r \frac{(tu^{-1};q)_r}{(q;q)_r} z^r, \tag{6.10}$$

A one sentence proof of the infinite q-binomial theorem: Recognize that

$$L(z;q,t,u) = \frac{(tz;q)_{\infty}}{(uz;q)_{\infty}}$$
 satisfies the recursion 
$$L(z;q,t,u) = \frac{(1-tz)}{(1-uz)}L(qz;q,t,u)$$

which provides a recursion on the coefficients of  $L(z;q,tu) = \sum_{r \in \mathbb{Z}_{\geq 0}} c_r(q,t,u)z^r$  as

$$c_r(q, t, u)q^r - tc_{r-1}(q, t, u)q^{r-1} = c_r(q, t, u) - uc_{r-1}(q, t, u).$$

so that

$$c_r(q,t,u) = c_{r-1}(q,t,u) \frac{u - tq^{r-1}}{1 - qq^{r-1}} = u^r \frac{(tu^{-1};q)_r}{(q;q)_r}$$

Specializing t = 0 and u = 0 in (6.10) give

$$\prod_{i=1}^{\infty} (1+q^{i-1}z) = (-z,q)_{\infty} = \sum_{r \in \mathbb{Z}_{\geq 0}} \frac{1 \cdot q \cdot q^2 \cdots q^{r-1}}{(q,q)_r} z^r \quad \text{and} \quad \prod_{i=1}^{\infty} \frac{1}{(1-q^{i-1}z)} = \frac{1}{(z,q)_{\infty}} = \sum_{r \in \mathbb{Z}_{> 0}} \frac{1}{(q,q)_r} z^r.$$

The finite q-binomial theorem is obtained from (6.10) by putting  $t = q^n$  and u = 1 so that the left hand side becomes

$$\frac{(q^n z; q)_{\infty}}{(z; q)_{\infty}} = \frac{1}{(z, q)_n} = \prod_{i=1}^n \frac{1}{1 - q^{i-1} z}$$

and the right hand side is

$$\sum_{r \in \mathbb{Z}_{\geq 0}} \frac{(q^n; q)_r}{(q; q)_r} z^r, \quad \text{with} \quad (q^n; q)_r \frac{1}{(q; q)_r} = \frac{(q; q)_{n+r-1}}{(q; q)_{n-1}} \cdot \frac{1}{(q; q)_r} = \begin{bmatrix} n+r-1 \\ r \end{bmatrix},$$

so that

$$\prod_{i=1}^{n} \frac{1}{1 - q^{i-1}z} = \sum_{r \in \mathbb{Z}_{>0}} \frac{(q;q)_{n+r-1}}{(q;q)_r (q;q)_{n-1}} z^r = \sum_{r \in \mathbb{Z}_{>0}} {n+r-1 \brack r} z^r.$$

# **6.8** Monomial expansion of $\tilde{g}_{\lambda}$ , $\tilde{q}_{\lambda}$ , $h_{\lambda}$ and $e_{\lambda}$

For a sequence of nonnegative integers  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  define

$$\tilde{g}_{\lambda} = \tilde{g}_{\lambda_1} \, \tilde{g}_{\lambda_2} \cdots \tilde{g}_{\lambda_\ell}, \qquad \tilde{q}_{\lambda} = \tilde{q}_{\lambda_1} \, \tilde{q}_{\lambda_2} \cdots \tilde{q}_{\lambda_\ell}, \qquad h_{\lambda} = h_{\lambda_1} \, h_{\lambda_2} \cdots h_{\lambda_\ell}, \qquad e_{\lambda} = e_{\lambda_1} \, e_{\lambda_2} \cdots e_{\lambda_\ell}.$$

For an  $n \times \ell$  matrix  $a = (a_{ij})$  with entries from  $\mathbb{Z}_{\geq 0}$  let

$$rs(a) = (\mu_1, \dots, \mu_n),$$
  
 $cs(a) = (\lambda_1, \dots, \lambda_\ell),$  where  $\mu_i = \sum_{j=1}^{\ell} a_{ij}$  and  $\lambda_j = \sum_{i=1}^{n} a_{ij},$ 

so that rs(a) and cs(a) are the sequences of row sums and column sums of a, respectively. Define

$$x^{a} = x^{rs(a)} = \prod_{i=1}^{n} \prod_{j=1}^{\ell} (x_{i})^{a_{ij}}, \qquad y^{a} = y^{cs(a)} = \prod_{j=1}^{\ell} \prod_{i=1}^{n} (y_{j})^{a_{ij}}, \qquad \operatorname{wt}_{q,u,t}(a) = \prod_{j=1}^{\ell} \prod_{i=1}^{n} u^{a_{ij}} \frac{(tu^{-1}; q)_{a_{ij}}}{(q; q)_{a_{ij}}},$$

where, by definition,  $(a;q)_0 = 1$ . For a sequence  $\mu = (\mu_1, \dots, \mu_n)$  of nonnegative integers let

$$A_{\mu\lambda} = \{ a \in M_{n \times \ell}(\mathbb{Z}_{>0}) \mid cs(a) = \lambda, \ rs(a) = \mu \}.$$

Then

$$\tilde{g}_{\lambda} = \sum_{\mu} a_{\mu\lambda}(q, t) m_{\mu}$$
, where  $a_{\mu\lambda}(q, t) = \sum_{a \in A_{\mu\lambda}} \operatorname{wt}_{q,t,u}(a)$ ,

and the first sum is over partitions  $\mu$  such that  $|\mu| = |\lambda|$ .

# 7 Week 4: Crystals and RSK

## 7.1 The category of crystals

For  $i \in \{1, ..., n\}$  let  $\varepsilon_i = (0, ..., 0, 1, 0, ..., 0)$  with 1 in the ith spot. Let

$$B(\Box) = (1 \xrightarrow{\tilde{f}_1} 2 \xrightarrow{\tilde{f}_1} \cdots \xrightarrow{\tilde{f}_1} n)$$
 with  $\operatorname{wt}(i) = \varepsilon_i$ .

A crystal is an element B of the category generated by  $B(\Box)$  under direct sums and tensor products. A crystal is a (finite) set B with functions

wt: 
$$B \to \mathbb{Z}^n$$
 and  $\tilde{f}_i$ :  $B \to B \cup \{0\}$ , for  $i \in \{1, ..., n-1\}$ .

The  $crystal\ graph$  of B is the labeled graph with

Vertices: 
$$B$$
 and Labeled edges:  $b = \tilde{f}_i \tilde{f}_i b$ .

A crystal morphism from  $B_1$  to  $B_2$  is a function  $\Phi \colon B_1 \to B_2$  such that

$$\operatorname{wt}(\Phi(b)) = \operatorname{wt}(b)$$
 and  $\tilde{f}_i(\Phi(b)) = \Phi(\tilde{f}_i b),$ 

for  $b \in B_1$  and  $i \in \{1, ..., n-1\}$ . The *character* of a crystal B is

$$\operatorname{char}(B) = \sum_{p \in B} x^{\operatorname{wt}(p)}, \quad \text{where} \quad x^{\mu} = x_1^{\mu_1} \cdots x_n^{\mu_n}$$

if  $\mu = (\mu_1, \dots, \mu_n) \in \mathbb{Z}^n$ . The direct sum of crystals  $B_1$  and  $B_2$  is

$$B_1 \oplus B_2 = B_1 \sqcup B_2$$
 with wt and  $\tilde{f}_i$  inherited from  $B_1$  and  $B_2$ .

For  $i \in \{1, \dots, n-1\}$  define

$$\tilde{e}_i \colon B \to B \cup \{0\}$$
 by  $\tilde{e}_i(\tilde{f}_i b) = b$  if  $\tilde{f}_i b \neq 0$ ,

and  $\tilde{e}_i b = 0$  if there does not exist  $b' \in B$  such that  $b = \tilde{f}_i b'$ . Let  $b \in B$  and  $i \in \{1, \dots, n-1\}$ . Define  $d_i^+(b)$  and  $d_i^-(b)$  by

$$\begin{split} \tilde{e}_i^{d_i^+(b)}b \neq 0 & \text{and} & \tilde{e}_i^{d_i^+(b)+1}b = 0, \\ \tilde{f}_i^{d_i^-(b)}b \neq 0 & \text{and} & \tilde{f}_i^{d_i^-(b)+1}b = 0. \end{split}$$

Then

$$\tilde{e}_{i}^{d_{i}^{+}(b)}b\overset{\tilde{f}_{i}}{\rightarrow}\cdots\overset{\tilde{f}_{i}}{\rightarrow}\tilde{e}_{i}b\overset{\tilde{f}_{i}}{\rightarrow}b\overset{\tilde{f}_{i}}{\rightarrow}\tilde{f}_{i}b\overset{\tilde{f}_{i}}{\rightarrow}\cdots\overset{\tilde{f}_{i}}{\rightarrow}\tilde{f}_{i}^{d_{i}^{-}(b)}b$$

is the i-string of b.

