

# Abelian varieties and elliptic curves

Abelian vars

and theta functions

①

An abelian variety is a complex torus  $\mathbb{C}^g / \Lambda(g)$   
 which embeds in projective space.

An elliptic curve is an abelian variety of dimension 1.

$$g = 1$$

general  $g$

$\{\text{complex tori}\}$   
 of dim 1

$\{\text{complex tori}\}$   
 of dim  $g$

II

U+

$\{\text{elliptic}\}$   
 curves

$\{\text{abelian}\}$   
 varieties

The case  $g=1$  (see, for example, [AEC II Ch. 1])

The upper half plane is

$$G_1 = \{z \in \mathbb{C} \mid \operatorname{Im}(z) \in \mathbb{R}_{>0}\}$$

Then

$$G_1 \cong \operatorname{SL}_2(\mathbb{R}) / \operatorname{SO}_2(\mathbb{R})$$

The moduli space of elliptic curves is

$$\mathcal{X}(1) = \mathcal{P}(1) / G_1 = \mathcal{P}(1) / \operatorname{SL}_2(\mathbb{R}) / \operatorname{SO}_2(\mathbb{R}) = \operatorname{SL}_2(\mathbb{Z}) / \operatorname{SL}_2(\mathbb{R}) / \operatorname{SO}_2(\mathbb{R})$$

where  $\mathcal{P}(1) = \frac{\operatorname{SL}_2(\mathbb{Z})}{\{ \pm 1 \}} = \operatorname{PSL}_2(\mathbb{Z})$ .

The general  $g$  case: [Igusa p24 and Ch.I Theorem 5].

The Siegel upper half space is

$$G_g = \{ \tau \in M_g(\mathbb{C}) \mid \tau = \tau^t, \text{Im}(\tau) \text{ is positive definite} \}.$$

Then

$$(a) G_g \cong \frac{\mathrm{Sp}_{2g}(\mathbb{R})}{\mathrm{Sp}_{2g}(\mathbb{R}) \cap \mathrm{O}_{2g}(\mathbb{R})}$$

(b)  $K = \mathrm{Sp}_{2g}(\mathbb{R}) \cap \mathrm{O}_{2g}(\mathbb{R})$  is a maximal compact subgroup of  $\mathrm{Sp}_{2g}(\mathbb{R})$ .

(c) All maximal compact subgroups of  $\mathrm{Sp}_{2g}(\mathbb{R})$  are conjugate.

Let  $d_1, \dots, d_g \in \mathbb{Z}_{>0}$  with  $d_1/d_2, d_2/d_3, \dots, d_{g-1}/d_g$ . Let

$$\Delta = \left( \begin{array}{c|c} D & \begin{matrix} d_1 & & \\ & \ddots & \\ & & d_g \end{matrix} \\ \hline -d_1 & \\ \vdots & \\ -d_g & D \end{array} \right) \quad \text{and}$$

$$\mathrm{Sp}(\Delta, \mathbb{Z}) = \{ M \in \mathrm{GL}_{2g}(\mathbb{Z}) \mid M\Delta M^t = \Delta \}$$

acting on  $G_g$  by

$$M \cdot \tau = (A\tau + B\Delta)(C\tau + D\Delta)^{-1}, \quad \text{if } M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}.$$

(3)

Abelian varieties  
and theta functions

Then

$$\left\{ \begin{array}{l} \text{isom. classes of} \\ \text{abelian varieties} \\ \text{with } \Delta \text{ polarization} \end{array} \right\} \xrightarrow{\sim} \frac{Sp(\Delta, \mathbb{Z})}{Sp(\Delta, \mathbb{Z})} \backslash G_g \xrightarrow{\sim} \frac{Sp(\Delta, \mathbb{Z})}{Sp(\Delta, \mathbb{Z})} \backslash \frac{Sp_{2g}(\mathbb{R})}{Sp_{2g}(\mathbb{R}) \cap N_g} /$$

$$\left( \frac{G_g}{\Lambda/\mathbb{Z}}, \mathcal{L} \right) \longleftrightarrow \tau$$

Modular forms

Let  $k \in \mathbb{Z}_{\geq 2}$ . The Eisenstein series of weight  $2k$  is

$$G_{2k}(1) = \sum_{\substack{\omega \in \Lambda \\ \omega \neq 0}} \frac{1}{\omega^{2k}}$$

Let  $G_k(1) = 0$ , for  $k$  odd. Let  $\Gamma(1) = PSL_2(\mathbb{Z}) = \frac{SL_2(\mathbb{Z})}{\{\pm 1\}}$

