

(8a) Let (X, d) be a complete metric space and let $f: X \rightarrow X$ be a contraction mapping. Let $x \in X$ and let (x_1, x_2, \dots) be the sequence on X given by

$$x_1 = f(x), \quad x_2 = f(f(x)), \quad x_3 = f(f(f(x))), \dots$$

To show: (a) (x_1, x_2, \dots) converges.

(ab) If $p = \lim_{n \rightarrow \infty} x_n$ then $f(p) = p$.

(ac) If $q \in X$ and $f(q) = q$ then $p = q$.

(aa) To show: (x_1, x_2, \dots) converges

Since X is complete, to show: (x_1, x_2, \dots) is Cauchy.

To show: If $\varepsilon \in \mathbb{R}_{>0}$ then there exists $N \in \mathbb{Z}_{>0}$ such that if $m, n \in \mathbb{Z}_{\geq N}$ then $d(x_m, x_n) < \varepsilon$.

Let $\alpha \in \mathbb{R}_{(0,1)}$ such that

if $x, y \in X$ then $d(f(x), f(y)) \leq \alpha d(x, y)$

Assume $\varepsilon \in \mathbb{R}_{>0}$.

Let N be the smallest integer in $\mathbb{Z}_{>0}$ such that

$$\frac{\alpha^N d(f(x), x)}{1 - \alpha} < \varepsilon \quad \left(\text{so } \alpha^N < \frac{\varepsilon(1 - \alpha)}{d(f(x), x)} \right)$$

To show: If $m, n \in \mathbb{Z}_{\geq N}$ then $d(x_m, x_n) < \varepsilon$

Assume $m, n \in \mathbb{Z}_{\geq N}$

Assume $n < m$.

To show: $d(x_n, x_n) < \varepsilon$.

Since

$$d(x_2, x_1) = d(f(x), f(x)) \leq \alpha d(f(x), x),$$

$$d(x_3, x_2) = d(f^2(x), f^2(x)) \leq \alpha d(f^2(x), f(x)) \leq \alpha^2 d(f(x), x),$$

$$d(x_4, x_3) = d(f^3(x), f^3(x)) \leq \alpha d(f^3(x), f^2(x)) \leq \alpha^3 d(f(x), x),$$

⋮

then

$$d(x_n, x_m) \leq d(x_n, x_{n+1}) + d(x_{n+1}, x_{n+2}) + \dots + d(x_{m-1}, x_m)$$

$$\leq \alpha^n d(f(x), x) + \alpha^{n+1} d(f(x), x) + \dots + \alpha^{m-1} d(f(x), x)$$

$$= (\alpha^n + \alpha^{n+1} + \dots + \alpha^{m-1}) d(f(x), x)$$

$$\leq \alpha^n (1 + \alpha + \alpha^2 + \dots) d(f(x), x) = \frac{\alpha^n}{1-\alpha} d(f(x), x)$$

$$< \varepsilon.$$

So (x_1, x_2, \dots) is a Cauchy sequence in X .

Since X is complete then (x_1, x_2, \dots) converges.

(ab) Assume $p = \lim_{n \rightarrow \infty} x_n$.

To show: $f(p) = p$.

To show: $d(f(p), p) = 0$.

To show: If $\varepsilon \in \mathbb{R}_{>0}$ then $d(f(p), p) < \varepsilon$.

Assume $\varepsilon \in \mathbb{R}_{>0}$.

Since $\lim_{n \rightarrow \infty} x_n = p$ there exists $N \in \mathbb{Z}_{>0}$ such that ③

if $n \in \mathbb{Z}_{>N}$ then $d(x_n, p) < \frac{\varepsilon}{2}$

$$\text{So } d(f(p), p) \leq d(f(p), x_{N+1}) + d(x_{N+1}, p)$$

$$\leq \alpha d(p, x_N) + d(x_{N+1}, p) \quad \left(\text{since } x_{N+1} = f(x_N) \right)$$

$$< \alpha \frac{\varepsilon}{2} + \frac{\varepsilon}{2} < \varepsilon$$

$$\text{So } d(f(p), p) = 0.$$

$$\text{So } f(p) = p.$$

(ac) To show: If $q \in X$ and $f(q) = q$ then $q = p$.

Assume $q \in X$ and $f(q) = q$.

To show: $q = p$.

To show: $d(q, p) = 0$.

$$d(q, p) = d(f(q), f(p)) \quad \left(\text{since } q = f(q) \text{ and } p = f(p) \right)$$

$$\leq \alpha d(q, p).$$

$$\text{So } (1 - \alpha) d(q, p) \leq 0.$$

Since $(1 - \alpha) d(q, p) \geq 0$ and $(1 - \alpha) d(q, p) \leq 0$
then $(1 - \alpha) d(q, p) = 0$.