The tensor product of crystals  $B_1$  and  $B_2$  is

$$B_1 \otimes B_2 = B_1 \times B_2 = \{b_1 \otimes b_2 \mid b_1 \in B_1, b_2 \in B_2\}$$

with

$$\operatorname{wt}(b_1 \otimes b_2) = \operatorname{wt}(b_1) + \operatorname{wt}(b_2)$$

and

$$\tilde{f}_i(b_1 \otimes b_2) = \begin{cases} \tilde{f}_i b_1 \otimes b_2, & \text{if } d_i^+(b_1) > d_i^-(b_2), \\ b_1 \otimes \tilde{f}_i b_2, & \text{if } d_i^+(b_1) \le d_i^-(b_2), \end{cases}$$

Then

$$\operatorname{char}(B_1 \oplus B_2) = \operatorname{char}(B_1) + \operatorname{char}(B_2)$$
 and  $\operatorname{char}(B_1 \otimes B_2) = \operatorname{char}(B_1)\operatorname{char}(B_2)$ 

and

$$\tilde{e}_i(b_1 \otimes b_2) = \begin{cases} \tilde{e}_i b_1 \otimes b_2, & \text{if } d_i^+(b_1) \ge d_i^-(b_2), \\ b_1 \otimes \tilde{e}_i b_2, & \text{if } d_i^+(b_1) < d_i^-(b_2), \end{cases}$$

**HW:** Show that if  $B_1, B_2, B_3$  are crystals then

$$\Phi \colon \quad (B_1 \otimes B_2) \otimes B_3 \quad \longrightarrow \quad B_1 \otimes (B_2 \otimes B_3) \\ b_1 \otimes b_2 \otimes b_3 \quad \longmapsto \quad b_1 \otimes b_2 \otimes b_3$$

is a crystal isomorphism.

- A subcrystal of B is a subset of B closed under the operators  $\tilde{e}_i$  and  $\tilde{f}_i$  (for  $i \in \{1, ..., n-1\}$ ).
- A crystal is *irreducible*, or *simple*, if B has no subcrystals except  $\emptyset$  and B.

A highest weight element of a crystal B is  $b \in B$  such that

if 
$$i \in \{1, \ldots, n-1\}$$
 then  $\tilde{e}_i b = 0$ .

Let

 $B^+ = \{ \text{highest weight elements of } B \} \qquad \text{and let} \qquad B_\lambda^+ = \{ b \in B^+ \mid \operatorname{wt}(b) = \lambda \},$  for  $\lambda \in \mathbb{Z}^n$ .

### Theorem 7.1.

- (a) A crystal B is irreducible if and only if the crystal graph of B is connected.
- (b) A crystal B is irreducible if and only if  $Card(B^+) = 1$ .

**Proposition 7.2.** Assume  $B_1$  and  $B_2$  are irreducible crystals.

- (a) If  $\Phi: B_1 \to B_2$  is a crystal morphism then  $\Phi$  is a crystal isomorphism,  $B_1 \cong B_2$ .
- (b) If  $B_1^+ = \{b_1^+\}$  and  $B_2^+ = \{b_2^+\}$  then

$$B_1 \cong B_2$$
 if and only if  $\operatorname{wt}(b_1^+) = \operatorname{wt}(b_2^+)$ .

**Theorem 7.3.** Two crystals  $B_1$  and  $B_2$  are isomorphic if and only if

$$char(B_1) = char(B_2).$$

*Proof.* Decompose  $B_1$  and  $B_2$  into connected components. Let  $B(\lambda)$  be the irreducible crystal of highest weight  $\lambda$ ,

$$B(\lambda)^+ = \{b_{\lambda}^+\}$$
 and  $\operatorname{wt}(b_{\lambda}^+) = \lambda$ .

Then

$$B_1 \cong \bigsqcup_{p \in B_1^+} B(\operatorname{wt}(p)) \cong B_2.$$

So

$$\operatorname{char}(B_1) = \sum_{p \in B_1^+} \operatorname{char}(B(\operatorname{wt}(p))) = \operatorname{char}(B_2).$$

# 7.2 The crystal of words $B^{\otimes k}$

### Knuth Equivalence.

- (a) If  $x \le y < z$  then  $xz \cdot y \to z \cdot xy$ ,
- (b) If  $x < y \le z$  then  $yz \cdot x \to y \cdot xz$ .

We need a story for this: z doesn't want to be near y?? Check also Fulton's Young tableaux book.

## 7.3 The Weyl character formula

For  $i \in \{1, \dots, n-1\}$  define  $s_i : \mathbb{C}[x_1, \dots, x_n] \to \mathbb{C}[x_1, \dots, x_n]$  by

$$(s_i f)(x_1, \dots, x_n) = f(x_1, \dots, x_{i-1}, x_i, x_{i+1}, x_{i+2}, \dots, x_n).$$

A symmetric function is an element of

$$\mathbb{C}[x_1,\ldots,x_n]^{S_n} = \{ f \in \mathbb{C}[x_1,\ldots,x_n] \mid \text{if } w \in S_n \text{ then } wf = f \}.$$

Let B be a crystal. Let  $b \in B$  and  $i \in \{1, ..., n-1\}$ . The *i-stirng* of b is

$$\{\tilde{f}_i^{d_i^-(b)}b,\ldots,\tilde{f}_ib,b,\tilde{e}_ib,\ldots,\tilde{e}_i^{d_i^+(b)}b\}=S_i(b).$$

Let  $s_i p$  be the element of  $S_i(b)$  such that

$$\operatorname{wt}(s_i b) = s_i \operatorname{wt}(b).$$

Then  $s_i(s_i(p)) = p$  and

$$s_i \operatorname{char}(B) = \sum_{b \in B} x^{s_i \operatorname{wt}(b)} = \sum_{b \in B} x^{\operatorname{wt}(s_i b)} = \operatorname{char}(B).$$

So char(B) is a symmetric function.

MORE HERE MORE HERE

Corollary 7.4. Let  $\lambda$  be a partition. Then

$$char(B(\lambda)) = s_{\lambda}.$$

## 7.4 The crystals $B(\lambda)$

### 7.5 HW for Crystals and RSK

- 1. Use RSK to prove the three Cauchy identities for Schur functions.
- 2. Use RSK to prove the Pieri rules:

$$e_r s_{\lambda}, h_r s_{\lambda}, q_r s_{\lambda}, p_r s_{\lambda}.$$

3. Use RSK to prove the Murnaghan-Nakayama rules for symmetric group and Hecke algebra characters,

$$p_{\mu} = \sum_{\lambda \in Y_k} \chi_{S_k}^{\lambda}(\mu) s_{\lambda}$$
 and  $q_{\mu} = \sum_{\lambda \in Y_k} \chi_{H_k}^{\lambda}(\mu) s_{\lambda}$ .

4. Use RSK to prove

$$n! = \sum_{\lambda} f_{\lambda}^2, \qquad (x_1 + \dots + x_n)^k = \sum_{\lambda \in Y_k} f_{\lambda} s_{\lambda}, \qquad n^k = \sum_{\lambda \in Y_k} f_{\lambda} d_{\lambda}.$$

5. Use RSK to prove that

$$\sum_{\lambda \in Y_k} f_{\lambda} = \#\{\text{involutions in } S_k\}.$$

6. Prove that if  $w \in S_k$  and RSK(w) = (P, Q) then  $RSK(w^{-1}) = (Q, P)$ .

# 8 Week 5: Products of symmetric functions

## 8.1 Tensor products and restrictions

Theorem 8.1.

$$s_{\mu}s_{\nu} = \sum_{\substack{q \in B(\nu) \\ p_{\mu}^{+} \otimes q \subseteq C - \rho}} s_{\mu + \operatorname{wt}(q)} \quad and \quad s_{\lambda} = \sum_{\substack{p \in B(\lambda) \\ p \in C_{J} - \rho_{J}}} s_{\operatorname{wt}(p)}^{J}.$$

### 8.2 The combinatorial R-matrix and RSK

Let

$$f_{\lambda} = \operatorname{Card}(\hat{S}_{n}^{\lambda}) = \#\{ \text{standard tableaux of shape } \lambda \},$$
  
 $d_{\lambda} = \operatorname{Card}(B(\lambda)) = \#\{ \text{SSYTs of shape } \lambda \text{ filled from } \{1, \dots, n\} \},$   
 $s_{\lambda}(x) = s_{\lambda}(x_{1}, \dots, x_{t}), \quad \text{and} \quad s_{\lambda}(y) = s_{\lambda}(y_{1}, \dots, y_{s})$ 

Theorem 8.2.

$$n! = \sum_{\lambda \in \mathbb{Y}_n} f_{\lambda}^2, \qquad n^k = \sum_{\lambda \in \mathbb{Y}_n} f_{\lambda} d_{\lambda}, \qquad (x_1 + \dots + x_n)^k = \sum_{\lambda \in \mathbb{Y}_n} f_{\lambda} s_{\lambda}.$$

$$\prod_{j=1}^s \prod_{i=1}^t \frac{1}{1 - x_i y_j} = \sum_{\ell(\lambda) \le \min(s,t)} s_{\lambda}(x) s_{\lambda}(y), \qquad \prod_{j=1}^s \prod_{i=1}^t \frac{1}{1 + x_i y_j} = \sum_{\lambda \subseteq (s^t)} s_{\lambda}(x) s_{\lambda'}(y).$$

For each of these there are three nice proofs:

- (a) by RSK insertion,
- (b) by crystals,
- (c) by double centralizer algebras.