Write

$M_{2k} = \{\text{modular forms of weight } 2k \text{ for } \Gamma(1)\}$

$M_{2k}^0 = \{\text{cusp forms of weight } 2k \text{ for } \Gamma(1)\}$

Then

$M_{2k}$  has basis  $\{G_4^a G_6^b \mid a, b \in \mathbb{Z}_{\geq 0}, 2a+3b=k\}$

$$M_{2k} = M_{2k}^0 + \mathcal{O}G_{2k} \quad \text{and} \quad M_{2k}^0 \leftarrow M_{2k-12}$$

$$f \leftarrow f$$

where  $\Delta = q_2^3 - 27q_3$  with  $q_2 = 60G_4$  and  $q_3 = 140G_6$ .

In particular,

$\dim M_k = 0$ , if  $k \in \mathbb{Z}_{\leq 0}$  or  $k$  odd or  $k=2$ ,

$\dim M_k = 1$ , if  $k \in \{0, 4, 6, 8, 10, 14\}$

and

$\dim (M_{2k+12}) = \dim (M_{2k}) + 1$ , for  $k \in \mathbb{Z}_{\geq 0}$ .

Let  $k \in \mathbb{Z}$ . A weakly modular function of weight k for  $\Gamma(1)$  is  $f: G_1 \rightarrow \mathbb{C}$  such that

(a) If  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma(1)$  and  $z \in G_1$ , then

$$f(\gamma z) = (cz+d)^k f(z)$$

(b)  $f$  is meromorphic on  $G_1$ .

Note: Since  $f(z+1) = f(z)$  then  $f$  has a Fourier expansion

$$f = \sum_{n \in \mathbb{Z}} a_n q^n, \text{ where } q = e^{\frac{2\pi i z}{12}} \quad (\text{so } f \in L^2(\mathbb{Z}))$$

(b) ~~If~~ If  $k$  is odd then the only weakly modular function is  $f=0$ .

A modular function is a weakly modular function  $f$  such that

$$f = \sum_{n=-n_0}^{\infty} a_n q^n \quad (\text{i.e. } f \in \mathbb{C}[[q]]).$$

A modular form is a <sup>weakly</sup> modular function  $f$  such that

$$f = \sum_{n \in \mathbb{Z}_{\geq 0}} a_n q^n \in \mathbb{C}[[q]].$$

A cusp form is a weakly modular function  $f$  such that

$$f = \sum_{n \in \mathbb{Z}_{\geq 0}} a_n q^n \in q \mathbb{C}[[q]].$$

Let

 $M_{2k} = \{ \text{modular forms of weight } 2k \text{ for } \Gamma(1) \}$ 
 $M_{2k}^{\circ} = \{ \text{cusp forms of weight } 2k \text{ for } \Gamma(1) \}.$ 
Theorem

(a) [AEC II Ch. 1 Ex 1.10]

$$\begin{aligned} \mathbb{C}[X, Y] &\xrightarrow{\sim} \bigoplus_{k \in \mathbb{Z}_{\geq 0}} M_k \\ X &\mapsto G_4 \quad \text{with } \deg(X)=2 \\ Y &\mapsto G_6 \quad \text{with } \deg(Y)=3 \end{aligned}$$

so

 $M_{2k} \text{ has basis } \{ G_4^a G_6^b \mid a, b \in \mathbb{Z}_{\geq 0}, 2a+3b=k \}.$ 

(b)

$M_{2k} = M_{2k}^{\circ} + \mathbb{C}G_{2k}$

(since  $M_{2k}^{\circ} = \ker \left( \begin{matrix} M_{2k} & \rightarrow & \mathbb{C} \\ f & \mapsto & a_0 \end{matrix} \right)$  so  $\dim(M_{2k}/M_{2k}^{\circ}) \leq 1$ )

(c)

$$\begin{aligned} M_{2k-12} &\xrightarrow{\sim} M_{2k}^{\circ} \\ f &\mapsto f\Delta, \quad \text{where } \Delta = q_2^3 - 27q_3 \end{aligned}$$

with  $q_2 = 60G_4$  and  $q_3 = 140G_6$

(d)

$$\dim(M_{2k}) = \begin{cases} 0, & \text{if } k \in \mathbb{Z}_{< 0} \\ \left\lfloor \frac{k}{6} \right\rfloor, & \text{if } k \in \mathbb{Z}_{\geq 0} \text{ and } k \equiv 1 \pmod{6} \\ \left\lfloor \frac{k}{6} \right\rfloor + 1, & \text{if } k \in \mathbb{Z}_{\geq 0} \text{ and } k \not\equiv 1 \pmod{6}. \end{cases}$$

(CAN WE SAY THIS BETTER?)