Since $\alpha \in \mathbb{R}_{(0,1)}$ then $1 - \alpha \neq 0$ and so $d(q, p) = 0$.

$$\text{So } p = q. \quad \square$$

(8b) Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be a continuous function.

Let $a_1 \in \mathbb{R}$ and let $a_{n+1} = f(a_n)$.

Assume (a_1, a_2, \dots) converges and $a = \lim_{n \rightarrow \infty} a_n$.

To show: $f(a) = a$.

$$\begin{aligned} f(a) &= f\left(\lim_{n \rightarrow \infty} a_n\right) \\ &= \lim_{n \rightarrow \infty} f(a_n) \quad (\text{since } f \text{ is continuous}) \\ &= \lim_{n \rightarrow \infty} a_{n+1} = a. \end{aligned}$$

(8c) If $f(x) = \frac{1}{x^2 + 1}$, then

$$x = f(x) \text{ is } x = \frac{1}{x^2 + 1}, \text{ which is } x^3 + x = 1$$

which is $x^3 + x - 1 = 0$ (Thus the question sheet has a typographical error).

Let $a_1 = \frac{1}{2}$. Then

$$a_2 = f(a_1) = \frac{1}{\left(\frac{1}{2}\right)^2 + 1} = \frac{4}{5} = 0.8.$$

$$a_3 = f(a_2) = \frac{1}{\left(\frac{4}{5}\right)^2 + 1} = \frac{25}{41} \approx 0.609760976097\dots$$

$$a_4 = f(a_3) = \frac{1681}{2306} \approx 0.728967.$$

$$a_5 = \frac{1}{a_4^2 + 1} \approx 0.6530046$$

$$a_6 = \frac{1}{a_5^2 + 1} \approx 0.7010582$$

$$a_7 = \frac{1}{a_6^2 + 1} \approx 0.6704737.$$

$$a_8 = \frac{1}{a_7^2 + 1} \approx 0.68987635$$

$$a_9 = \frac{1}{a_8^2 + 1} \approx 0.67753918$$

$$a_{10} = \frac{1}{a_9^2 + 1} \approx 0.68537308$$

$$a_{11} = \frac{1}{a_{10}^2 + 1} \approx 0.680394233$$

$$a_{12} = \frac{1}{a_{11}^2 + 1} \approx 0.6835567$$

$$a_{13} = \frac{1}{a_{12}^2 + 1} \approx 0.68154722$$

$$a_{14} = \frac{1}{a_{13}^2 + 1} \approx 0.68282382.$$

$$a_{15} = \frac{1}{a_{14}^2 + 1} \approx 0.6820126.$$

So, up to 3 decimal places of accuracy

0.682 should be a solution to $x^3 + x - 1 = 0$.

(8d) $x^3 + x - 1 = 0$ is $x = 1 - x^3$ which is
 $x = f(x)$ with $f(x) = 1 - x^3$.

①

Let $a_1 = \frac{1}{2}$

$$a_2 = f(a_1) = 1 - \left(\frac{1}{2}\right)^3 = 1 - \frac{1}{8} = \frac{7}{8} = 0.875$$

$$a_3 \approx 0.330078$$

$$a_4 \approx 0.964037$$

$$a_5 \approx 0.104055$$

If $0 < a_i < \frac{1}{10^k}$ then a_{i+1} satisfies

$$1 - \left(\frac{1}{10^k}\right)^3 = 1 - \frac{1}{10^{3k}} < a_{i+1} < 1 - 0^3 = 1.$$

If $1 - \frac{1}{10^k} < a_i < 1$ then a_{i+1} satisfies

$$\begin{aligned} 0 = 1 - 1^3 < a_{i+1} < 1 - \left(1 - \frac{1}{10^k}\right)^3 &= 1 - 1 + \frac{3}{10^k} - \frac{3}{10^{2k}} + \frac{1}{10^{3k}} \\ &= \frac{3}{10^k} - \frac{3}{10^{2k}} + \frac{1}{10^{3k}} < \frac{1}{10^{k-1}} \end{aligned}$$

So (a_1, a_2, \dots) is a sequence with no cluster points but with limit points at 0 and 1.

(8e) Is $f(x) = 1 - x^3$ a contraction for $0 < x < 1$?

$$\frac{d(f(x), f(y))}{d(x, y)} = \frac{|(1 - y^3) - (1 - x^3)|}{|y - x|} = \frac{|y^3 - x^3|}{|y - x|}$$

$$= |y^2 + xy + x^2|$$

If $x = 1 - \frac{1}{10^2}$ and $y = 1 - \frac{1}{10^2}$ then

$$y^2 + xy + x^2 = 3\left(1 - \frac{1}{10^2}\right)^2 = 3\left(1 - \frac{2}{10^2} + \frac{1}{10^4}\right) > 3 - .06$$