The corresponding cateogrifications are

$$n! \longleftrightarrow S_n \longleftrightarrow \mathbb{C}S_n$$

$$(x_1 + \dots + x_n)^k \longleftrightarrow B(\square)^{\otimes k} \longleftrightarrow L(\square)^{\otimes k}$$

$$\prod \frac{1}{1 - x_i y_j} \longleftrightarrow M_{t \times s}(\mathbb{Z}_{\geq 0}) \longleftrightarrow S(V_s \otimes V_t)$$

$$\prod (1 + x_i y_j) \longleftrightarrow M_{t \times s}(\{0, 1\}) \longleftrightarrow \Lambda(V_s \otimes V_t)$$

### 8.3 Pieri rules

Let  $n \in \mathbb{Z}_{>0}$  and let  $\lambda, \mu$  be partitions of n. Define

$$\chi_{q,t}^{\lambda}(\mu) = \sum_{Q \in \hat{S}_n^{\lambda}} \operatorname{rwt}_{q,t}^{\mu}(Q),$$

where

$$\hat{S}_n^{\lambda} = \{ \text{standard tableaux of shape } \lambda \}$$

$$\operatorname{rwt}_{q,t}^{\mu}(Q) = \prod_{\substack{j \in Q \\ j \notin J(\mu)}} f_{\mu}(j;Q), \quad \text{with} \quad J(\mu) = \{\mu_1, \mu + 1\mu_2, \dots, \mu_1 + \dots + \mu_\ell\}$$

and

$$f_{\mu}(j;q) = \begin{cases} -t, & \text{if } j+1 \text{ is sw of } j \text{ in } Q, \\ 0, & \text{if } j+1 \not\in J(\mu) \text{ and} \\ & j+1 \text{ is ne of } j \text{ in } Q \text{ and} \\ & j+2 \text{ is sw of } j+1 \text{ in } Q, \\ q, & \text{otherwise.} \end{cases}$$

Define

$$\chi_{H_n}^{\lambda}(\mu) = \chi_{q,1}^{\lambda}(\mu)$$
 and  $\chi_{S_n}^{\lambda}(\mu) = \chi_{1,1}^{\lambda}(\mu)$ .

These are the characters of the Hecke algebra and the characters of the symmetric group, respectively.

Define

$$\tilde{q}_r = \tilde{q}_k(x_1, \dots, x_n; q, t) = \sum_{i_1 \le \dots \le i_r > i_{r+1} > \dots > i_k} q^{r-1} (-t)^{k-r} x_{i_1} \cdots x_{i_k}$$

and let

$$h_r = \tilde{q}_r(x_1, \dots, x_n; 1, 0), \quad e_r = \tilde{q}_r(x_1, \dots, x_n; 0, -1), \quad p_r = \left(\frac{1}{t - q}\tilde{q}_r(x_1, \dots, x_n; q, t)\right)\Big|_{t = q}$$

**Theorem 8.3.** Let  $\mu$  be a partition. Then

$$\begin{split} h_r s_\mu &= \sum_{\lambda/\mu \text{ hs length } r} s_\lambda \qquad (sum \ over \ horizontal \ strips \ \lambda/\mu \ of \ length \ r) \\ e_r s_\mu &= \sum_{\lambda/\mu \text{ vs length } r} s_\lambda \qquad (sum \ over \ vertical \ strips \ \lambda/\mu \ of \ length \ r) \\ p_r s_\mu &= \sum_{\lambda/\mu \text{ bs length } r} (-1)^{\text{ht}(\lambda/\mu)} s_\lambda \qquad (sum \ over \ border \ strips \ \lambda/\mu \ of \ length \ r) \\ \tilde{q}_r s_\mu &= \sum_{\lambda/\mu \text{ bbs length } r} (-t)^{\text{ht}(\lambda/\mu) - \ell(\mu)} q^{\# \text{cols}(\lambda/\mu) - \ell(\mu)} s_\lambda \qquad \left( \begin{array}{c} sum \ over \ broken \ border \ strips \ \lambda/\mu \ of \ length \ r \end{array} \right) \end{split}$$

For  $\lambda, \mu \in \mathbb{Y}_n$  let

$$K_{\lambda\mu} = \operatorname{Card}(B(\lambda)_{\mu})$$

so that  $K_{\lambda\mu}$  is the number of SSYT of shape  $\lambda$  and weight  $\mu$ . For a partition  $\lambda$  let  $\lambda'$  be the conjugate parittion to  $\lambda$ .

Corollary 8.4. Let  $\mu$  be a partition of n. Then

$$h_{\mu} = \sum_{\lambda \in \mathbb{Y}_n} K_{\lambda \mu} s_{\lambda}, \qquad e_{\mu} = \sum_{\lambda \in \mathbb{Y}_n} K_{\lambda' \mu'} s_{\lambda}, \qquad p_{\mu} = \sum_{\lambda \in \mathbb{Y}_n} \chi_{S_n}^{\lambda}(\mu) s_{\lambda},$$

and

$$\tilde{q}_{\mu}(q,1) = \sum_{\lambda \in \mathbb{Y}_n} \chi_{H_n}^{\lambda}(\mu) s_{\lambda}.$$

### Proof of the Theorem.

*Proof.* Let  $P \in B(\mu)$  and insert, by RSK column insertion,

$$P \leftarrow x_{i_1} \leftarrow \cdots \leftarrow x_{i_k}, \quad \text{where} \quad i_1 \leq \cdots \leq i_r > i_{r+1} > \cdots > i_k.$$

**Examples:** For  $n \in \{1, 2, 3, 4\}$ 

$$(\chi_{H_3}^{\lambda}(\mu)) = MATRIX$$
 and  $(K_{\lambda\mu}) = MATRIX$ .

# 9 Week 6: Catalan algebraic combinatorics

1. (Generating function for Catalan) Show that if

$$G(x) = \sum_{n \in \mathbb{Z}_{\geq 0}} C_n x^n$$
 then  $G(x) = \frac{1 - \sqrt{1 - 4x}}{2x}$ .

2. (binomial formula for Catalan). Show that

$$C_n = \frac{1}{n+1} \binom{2n}{n}.$$

3. (recursion for Catalan). Show that  $C_0 = 1$  and

$$C_{n+1} = \sum_{i=0}^{n} C_i C_{n-i}.$$

Sketch:

$$\frac{1}{x}(G(x)-1) = \sum_{n \in \mathbb{Z}_{>0}} C_{n+1}x^n = \sum_{n \in \mathbb{Z}_{ge0}} \left(\sum_{i=0}^n C_i C_{n-i}\right)x^n = G(x)^2.$$

Solve for G(x) and use the binomial theorem to expand. The coefficient of  $x^n$  comes out to  $\frac{1}{n+1}\binom{2n}{n}$ .

4. (dimension of TL) Show that

$$C_n = \sum_{k=0}^{\lfloor n/2 \rfloor} f_{(n-k,k)}^2.$$

5. (Chebyshev polynomials?)

- 6. Find a bijection between  $NC(S_n)$  and noncrossing matchings of  $\{1, \ldots, 2n\}$ . Describe the resulting partial order on noncrossing matchings.
- 7. Let  $G_n$  be the lattice of subsets of  $\{1,\ldots,n\}$ , let  $G_q(n)$  be the lattice of  $\mathbb{F}_q$  subspaces of  $\mathbb{F}_q^n$ , and let NC(n) be the lattice of noncrossing partitions. Show that the number of maximal chains is

$$\#fl(G_n) = n!, \qquad \#fl(G_q(n)) = [n]!, \qquad \#fl(NC(n)) = (n+1)^{n-1}.$$

8. (dimension of Brauer) Show that

$$1 \cdot 3 \cdot 5 \cdots (2k-1) = \sum_{\lambda \in Y_k \cup Y_{k-1} \cup \cdots} b_{\lambda}^2$$

The lattice of noncrossing partitions of W is the interval of W (as a poset in the order determined by translation factoizations) given by

$$NC(W) = W_{[1,c]},$$
 where c is a Coxeter element of W.

The *cluster complex* is

$$\Upsilon(W) = ???$$
.

The algebraic parking space is the Gordon module for the rational Cherednik algebra given by

$$\operatorname{Park}_{W}^{\operatorname{alg}}(m) = \operatorname{sgn} \otimes L_{m + \frac{1}{h}}(\operatorname{triv}).$$

The genus-g Hurwitz number is

$$H_g(\lambda) = \#\{\text{transitive factorizations of } \gamma_{\lambda} \text{ into reflections}\},$$

where  $\gamma_{\lambda}$  is a permutation of cycle type  $\lambda$  and transitive means that the group generated by the factors acts transitively on  $\{1, \ldots, n\}$ . THIS DEFINITION IS MISSING THE g ON THE RIGHT HAND SIDE.