# Modular forms 2.1



$\frac{E_4}{2}$	0	2	4	6	8	10	12	14	16	18	20	22	24	26
$\dim(M_{2k})$	1	0	1	1	1	1	2	1	2	2	2	2	3	2
$\dim(M_{2k}^{\circ})$	0	0	0	0	0	0	1	0	1	1	1	1	2	1

So

~~$\dim(M_0) = 1$ ,  $\dim(M_2) = 0$ ,  $\dim(M_4) = 1$~~   
 ~~$\dim M_k \neq 0$  if  $k = 2$  or  $k$  odd or  $k \in \mathbb{Z}_{\geq 0}$ .~~  
 ~~$\dim(M_k) = \frac{1}{2}$ , if  $k \in \{0, 4, 6, 8, 10\}$~~   
 ~~$\frac{1}{2}$  if  $k \in \{2, 12, 14, 16, 18, 20\}$~~

and

$$\dim(M_{2k+12}) = \dim(M_{2k}) + 1.$$

So

$\dim(M_k) = 0$ , if  $k \in \mathbb{Z}_{\geq 0}$  or  $k$  odd or  $k=2$ ,

$\dim(M_k) = 1$ , if  $k \in \{0, 4, 6, 8, 10, 14\}$

and

$$\dim(M_{2k+12}) = \dim(M_{2k}) + 1, \text{ for } k \in \mathbb{Z}_{\geq 0}.$$

Expansion of  $G_{2k}$

Modular forms

(2.5)

Let  $B_k$  be the Bernoulli numbers given by

$$\frac{x}{e^x - 1} = \sum_{k \in \mathbb{Z}_{\geq 0}} B_k \frac{x^k}{k!}$$

Let  $\zeta(s)$  be the Riemann zeta function given by

$$\zeta(s) = \sum_{n \in \mathbb{Z}_{\geq 0}} \frac{1}{n^s}.$$

Let  $\sigma_k(n) = \sum_{d|n} d^k$ , for  $n \in \mathbb{Z}_{\geq 0}$  and  $k \in \mathbb{Z}_{\geq 0}$ .

Then

$$G_{2k}(z) = \sum_{\substack{\omega \in \Lambda \\ \omega \neq 0}} \frac{1}{\omega^{2k}}$$

$$= 2\zeta(2k) + \frac{2(2\pi i)^{2k}}{(2k-1)!} \sum_{n \in \mathbb{Z}_{\geq 0}} \sigma_{2k+1}(n) q^n$$

$$= 2\zeta(2k) E_{2k}(z)$$

and

$$\zeta(2k) = -\frac{(2\pi i)^{2k}}{2(2k)!} B_{2k}$$

The discriminant and j

$$\Delta = g_2^3 - 27g_3, \text{ where } g_2 = 60G_4 \text{ and } g_3 = 140G_6$$

$$= (2\pi)^{12} q \prod_{n \in \mathbb{Z}_{\geq 1}} (1 - q^n)^{24} = (2\pi)^{12} \eta(\tau)^{24} \quad (\text{Dedekind eta-function})$$

$$= (2\pi)^{12} \sum_{n \in \mathbb{Z}_{\geq 0}} c(n) q^n \quad (\text{Ramanujan \tau-function})$$

$$= (2\pi)^{12} (q - 24q^2 + 252q^3 - 1472q^4 + 4830q^5 + \dots)$$

The modular j-invariant

$$j(\tau) = 1728 \frac{g_2(\tau)^3}{\Delta(\tau)}$$

$$= q^{-1} + \sum_{n \in \mathbb{Z}_{\geq 0}} c(n) q^n$$

$$= q^{-1} + 744 + 196884q + 21493760q^2 + \dots$$

Elliptic functions [WW Ch 20] and [AEC I, Ch VI]

Let  $\Lambda$  be a rank 2 lattice in  $\mathbb{C}$ ,

$$\Lambda = \mathbb{Z}\text{-span}\{\omega_1, \omega_2\}.$$

An elliptic function relative to  $\Lambda$  is a meromorphic function  $f: \mathbb{C} \rightarrow \mathbb{C}$  such that

$$\text{if } w \in \Lambda \text{ and } z \in \mathbb{C} \quad \text{then } f(z+w) = f(z).$$