$$= 2.94 > 1.$$

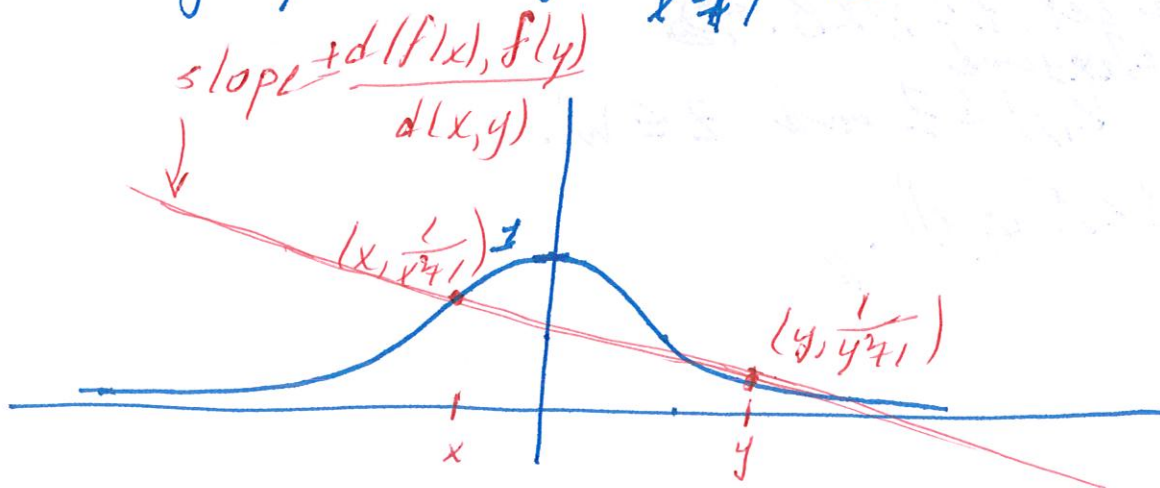
So there does not exist $\alpha \in \mathbb{R}_{(0,1)}$ with

$$d(f(x), f(y)) < \alpha d(x, y) \quad (\text{with } x, y \in \mathbb{R}_{(0,1)}).$$

So $f(x) = 1 - x^3$ is not a contraction.

Is $f(x) = \frac{1}{x^2 + 1}$ a contraction?

The graph of $y = \frac{1}{x^2 + 1}$ is



Then

$$\frac{d(f(x), f(y))}{d(x, y)} = \left| \frac{\frac{1}{x^2+1} - \frac{1}{y^2+1}}{y-x} \right|$$

is the absolute value of the slope of the line connecting $(x, \frac{1}{x^2+1})$ and $(y, \frac{1}{y^2+1})$.

This slope is bounded by the maximum of

$$\left| \frac{d}{dx} \left(\frac{1}{x^2+1} \right) \right| = \left| \frac{-2x}{(x^2+1)^3} \right|$$

The maximum of $\left| \frac{-2x}{(x^2+1)^2} \right|$ occurs at the critical points, i.e. at $x=a$ where

$$\left. \frac{d}{dx} \left(\frac{-2x}{(x^2+1)^2} \right) \right|_{x=a} = 0.$$

$$\frac{d}{dx} \left(\frac{-2x}{(x^2+1)^2} \right) = \frac{(-2)(2x)(-2x)}{(x^2+1)^3} + \frac{-2}{(x^2+1)^2}$$

$$= \frac{8x^2 - 2(x^2+1)}{(x^2+1)^3} = \frac{6x^2 - 2}{(x^2+1)^3} = \frac{6(x^2 - \frac{1}{3})}{(x^2+1)^3}.$$

So the critical points are at $x = \pm \frac{1}{\sqrt{3}}$ (3)

and the slopes at $x = \pm \frac{1}{\sqrt{3}}$ are

$$\begin{aligned} \left. \frac{d}{dx} \left(\frac{1}{x^2+1} \right) \right|_{x = \pm \frac{1}{\sqrt{3}}} &= \left. \frac{-2x}{(x^2+1)^2} \right|_{x = \pm \frac{1}{\sqrt{3}}} = \frac{\mp \frac{2}{\sqrt{3}}}{\left(\frac{1}{3}+1\right)^2} \\ &= \frac{\mp \frac{2}{\sqrt{3}}}{\frac{4^2}{3^2}} = \mp \frac{2 \cdot 3^2}{4^2 \sqrt{3}} = \mp \frac{3\sqrt{3}}{8}. \end{aligned}$$

Thus

$$d(f(x), f(y)) \leq \alpha d(x, y) \text{ with } \alpha = \frac{3\sqrt{3}}{8}.$$

Since $\sqrt{3} < \sqrt{4} = 2$ then $\alpha < \frac{4}{8} = \frac{1}{2} < 1$

and so $f(x) = \frac{1}{x^2+1}$ is a contraction.

Thus $f(x)$ satisfies the hypotheses of the Banach fixed point theorem and the Banach fixed point theorem produces x such that $x = \frac{1}{x^2+1}$.