**Theorem 9.1.** The number of maximal chains in NC(W) is

$$\frac{1}{|W|}h^n n!.$$

# 10 Week 7: G/B for $GL_n(\mathbb{F}_q)$

# 10.1 Generators and relations for $GL_n(\mathbb{F}_q)$

Let  $E_{ij}$  be the  $n \times n$  matrix with 1 in the (i, j)-entry and 0 elsewhere. For  $i, j \in \{1, ..., n\}$  and  $c \in \mathbb{F}$  and  $d \in \mathbb{F}^{\times}$ , define

$$x_{ij}(c) = 1 + cE_{ij}$$
, and  $h_i(d) = 1 + (d-1)E_{ii}$ .

For  $i \in \{1, \ldots, n-1\}$  and  $c \in \mathbb{F}$ , define

$$y_i(c) = 1 + (c-1)E_{ii} - E_{i+1,i+1} + E_{i,i+1} + E_{i+1,i}$$

Identify each permutation  $w: \{1, \ldots, n\} \to \{1, \ldots, n\}$  with the matrix

$$w = E_{1,w(1)} + E_{2,w(2)} + \dots + E_{n,w(n)}.$$

Also use the notations

$$h(d_1, \dots, d_n) = h_1(d_1) \cdots h_n(d_n), \quad s_i = y_i(0) \quad \text{and} \quad s_{ij} = 1 - E_{ii} - E_{jj} + E_{ij} + E_{ji}.$$

The x-interchange relations are

$$\begin{aligned} x_{ij}(c_1)x_{ij}(c_2) &= x_{ij}(c_1 + c_2), \\ x_{ij}(c_1)x_{ik}(c_2) &= x_{ik}(c_2)x_{ij}(c_1), \\ x_{ij}(c_1)x_{jk}(c_2) &= x_{jk}(c_2)x_{ij}(c_1)x_{ik}(c_1c_2), \end{aligned} \qquad \begin{aligned} x_{ik}(c_1)x_{jk}(c_2) &= x_{jk}(c_2)x_{ik}(c_1), \\ x_{jk}(c_1)x_{jk}(c_2) &= x_{ij}(c_2)x_{jk}(c_1)x_{ik}(-c_1c_2), \end{aligned}$$

where we assume that i < j < k. The hh-relations are

$$h_i(d)h_j(e) = h_j(e)h_i(d)$$
 and  $h(d_1, \dots, d_n)h(e_1, \dots, e_n) = h(d_1e_1, \dots, d_ne_n).$ 

The h-past-x relation is

$$h(d_1, \dots, d_n)x_{ij}(c) = x_{ij}(cd_id_i^{-1})h(d_1, \dots, d_n).$$
 (GLhpastx)

The w-past-h and w-past-x relations are

$$wh_i(c) = h_{w(i)}(c)w, wh(d_1, \dots, d_n) = h(d_{w(1)}, \dots, d_{w(n)})w wx_{ij}(c) = x_{w(i)w(j)}(c)w.$$

The reflection relations and the building relations are the relations for rearranging ys. The reflection relation is

$$y_i(c_1)y_i(c_2) = \begin{cases} y_i(c_1 + c_2^{-1})h_i(c_2)h_{i+1}(-c_2^{-1})x_{i,i+1}(c_2^{-1}), & \text{if } c_2 \neq 0, \\ x_{i,i+1}(c_1), & \text{if } c_2 = 0. \end{cases}$$
(GLref)

The building relation is

$$y_i(c_1)y_{i+1}(c_2)y_i(c_3) = y_{i+1}(c_3)y_i(c_1c_3 + c_2)y_{i+1}(c_1).$$
 (GLbldg)

The h-past-y relation is (letting  $h(d_1, \ldots, d_n) = h_1(d_1) \cdots h_n(d_n)$ )

$$h(d_1, \dots d_n)y_i(c) = y_i(cd_id_{i+1}^{-1})h(d_1, \dots, d_{i-1}, d_{i+1}, d_i, d_{i+2}, \dots, d_n).$$
 (GLhpasty)

The x-past-y relations are

$$x_{i,i+1}(c_1)y_i(c_2) = y_i(c_1 + c_2)x_{i,i+1}(0),$$

$$x_{ik}(c_1)y_k(c_2) = y_k(c_2)x_{ik}(c_1c_2)x_{i,k+1}(c_1), \qquad x_{i,k+1}(c_1)y_k(c_2) = y_k(c_2)x_{ik}(c_1), \qquad (GLxpasty)$$

$$x_{ij}(c_1)y_i(c_2) = y_i(c_2)x_{i+1,j}(c_1), \qquad x_{i+1,j}(c_1)y_i(c_2) = y_i(c_2)x_{ij}(c_1)x_{i+1,j}(-c_1c_2),$$

where i < k and i + 1 < j.

### 10.1.1 The normal form algorithm

Let

$$N = \frac{1}{2}n(n-1).$$

Let  $(i_1, \ldots, i_N)$  be the sequence

$$(i_1,\ldots,i_N)=(1,\ 2,1,\ 3,2,1,\ \ldots,\ n-1,n-2,\ldots,2,1)$$

Let  $(\beta_1, \ldots, \beta_N)$  be the sequence

$$(\beta_{1},\ldots,\beta_{N}) = \begin{pmatrix} \varepsilon_{1} - \varepsilon_{2}, & \varepsilon_{1} - \varepsilon_{3}, & \ldots, & \varepsilon_{1} - \varepsilon_{n-1}, & \varepsilon_{1} - \varepsilon_{n}, \\ & \varepsilon_{2} - \varepsilon_{3}, & \ldots, & \varepsilon_{2} - \varepsilon_{n-1}, & \varepsilon_{2} - \varepsilon_{n}, \\ & & \vdots & \vdots \\ & & \varepsilon_{n-2} - \varepsilon_{n-1}, & \varepsilon_{n-2} - \varepsilon_{n}, \\ & & & \varepsilon_{n-1} - \varepsilon_{n} \end{pmatrix}$$

For  $c \in \mathbb{F}_q$  and  $i \in \{1, \dots, n-1\}$  define

$$y_i(c) = \begin{pmatrix} c & 1 \\ 1 & 0 \end{pmatrix}$$
 and  $y_i(\infty) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ 

For  $a \in \mathbb{F}_q$  and  $i, j \in \{1, \dots, n\}$  with i < j define

$$x_{\varepsilon_i - \varepsilon_j}(a) = x_{ij}(a) = \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}$$

**Theorem 10.1.** Let  $g \in GL_n(\mathbb{F})$ . The normal form algorithm determines

$$c_1, \ldots, c_N \in \mathbb{F} \cup \{\infty\}$$
 and  $a_1, \ldots, a_N \in \mathbb{F}$  and  $d_1, \ldots, d_n \in \mathbb{F}^{\times}$ 

such that

$$g = y_{i_1}(c_1) \cdots y_{i_N}(c_N) h_1(d_1) \cdots h_n(d_n) x_{\beta_1}(a_1) \cdots x_{\beta_N}(a_N).$$

## 10.2 The Bruhat decomposition

The flag variety is

$$G/B = \{gB \mid g \in GL_n(\mathbb{F}_q)\}.$$

The Bruhat decomposition is the double coset decomposition

$$G/B = \bigsqcup_{w \in W} BwB.$$

### 10.3 The Bruhat order

Define

$$y_i(c) = ???$$
 and  $y_i(\infty) = 1$ .

The Schubert variety for w is

$$\overline{BwB} = \{ y_{i_1}(c_1) \cdots y_{i_\ell}(c_\ell)B \mid c_1, \dots, c_\ell \in \mathbb{F}_q \cup \{\infty\} \}$$

The Bruhat order is the partial order on  $S_n$  defined by

$$\overline{BwB} = \bigsqcup_{v \le w} BvB.$$

The partial flag variety is

$$G/P = \{gP \mid g \in GL_n(\mathbb{F}_q)\}.$$

Then

$$G/P = \bigsqcup_{w \in W^P} BwP.$$

For  $w \in W^P$ , the Schubert variety for w is

$$\overline{BwP} = \{y_{i_1}(c_1) \cdots y_{i_\ell}(c_\ell)P \mid c_1, \dots, c_\ell \in \mathbb{F}_q \cup \{\infty\}\}$$

The Bruhat order is the partial order on  $W^P$  defined by

$$\overline{BwP} = \bigsqcup_{v \le w} BvP.$$

# 11 Week 8: Moment graphs and Kazhdan-Lusztig polynomials

# 11.1 Lecture 22: Moment graphs and $H_T(G/B)$

Let

$$L = \mathbb{Z}$$
-span $\{x_1, \dots, x_n\}$  and  $S = \mathbb{C}[x_1, \dots, x_n]$ .