Let

$$\mathcal{O}(\Lambda) = \{\text{elliptic function relative to } \Lambda\}.$$

The Weierstrass  $\wp$ -function is

$$\wp(z; \Lambda) = \frac{1}{z^2} + \sum_{\substack{w \in \Lambda \\ w \neq 0}} \frac{1}{(z-w)^2} - \frac{1}{w^2}$$

Let  $k \in \mathbb{Z}_{\geq 2}$ . The Eisenstein series of weight  $2k$  is

$$G_{2k}(\Lambda) = \sum_{\substack{w \in \Lambda \\ w \neq 0}} \frac{1}{w^{2k}}$$

Let  $G_k(\Lambda) = 0$ , for  $k$  odd, and let

$$g_2 = 60G_4 \quad \text{and} \quad g_3 = 140G_6.$$

Theorem

$$(a) \quad \mathcal{E}(1) = \mathcal{E}(\wp(z), \wp'(z))$$

$$(b) \quad \wp(z) = z^{-2} + \sum_{k \in \mathbb{Z}_{\geq 0}} (2k+1) G_{2k+2} z^{2k}$$

$$(c) \quad (\wp'(z))^2 = 4\wp(z) - g_2 \wp(z) - g_3.$$

$$(d) \quad \mathcal{E} \xrightarrow{\sim} E \subseteq \mathbb{P}^2(\mathbb{C})$$

$$z \mapsto [\wp(z), \wp'(z), 1]$$

where  $E = \{(x, y, 1) \in \mathbb{P}^2(\mathbb{C}) \mid y^2 = 4x^3 - g_2x - g_3\}$ .  
 (FIX THIS SO IT REALLY LIES IN  $\mathbb{P}^2(\mathbb{C})$ ).

The roots of the polynomial are given by

$$4x^3 - g_2x - g_3 = 4(x - \wp(\omega_1))(x - \wp(\omega_2))(x - \wp(-\omega_1 - \omega_2))$$

(see [AECII Ch. 1 Proof of Theorem 8.1] and [WW 20.32])

and the discriminant of  $4x^3 - g_2x - g_3$  is

$$\Delta(1) = g_2^3 - 27g_3^2 = \begin{vmatrix} 1 & 1 & 1 \\ \wp(\omega_1) & \wp(\omega_2) & \wp(\omega_3) \\ (\wp(\omega_1))^2 & (\wp(\omega_2))^2 & (\wp(\omega_3))^2 \end{vmatrix}^2$$

(what does discriminant mean ??)

## The Weierstrass $\wp$ -function

(see [WW §20.4] and [AEC II, §5]).

Define  $\wp(z; \lambda)$  by

$$\frac{d}{dz} \wp(z; \lambda) = -\wp'(z; \lambda).$$

Then

$$\wp(z; \lambda) = \frac{1}{z} + \sum_{\substack{\omega \in \Lambda \\ \omega \neq 0}} \left( \frac{1}{z-\omega} + \frac{1}{\omega} + \frac{z}{\omega^2} \right)$$

$$= \frac{1}{z} - \sum_{k \in \mathbb{Z}_{\geq 0}} G_{2k+2}(\lambda) z^{2k+1}$$

$$= \frac{1}{z} - \sum_{k \in \mathbb{Z}_{\geq 1}} G_{2k+1}(\lambda) z^k, \text{ since } G_k(\lambda) = 0 \text{ for odd } k.$$

## The Weierstrass $\sigma$ -function (see [AEC II, Prop. 5.4])

Define  $\sigma(z; \lambda)$  by

$$\sigma(z; \lambda) = z \prod_{\substack{\omega \in \Lambda \\ \omega \neq 0}} \left( 1 - \frac{z}{\omega} \right) e^{\frac{z}{\omega} + \frac{1}{2} \left( \frac{z}{\omega} \right)^2}$$

Then

$$\frac{d}{dz} \log \sigma(z; \lambda) = \wp(z; \lambda) \quad \text{and} \quad \frac{d^2}{dz^2} \log(\sigma(z; \lambda)) = -\wp'(z; \lambda).$$

$$\wp(z) - \wp(a) = \frac{-\sigma(z+a)\sigma(z-a)}{\sigma(z)^2 \sigma(a)^2} \quad \text{and} \quad \wp'(z) = -\frac{\sigma'(2z)}{\sigma(z)^4}.$$