The moment graph G has vertices  $S_n$  and labeled edges

$$x \xrightarrow{x_i - x_j} s_{ij}x$$
, if  $x < s_{ij}x$ .

A sheaf  $\mathcal{F}$  on G is a collection of

an S-module  $\mathcal{F}^x$  for each vertex  $x \in V$ , an S-module  $\mathcal{F}^{(x,y)}$  for each edge  $x \to y$ ,

and S-module morphisms

$$\rho_x^{(x,y)} \colon \mathcal{F}^x \to \mathcal{F}^{(x,y)}$$
 and  $\rho_y^{(x,y)} \colon \mathcal{F}^y \to \mathcal{F}^{(x,y)}$  for each edge  $(x,y) \in E$ ,

such that

if 
$$(x, y) \in E$$
 then  $l(x, y) \cdot \mathcal{F}^{(x,y)} = 0$ .

Let  $\mathcal{T}$  be the topology on W generated by the sets

$$W_{\leq x} = \{ y \in W \mid y \leq x \}, \quad \text{for } x \in W.$$

The collection  $\mathcal{T}$  is the smallest collection of subsets of W which contains all the  $W_x$  and is closed under unions and intersections. Let  $\mathcal{F}$  be a sheaf on G and let  $U \in \mathcal{T}$ . A section of  $\mathcal{F}$  over U is an element of

$$\mathcal{F}(U) = \Big\{ (f_x)_{x \in U} \in \bigoplus_{x \in U} \mathcal{F}^x \mid \text{if } x, y \in U \text{ and } (x, y) \in E \text{ then } \rho_x^{(x, y)}(f_x) = \rho_y^{(x, y)}(f_y) \Big\}.$$

A sheaf morphism from  $\mathcal{F}_1$  to  $\mathcal{F}_2$  is a collection of

an S-module morphism  $\varphi^x \colon \mathcal{F}_1^x \to \mathcal{F}_2^x$  for each vertex  $x \in V$ , an S-module morphism  $\varphi^{(x,y)} \colon \mathcal{F}_1^{(x,y)} \to \mathcal{F}_2^{(x,y)}$  for each edge  $(x,y) \in E$ ,

such that if  $(x,y) \in E$  then

$$\varphi^{(x,y)}\rho_x^{(x,y)} = \rho_x^{(x,y)}\varphi^x \quad \text{and} \quad \varphi^{(x,y)}\rho_y^{(x,y)} = \rho_y^{(x,y)}\varphi^y. \qquad PICTURE$$

The structure sheaf of G has

$$\mathcal{F}^x = S,$$
 for  $x \in S_n,$  and  $\mathcal{F}^{(x,y)} = \frac{S}{l(x,y)S},$  for  $(x,y) \in E,$ 

and, for  $(x, y) \in E$ ,

$$\rho_x^{(x,y)} \colon S \to \frac{S}{l(x,y)S} \quad \text{and} \quad \rho_y^{(x,y)} \colon S \to \frac{S}{l(x,y)S}$$
$$p \mapsto p + l(x,y)S \quad p \mapsto p + l(x,y)S$$

The space  $\mathcal{Z}(S_n)$  of (global) sections of the structure sheaf  $\mathcal{Z}$  is an S-algebra with scalar multiplication, addition and multiplication given componentwise: if  $f, g \in \mathcal{Z}(S_n)$  and  $p \in S$  and  $x \in W$  then

$$(pf)_x = pf_x,$$
  $(f+g)_x = f_x + g_x,$   $(fg)_x = f_x g_x.$ 

**Theorem 11.1.** As S-algebras,  $\mathcal{Z}(S_n) \cong H_T(G/B)$ .

### 11.2 Lecture 23: Sheaves on moment graphs

A graded free S-module is a graded S-module M such that there exist  $r \in \mathbb{Z}_{>0}$  and  $j_1, \ldots, j_r \in \mathbb{Z}$  such that

$$M \cong S[j_1] \oplus \cdots S[j_r],$$
 as graded S-modules.

The  $graded\ rank\ of\ M$  is

$$\operatorname{grk}(M) = q^{j_1} + \cdots + q^{j_r}.$$

A BMP sheaf on G, or Braden-MacPherson sheaf, is a sheaf  $\mathcal B$  on G such that

(BMP1) If  $x \in W$  then  $\mathcal{B}^x$  is a graded free S-module;

(BMP2) If  $(x, y) \in E$  then

$$\operatorname{im}(\rho_y^{(x,y)}) = \mathcal{B}^{(x,y)}$$
 and  $\operatorname{ker}(\rho_y^{(x,y)}) = l(x,y)\mathcal{B}^y$ .

(BMP3) If  $U \in \mathcal{T}$  then

$$\begin{array}{cccc} \mathcal{B}(W) & \to & \mathcal{B}(U) \\ (f_x)_{x \in W} & \mapsto & (f_x)_{x \in U} \end{array} \quad \text{is surjective},$$

(BMP4) If  $w \in W$  then

$$\mathcal{B}(W) \to \mathcal{B}^w$$
  
 $(f_x)_{x \in W} \mapsto f_w$  is surjective,

**Theorem 11.2.** If  $w \in W$  then there is, up to isomorphism, a unique BMP sheaf  $\mathcal{B}(w)$  such that

- (a)  $\mathcal{B}(w)$  is indecomposable, and
- (b)  $\mathcal{B}(w)^w = S$  and  $\mathcal{B}(w)^x = 0$  unless  $x \leq w$ .

**Theorem 11.3.** If  $y, w \in W$  then

$$P_{u,w} = \operatorname{grk}(\mathcal{B}(w)^y)$$
 is the KL-polynomial for the pair  $y, w$ .

**Theorem 11.4.** Let  $\mathcal{B}$  be a BMP sheaf. Then there are  $w_1, \ldots, w_r$  and  $l_1, \ldots, l_r \in \mathbb{Z}$  such that

$$\mathcal{B} \cong \mathcal{B}(w_1)[l_1] \oplus \cdots \oplus \mathcal{B}(w_r)[l_r].$$

Remark 11.5. The map

(BMP sheaves on 
$$W$$
)  $\longleftrightarrow$  ( $T$ -equivariant perverse sheaves on  $G/B$ )  $\mathcal{B}(w)$   $\longmapsto$   $IC(\overline{BwB})$ 

is an equivalence of categories.

## 11.3 Lecture 24: Kazhdan-Lusztig polynomials

Let  $H = \mathbb{Z}[t, t^{-1}]$ -span $\{T_w \mid w \in W\}$  and let  $\overline{\phantom{a}}: H \to H$  be a  $\mathbb{Z}$ -linear map such that

$$\overline{q} = q^{-1}$$
 and  $\overline{T_w} = T_w + \sum_{y < w} a_{yw} T_y$ .

(The  $a_{yw}$  are sometimes called R-polynomials.)

**Proposition 11.6.** There exists a unique  $C_w \in H$  such that

$$C_w = T_w + \sum_{y < w} P_{y,w} T_w, \quad \text{with} \quad P_{y,w} \in \mathbb{Z}[t], \quad \text{and} \quad \overline{C_w} = C_w.$$

**Remark 11.7.** In the case that H is the Hecke algebra and

$$\overline{T_w} = T_{w^{-1}}^{-1}, \quad \text{for } w \in W,$$

the  $a_{yw}$  are called R-polynomials and the  $P_{y,w}$  are called KL-polynomials.

# 12 Week 9: Macdonald and Koornwinder polynomials

# 12.1 Macdonald polynomials

Fix  $n \in \mathbb{Z}_{>0}$ . The symmetric group  $S_n$  acts on  $\mathbb{Z}^n$  by defining

$$s_i(\mu_1, \dots, \mu_n) = (\mu_1, \dots, \mu_{i+1}, \mu_i, \dots, \mu_n), \quad \text{for } i \in \{1, \dots, n-1\}.$$

Let  $\mu = (\mu_1, \dots, \mu_n) \in \mathbb{Z}^n$ . The minimal length permutation  $v_{\mu} \in S_n$  such that  $v_{\mu}\mu$  is weakly increasing is given by

$$v_{\mu}(i) = 1 + \#\{i' \in \{1, \dots, i-1\} \mid \mu_{i'} \leq \mu_i\} + \#\{i' \in \{i+1, \dots n\} \mid \mu_{i'} < \mu_i\}.$$

The symmetric group  $S_n$  acts on  $\mathbb{C}[x_1^{\pm 1},\ldots,x_n^{-1}]$  by permuting the variables,

$$(s_i f)(x_1, \dots, x_n) = f(x_1, \dots, x_{i+1}, x_i, \dots, x_n),$$
 for  $i \in \{1, \dots, n-1\}.$ 

The polynomial ring  $\mathbb{C}[x_1^{\pm},\ldots,x_n^{\pm 1}]$  has  $\mathbb{C}$ -basis  $\{x^{\mu}\mid \mu\in\mathbb{Z}^n\}$  and if  $\mu\in\mathbb{Z}^n$  then  $s_ix^{\mu}=x^{s_i\mu}$ . Define operators  $\partial_i\colon\mathbb{C}[x_1^{\pm},\ldots,x_n^{\pm 1}]\to\mathbb{C}[x_1^{\pm},\ldots,x_n^{\pm 1}]$  by

$$\partial_i = (1 + s_i) \frac{1}{x_i - x_{i+1}}, \quad \text{for } i \in \{1, \dots, n-1\}.$$

The electronic Macdonald polynomials  $E_{\mu}$  for  $\mu \in \mathbb{Z}^n$  are determined by

- (E0)  $E_{(0,0,\ldots,0)} = 1$ ,
- (E1) If  $\mu_i > \mu_{i+1}$  then

$$E_{s_{i}\mu} = \left(\partial_{i}x_{i} - tx_{i}\partial_{i} + \frac{(1-t)q^{\mu_{i}-\mu_{i+1}}t^{\nu_{\mu}(i)-\nu_{\mu}(i+1)}}{1-q^{\mu_{i}-\mu_{i+1}}t^{\nu_{\mu}(i)-\nu_{\mu}(i+1)}}\right)E_{\mu},$$

where  $v_{\mu} \in S_n$  is minimal length such that  $v_{\mu}\mu$  is weakly increasing,

(E2) 
$$E_{(\mu_n+1,\mu_1,\dots,\mu_{n-1})} = q^{\mu_n} x_n E_{\mu}(x_2,\dots,x_n,q^{-1}x_1),$$

(E3) If  $k \in \mathbb{Z}$  then  $E_{(\mu_1 - k, \dots, \mu_n - k)} = (x_1 \cdots x_n)^{-k} E_{\mu}(x_1, x_2, \dots, x_n)$ .

Let  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$  with  $\lambda_1 \geq \dots \geq \lambda_n$ . The bosonic Macdonald polynomial  $P_{\lambda} = P_{\lambda}(q, t) = P_{\lambda}(x_1, \dots, x_n; q, t)$  is

$$P_{\lambda}(q,t) = \frac{1}{W_{\lambda}(t)} \sum_{w \in S_n} w \Big( E_{\lambda} \prod_{i < j} \frac{x_i - tx_j}{x_i - x_j} \Big),$$

where  $W_{\lambda}(t)$  is the appropriate constant which makes the coefficient of  $x^{\lambda}$  equal to 1 in  $P_{\lambda}(q,t)$ .

# 12.2 Koornwinder polynomials

Fix  $n \in \mathbb{Z}_{>0}$ . The group  $W_{\text{fin}}$  is generated by  $s_1, \ldots, s_{n-1}, s_n$  with relations

The group  $W_{\text{fin}}$  acts on  $\mathbb{Z}^n$  by defining

$$s_i(\mu_1, \dots, \mu_n) = (\mu_1, \dots, \mu_{i+1}, \mu_i, \dots, \mu_n),$$
 for  $i \in \{1, \dots, n-1\}$ , and  $s_n(\mu_1, \dots, \mu_n) = (\mu_1, \dots, \mu_{n-1}, -\mu_n),$ 

Let  $\mu = (\mu_1, \dots, \mu_n) \in \mathbb{Z}^n$ . The minimal length permutation  $v_{\mu} \in S_n$  such that  $v_{\mu}\mu$  is antidominant is given by

$$v_{\mu}(i)$$
?? =??1 +  $\#\{i' \in \{1, \dots, i-1\} \mid \mu_{i'} \leq \mu_i\}$  +  $\#\{i' \in \{i+1, \dots n\} \mid \mu_{i'} < \mu_i\}$ .

The group  $W_{\text{fin}}$  acts on  $\mathbb{C}[x_1^{\pm 1}, \dots, x_n^{-1}]$  by

$$(s_i f)(x_1, \dots, x_n) = f(x_1, \dots, x_{i+1}, x_i, \dots, x_n),$$
 for  $i \in \{1, \dots, n-1\}$ , and  $(s_n f)(x_1, \dots, x_n) = f(x_1, \dots, x_n^{-1}),$   $(s_0 f)(x_1, \dots, x_n) = f(q^? ? ? x_1, x_2, \dots, x_n)$ 

Define operators  $\partial_i \colon \mathbb{C}[x_1^{\pm}, \dots, x_n^{\pm 1}] \to \mathbb{C}[x_1^{\pm}, \dots, x_n^{\pm 1}]$  by

$$\partial_i = (1 + s_i) \frac{1}{x_i - x_{i+1}}, \quad \text{for } i \in \{1, \dots, n-1\}.$$

The electronic Macdonald polynomials  $E_{\mu}$  for  $\mu \in \mathbb{Z}^n$  are determined by

- (E0)  $E_{(0,0,\ldots,0)} = 1$ ,
- (E1) If  $i \in \{1, ..., n-1\}$  and  $\mu_i > \mu_{i+1}$  then

$$E_{s_{i}\mu} = \left(\partial_{i}x_{i} - tx_{i}\partial_{i} + \frac{(1-t)q^{\mu_{i}-\mu_{i+1}}t^{\nu_{\mu}(i)-\nu_{\mu}(i+1)}}{1-q^{\mu_{i}-\mu_{i+1}}t^{\nu_{\mu}(i)-\nu_{\mu}(i+1)}}\right)E_{\mu},$$

where  $v_{\mu} \in W_{\text{fin}}$  is minimal length such that  $v_{\mu}\mu$  is antidominanat,

(E2)  $E_{(\mu_n+1,\mu_1,\dots,\mu_{n-1})} = q^{\mu_n} x_n E_{\mu}(x_2,\dots,x_n,q^{-1}x_1),$ 

Let  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$  with  $\lambda_1 \geq \dots \geq \lambda_n \geq 0$ . The bosonic Koornwinder polynomial  $P_{\lambda} = P_{\lambda}(x_1, \dots, x_n; q, t_0, t_n, u_0, u_n)$  is

$$P_{\lambda} = \frac{1}{W_{\lambda}(t)} \sum_{w \in S_n} w \Big( E_{\lambda} \Big( \prod_{i=1}^n \frac{(1 - t_i u_i x_i)(1 + t_i u_i x_i)}{1 - x_i^2} \Big) \Big( \prod_{i < j} \frac{x_i - t x_j}{x_i - x_j} \Big) \Big),$$

where  $W_{\lambda}(t)$  is the appropriate constant which makes the coefficient of  $x^{\lambda}$  equal to 1 in  $P_{\lambda}(q,t)$ .

# 13 Definitions of the symmetric functions

# 13.1 The power sum symmetric functions $p_{\mu}$

Eefine  $p_r$  for  $r \in \mathbb{Z}_{\geq 0}$  by

$$p_r = x_1^r + x_2^r + \dots + x_n^r$$
 and define  $p_{\nu} = p_{\nu_1} p_{\nu_2} \cdots p_{\nu_{\ell}}$ 

for a sequence  $\nu = (\nu_1, \dots, \nu_\ell)$  of positive integers.

## 13.2 The elementary symmetric functions $e_{\mu}$

Define  $e_r$  for  $r \in \mathbb{Z}_{\geq 0}$  by

$$\sum_{r \in \mathbb{Z}_{>0}} e_r z^r = \prod_{i=1}^n (1 + x_i z) \quad \text{and define} \quad e_{\nu} = e_{\nu_1} e_{\nu_2} \cdots e_{\nu_{\ell}},$$

for a sequence  $\nu = (\nu_1, \dots, \nu_\ell)$  of positive integers.

# 13.3 The homogeneous symmetric functions $h_{\mu}$

Define  $h_r$  for  $r \in \mathbb{Z}_{\geq 0}$  by

$$\sum_{r \in \mathbb{Z}_{\geq 0}} h_r z^r = \prod_{i=1}^n \frac{1}{1 - x_i z} \quad \text{and define} \quad h_{\nu} = h_{\nu_1} h_{\nu_2} \cdots h_{\nu_{\ell}},$$

for a sequence  $\nu = (\nu_1, \dots, \nu_\ell)$  of positive integers.

### 13.4 The little q's

Following [Mac, (Ch. III (2.10)], define  $q_r$  for  $r \in \mathbb{Z}_{\geq 0}$  by

$$\sum_{r \in \mathbb{Z}_{>0}} q_r z^r = \prod_{i=1}^n \frac{1 - t x_i z}{1 - x_i z} \quad \text{and define} \quad q_{\nu} = q_{\nu_1} q_{\nu_2} \cdots q_{\nu_{\ell}},$$

for a sequence  $\nu = (\nu_1, \dots, \nu_\ell)$  of positive integers. In plethystic notation CHECK THIS

$$q_{\nu} = e_{\nu}[X(t-1)]$$
 and  $e_{\nu} = q_{\nu}\left[\frac{X}{1-t}\right].$ 

### 13.5 The little q's

For a symbol a define the infinite product

$$(a;q)_{\infty} = (1-a)(1-aq)(1-aq^2)\cdots$$

Define  $g_r$  for  $r \in \mathbb{Z}_{\geq 0}$  by

$$\sum_{r \in \mathbb{Z}_{\geq 0}} g_r z^r = \prod_{i=1}^n \frac{(t x_i z; q)_{\infty}}{(x_i z; q)_{\infty}} \quad \text{and define} \quad g_{\nu} = g_{\nu_1} g_{\nu_2} \cdots g_{\nu_{\ell}},$$

for a sequence  $\nu = (\nu_1, \dots, \nu_\ell)$  of positive integers.

## 13.6 The nonsymmetric Macdonald polynomials $E_{\mu}$

Let  $\mathbb{C}[X] = \mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ . The symmetric group  $S_n$  acts on  $\mathbb{C}[X]$  by permuting  $x_1, \dots, x_n$ . Let  $\mathbb{C}[X]^{S_n} = \{g \in \mathbb{C}[X] \mid \text{if } w \in S_n \text{ then } wg = g\}$  the ring of symmetric functions.

Letting  $s_1, \ldots, s_{n-1}$  denote the simple transpositions in  $S_n$ ,

$$(s_i f)(x_1, \dots, x_n) = f(x_1, \dots, x_{i-1}, x_{i+1}, x_i, x_{i+2}, \dots, x_n).$$

For  $f \in \mathbb{C}[X]$  and  $i \in \{1, ..., n-1\}$  define

$$\partial_i f = \frac{f - s_i f}{x_i - x_{i+1}}.$$

Let  $\mathbb{Z}_{\geq 0}^n$  denote the set of length n sequences  $\mu = (\mu_1, \dots, \mu_n)$  of nonnegative integers (sometimes called the set of weak compositions). Define  $E_{\mu}$  for  $\mu \in \mathbb{Z}_{\geq 0}^n$  by setting  $E_{(0,0,\dots,0)} = 1$  and using the following recursions:

- (1) If  $\mu_i > \mu_{i+1}$  then  $E_{s_i\mu} = \left(\partial_i x_i t x_i \partial_i + \frac{(1-t)q^{\mu_i \mu_{i+1}}t^{v_\mu(i) v_\mu(i+1)}}{1 q^{\mu_i \mu_{i+1}}t^{v_\mu(i) v_\mu(i+1)}}\right) E_\mu$ , where  $v_\mu \in S_n$  is minimal length such that  $v_\mu \mu$  is weakly increasing, and
- (2)  $E_{(\mu_n+1,\mu_1,\dots,\mu_{n-1})} = q^{\mu_n} x_n E_{\mu}(x_2,\dots,x_n,q^{-1}x_1).$

Explicitly, the permutation  $v_{\mu} \in S_n$  which is minimal length such that  $v_{\mu}\mu$  is weakly increasing is given by

$$v_{\mu}(i) = 1 + \#\{i' \in \{1, \dots, i-1\} \mid \mu_{i'} \leq \mu_i\} + \#\{i' \in \{i+1, \dots n\} \mid \mu_{i'} < \mu_i\}.$$

# 13.7 The symmetric Macdonald polynomials $P_{\lambda}$

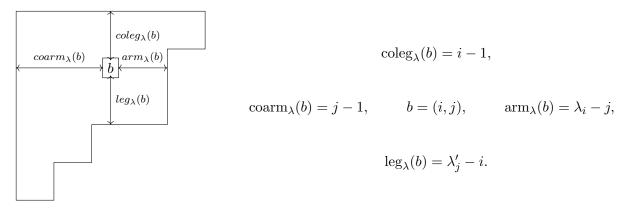
Let  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}_{\geq 0}^n$  with  $\lambda_1 \geq \dots \geq \lambda_n$ . Define

$$P_{\lambda}(q,t) = \frac{1}{W_{\lambda}(t)} \sum_{w \in S_n} w \Big( E_{\lambda} \prod_{i < j} \frac{x_i - tx_j}{x_i - x_j} \Big),$$

where  $W_{\lambda}(t)$  is the appropriate constant which makes the coefficient of  $x^{\lambda}$  equal to 1 in  $P_{\lambda}(q,t)$ .

### 13.8 The big Js and the big Qs

Let  $\lambda$  be a partition and let  $\lambda'$  denote the conjugate partition to  $\lambda$ . Following, [Mac, VI (6.14)] for a box b = (i, j) in  $\lambda$  define



The hook length h(b) and the content c(b) of the box b are defined by

$$h(b) = \operatorname{arm}_{\lambda}(b) + \operatorname{leg}_{\lambda}(b) + 1$$
 and  $c(b) = \operatorname{coarm}_{\lambda}(b) - \operatorname{coleg}_{\lambda}(b)$ .

Define the upper and lower hooks of a box and the upper and lower hook products of a partition by

$$h_{\lambda}^*(b) = 1 - q^{\operatorname{arm}_{\lambda}(b) + 1} t^{\operatorname{leg}_{\lambda}(b)}, \qquad h_*^{\lambda}(b) = 1 - q^{\operatorname{arm}_{\lambda}(b)} t^{\operatorname{leg}_{\lambda}(b) + 1},$$

$$h_{\lambda}^* = \prod_{b \in \lambda} h_{\lambda}^*(b), \qquad \qquad h_{\ast}^{\lambda} = \prod_{b \in \lambda} h_{\ast}^{\lambda}(b),$$

The integral form Macdonald polynomials  $J_{\mu}$  and the dual Macdonald polynomials  $Q_{\mu}$  are given by [Mac, (8.3) and (8.11)]:

$$J_{\mu}(q,t) = h_*^{\mu} P_{\mu}(q,t)$$
 and  $Q_{\mu}(q,t) = \frac{h_*^{\mu}}{h_u^*} P_{\mu}(q,t).$ 

# 13.9 The fermionic Macdonald polynomials $A_{\lambda+\delta}$

For  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}_{\geq 0}^n$  with  $\lambda_1 \geq \dots \geq \lambda_n$  define

$$\lambda + \delta = (\lambda_1 + n - 1, \lambda_2 + n - 2, \dots, \lambda_{n-1} + 1, \lambda_n)$$

and

$$A_{\lambda+\delta}(q,t) = \left(\prod_{i < j} \frac{x_i - tx_j}{x_i - x_j}\right) \sum_{w \in S_n} (-1)^{\ell(w)} w E_{\lambda+\delta}.$$

**Theorem 13.1.** (Weyl character formula for Macdonald polynomials)

$$A_{\delta}(q,t) = \prod_{i < j} (x_i - tx_j)$$
 and  $P_{\lambda}(q,qt) = \frac{A_{\lambda + \delta}(q,t)}{A_{\delta}(q,t)}.$ 

# 13.10 The Schurs $s_{\lambda}$ and the Big Schurs $S_{\lambda}$

The Schur functions  $s_{\lambda}$  and the Big Schurs  $S_{\lambda}$  are given in [Mac, Ch. I (7.7) and Ch. VI (8.9)] by the formulas

$$s_{\lambda} = \sum_{\rho} \frac{1}{z_{\rho}} \chi_{S_n}^{\lambda}(\rho) p_{\rho}$$
 and  $S_{\lambda} = S_{\lambda}(x;t) = \sum_{\rho} \frac{1}{z_{\rho}} \chi_{S_n}^{\lambda}(\rho) \Big( \prod_{i=1}^{\ell(\rho)} (1 - t^{\rho_i}) \Big) p_{\rho}$ 

where  $p_{\rho}$  is the power sum symmetric function and  $\chi_{S_n}^{\lambda}$  are the irreducible characters of the symmetric group. In plethystic notation

$$S_{\lambda} = s_{\lambda}[X(1-t)]$$
 and  $s_{\lambda} = S_{\lambda}\left[\frac{X}{1-t}\right].$ 

# 13.11 The modified Macdonald polynomials $\tilde{H}_{\lambda}(x; q, t)$

Define  $K_{\lambda\mu}(q,t)$  and the modified Macdonald polynomials  $\widetilde{H}_{\mu}$  by the formulas

$$J_{\mu} = \sum_{\mu} K_{\lambda\mu}(q, t) S_{\lambda} \quad \text{and} \quad \widetilde{H}_{\mu} = \sum_{\mu} t^{n(\mu)} K_{\lambda\mu}(q, t^{-1}) s_{\lambda}. \quad (\text{modMacdefn})$$

In other words, change  $J_{\mu}$  to  $\widetilde{H}_{\mu}$  by changing  $S_{\lambda}$  to  $s_{\lambda}$ , changing t to  $t^{-1}$  and multiplying by an overall factor of  $t^{n(\mu)}$ . This buries all the plethystic substitution into the switch from  $S_{\lambda}$  to  $s_{\lambda}$ . Write

$$\widetilde{K}_{\lambda\mu}(q,t) = t^{n(\mu)} K_{\lambda\mu}(q,t^{-1}) \qquad \text{so that} \qquad \widetilde{H}_{\lambda}(q,t;X) = \sum_{\mu} \widetilde{K}_{\lambda\mu}(q,t) s_{\mu}$$

The relation (modMacdefn) is not dissimilar to the relation

$$q_{\mu} = \sum_{\lambda} K_{\lambda\mu} S_{\lambda}$$
 and  $h_{\mu} = \sum_{\lambda} K_{\lambda\mu} s_{\lambda}$ , where  $K_{\lambda\mu} = K_{\lambda\mu}(0, 1)$ .

**Remark 13.2.** François Bergeron might define the modified Macdonald polynomials  $\widetilde{H}_{\mu} = \widetilde{H}_{\mu}(q, t; x)$ , using plethystic notation, by

$$\widetilde{H}_{\mu}(q,t;X) = t^{\eta(\mu)} P_{\mu} \left( \frac{X}{1 - t^{-1}}; q, t \right) \prod_{c \in \mu} (q^{a(c)} - t^{-(l(c) + 1)}).$$

# **13.12** Transition matrices $\chi(t)$ , K(q,t), Z(q,t), $\Psi(q,t)$ and $\mathcal{K}(q,t)$

Define  $\chi_{\lambda\nu}(t)$  by

$$S_{\lambda} = \sum_{\nu} \chi_{\lambda\nu}(t) m_{\nu}.$$

Since  $\chi_{\lambda\nu} = \langle S_{\lambda}(t), q_{\nu}(t) \rangle_{0,t}$  and  $\langle q_{\nu}(t), m_{\mu} \rangle_{0,t} = \delta_{\nu\mu}$  and  $\langle S_{\lambda}(t), s_{\mu} \rangle_{0,t} = \delta_{\lambda\mu}$  then

$$q_{\nu}(t) = \sum_{\lambda} \chi_{\lambda\nu}(t) s_{\lambda},$$

Define  $K_{\lambda\nu}(q,t)$  and  $Z_{\lambda\mu}(q,t)$  by

$$J_{\mu}(q,t) = \sum_{\lambda} K_{\lambda\mu}(q,t) S_{\lambda}(t)$$
 and  $J_{\lambda}(q,t) = \sum_{\mu} Z_{\lambda\mu}(q,t) s_{\mu}$ .

Define  $\Psi_{\mu\nu}(q,t)$  and  $\mathcal{K}_{\lambda\mu}(q,t)$  by

$$J_{\mu}(q,t) = \sum_{\nu} \Psi_{\mu\nu}(q,t) m_{\nu}$$
 and  $J_{\mu}(q,qt) = \sum_{\lambda} \mathcal{K}_{\lambda\mu}(q,t) J_{\lambda}(q,t)$ .

Remark 13.3. Relations:  $\Psi(q,t) = Z(q,t)K(0,1)$  and  $\Psi(q,t) = K(q,t)^t\chi(t)$ . Since

$$s_{\lambda} = \sum_{\mu} K_{\lambda\mu}(0,1) m_{\mu}$$

then

$$\Psi_{\lambda\nu}(q,t) = \sum_{\mu} Z_{\lambda\mu}(q,t) K_{\mu\nu}(0,1), \quad \text{and} \quad \Psi_{\mu\nu}(q,t) = \sum_{\lambda} K_{\lambda\mu}(q,t) \chi_{\lambda\nu}(t). \quad \Box$$

Remark 13.4. A difference equation:  $D_t\Psi = \mathcal{K}\Psi$  so that  $\mathcal{K}$  is a connection matrix! Since

$$D_t \Psi = \Psi(q, qt) = \mathcal{K}(q, t) \Psi(q, t) = \mathcal{K} \Psi$$
 and  $D_t Z = Z(q, qt) = \mathcal{K}(q, t) Z(q, t) = \mathcal{K} Z$ ,

then  $\Psi$  and Z a both solutions of the same difference equation, but with different initial conditions,

$$\Psi(q,q) = K(0,1)$$
 and  $Z(q,q) = \mathrm{id}$ .

# References

- [Bou] N. Bourbaki, Groupes et algèbres de Lie, vol. 4-6, Masson 1981, MR0647314
- [CR22] L. Colmenarejo and A. Ram, c-functions and Macdonald polynomials, arxiv:2212.03312.
- [CR81] C.W. Curtis and I. Reiner, Methods of Representation Theory: With Applications to Finite Groups and Orders, Wiley Classics Lib. I and II, John Wiley & Sons, New York, 1981. MR0892316.
- [DL76] P. Deligne and G. Lusztig, Representations of reductive groups over finite fields, Ann. of Math. (2) 103 (1976) 103–161, MR0393266.
- [DRS22] P. Diaconis, A. Ram and M. Simper, *Double coset Markov chains*, Forum Math. Sigma 11 (2023), Paper No. e2, 45 pp., MR4530094, arXiv2208.10699.
- [GH93] A. Garsia and M. Haiman, A graded representation model for Macdonald's polynomials, Proc. Nat. Acad. Sci. USA **90** (1993) 3607-3610. MR1214091
- [GH96] A. Garsia and M. Haiman, A remarkable q,t-Catalan sequence and q-Lagrange inversion, J. Algebraic Combinatorics 5 (1996) 191-244, MR1394305.
- [GR05] A. Garsia and J.B. Remmel, *Breakthroughs in the theory of Macdonald polynomials*, Proc. Nat. Acad. Sci. USA **102** (2005) 3891-3894, MR2139721.
- [GP00] M. Geck and G. Pfeiffer, Characters of finite Coxeter groups and Iwahori-Hecke algebras, London Math. Soc. Monogr. (N.S.) **21** The Clarendon Press, Oxford University Press, New York, 2000, xvi+446 pp. ISBN: 0-19-850250-8, MR1778802.
- [GMV14] E. Gorsky, M. Mazin and M. Vazirani, Affine permutations and rational slope parking functions, Trans. Amer. Math. Soc. 368 (2016) 8403-8445, MR3551576, arXiv:140303.
- [HR99] T. Halverson and A. Ram, Bitraces for  $GL_n(\mathbb{F}_q)$  and the Iwahori-Hecke algebra of type  $A_{n-1}$ , Indag. Mathem. N.S. 10 (1999) 247–268, MR1816219.
- [HR04] T. Halverson and A. Ram, *Partition algebras*, European J. of Combinatorics, **26**(2005) 869-921, MR2143201, arxic:0401314.
- [HLR] T. Halverson, R. Leduc and A. Ram, Iwahori-Hecke algebras of type A, bitraces and symmetric functions, Int. Math. Research Notices (1997) 401–416. MR1443319.
- [He23] X. He, On affine Lusztig varieties, arXiv:2302.03203.
- [Hik12] T. Hikita, Affine Springer fibers of type A and combinatorics of diagonal coinvariants, Adv. Math. 263 (2014) 88–122, MR3239135, arXiv:1203.5878.
- [HS79] R. Hotta, N. Shimomura, The fixed point subvarieties of unipotent transformations on generalized flag varieties and the Green functions, Math. Ann. 241 (1979) 193-208.
- [LLT95] A. Lascoux, B. Leclerc and J.-Y. Thibon, Ribbon tableaux, Hall-Littlewood functions, quantum affine algebras, and unipotent varieties, J. Math. Phys. **38** (1997) 1041–1068. MR1434225, arXiv:q-alg/9512031.
- [Lu81] G. Lusztig, Green polynomials and singularities of unipotent classes, Adv. Math. 42 (1981) 169-178, MR0641425.

- [Lu21] G. Lusztig, Traces on Iwahori-Hecke algebras and counting rational points, arXiv:2105.04061.
- [Mac] I.G. Macdonald, Symmetric functions and Hall polynomials, Second edition, Oxford Mathematical Monographs, Oxford University Press, New York, 1995. ISBN: 0-19-853489-2, MR1354144. 13.4, 13.8, 13.10
- [Mac03] I.G. Macdonald, Affine Hecke Algebras and Orthogonal Polynomials, Cambridge Tracts in Mathematics, vol. 157, Cambridge University Press, Cambridge, 2003. MR1976581.
- [Me17] A. Mellit, Poincaré polynomials of character varieties, Macdonald polynomials and affine Springer fibers, Ann. of Math. (2) 192 (2020), 165-228. MR4125451, arxiv:1710.04513.
- [OY14] A. Oblomkov and Z. Yun, Geometric representations of graded and rational Cherednik algebras, Adv. Math. 292 (2016) 601–706, MR3464031, arxiv:1407.5685.
- [Ra91] A. Ram, A Frobenius formula for the characters of the Hecke algebras, Invent. Math. 106 (1991), 461-488, MR1134480.
- [VV07] M. Varagnolo and E. Vasserot, Finite dimensional representations of DAHA and affine Springer fibers: The spherical case, Duke Math. J. 147 (2009) 439-540, MR2510742, arxiv: 0705.2691.
- [WW12] J. Wan and W. Wang, Frobenius map for the centers of Hecke algebras, Trans. Amer. Math. Soc. 367 (2015) 5507?5520, MR3347181, arxiv:1208.4446.