

Question 5

(a) Let  $(X, d_x)$  and  $(Y, d_y)$  be metric spaces.

Let  $\mathcal{T}_x$  be the metric space topology on  $X$  and  $\mathcal{T}_y$  the metric space topology on  $Y$ .

The metric spaces  $(X, d_x)$  and  $(Y, d_y)$  are topologically equivalent if  $\mathcal{T}_x = \mathcal{T}_y$ .

(b) The standard metric on  $\mathbb{R}^2$  is

$d: \mathbb{R}^2 \times \mathbb{R}^2 \rightarrow \mathbb{R}_{\geq 0}$  given by

$$d((x_1, x_2), (y_1, y_2)) = \sqrt{(y_1 - x_1)^2 + (y_2 - x_2)^2}$$

(c) Let  $d_2((x_1, x_2), (y_1, y_2)) = |y_1 - x_1| + |y_2 - x_2|$ .

Let  $\mathcal{T}_1$  be the metric space topology on  $(\mathbb{R}^2, d)$

Let  $\mathcal{T}_2$  be the metric space topology on  $(\mathbb{R}^2, d_2)$ .

To show:  $\mathcal{T}_1 = \mathcal{T}_2$ .

Let  $B_\varepsilon^d(x) = \{y \in \mathbb{R}^2 \mid d(x, y) < \varepsilon\}$  and

$B_\varepsilon^{d_2}(x) = \{y \in \mathbb{R}^2 \mid d_2(x, y) < \varepsilon\}$

To show: (ca) If  $\varepsilon \in \mathbb{R}_{>0}$  then there exists  $\delta \in \mathbb{R}_{>0}$  such that  $B_\delta^{d_2}(x) \subseteq B_\varepsilon^d(x)$ . ②

(cb) If  $\delta \in \mathbb{R}_{>0}$  then there exists  $\varepsilon \in \mathbb{R}_{>0}$  such that  $B_\varepsilon^d(x) \subseteq B_\delta^{d_2}(x)$ .

(ca) Assume  $\varepsilon \in \mathbb{R}_{>0}$ .

Let  $\delta = \varepsilon$

To show:  $B_\delta^{d_2}(x) \subseteq B_\varepsilon^d(x)$ .

To show: If  $y \in B_\delta^{d_2}(x)$  then  $y \in B_\varepsilon^d(x)$ .

Assume  $y = (y_1, y_2) \in B_\delta^{d_2}(x)$ .

$$\delta \quad \cancel{(y_1 - x_1)^2 + (y_2 - x_2)^2} < \delta^2 \quad |y_1 - x_1| + |y_2 - x_2| < \delta.$$

To show:  $(y_1 - x_1)^2 + (y_2 - x_2)^2 < \varepsilon^2$ .

$$(y_1 - x_1)^2 + (y_2 - x_2)^2 \leq (|y_1 - x_1| + |y_2 - x_2|)^2 < \delta^2 = \varepsilon^2$$

(cb) Assume  $\delta \in \mathbb{R}_{>0}$ .

Let  $\varepsilon =$

To show:  $B_\varepsilon^d(x) \subseteq B_\delta^{d_2}(x)$

To show: If  $y \in B_\varepsilon^d(x)$  then  $y \in B_\delta^{d_2}(x)$ .

Assume  $y \in B_\varepsilon^d(x)$ .

Then, with  $y = (y_1, y_2)$  and  $x = (x_1, x_2)$ ,

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$$(y_1 - x_1)^2 + (y_2 - x_2)^2 < \delta^2.$$

To show:  $y \in B_\delta^{d_2}(x)$ .



To show:  $|y_1 - x_1| + |y_2 - x_2| < \delta$ .

$$|y_1 - x_1| + |y_2 - x_2| = d((x_1, x_2), (y_1, x_2)) + d((y_1, x_2), (y_1, y_2))$$

By the parallelogram law,

$$\|(y_1, y_2) - (x_1, x_2)\|_2 + \|(y_1, y_2) + (x_1, x_2)\|_2$$

$$\|(x_1 - x_2, y_1 - y_2)\|_2 + \|(x_1 + x_2, y_1 + y_2)\|_2$$

$$= 2\|(x_1, y_1)\|_2 + 2\|(x_2, y_2)\|_2$$

$$\sqrt{(y_1 - x_1)^2 + (y_2 - x_2)^2} \leq 2 \cdot 2^{\frac{1}{2}} (|y_1 - x_1| + |y_2 - x_2|) < 4\delta = \delta.$$

$$\text{So } \mathcal{I}_1 = \mathcal{I}_2$$

So  $(\mathbb{R}^2, d)$  and  $(\mathbb{R}^2, d_2)$  are topologically equivalent.

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Question 6

①

(a) Theorem Let  $(H, \langle \cdot, \cdot \rangle)$  be a Hilbert space with a countable dense set.

~~then~~ Let  $T: H \rightarrow H$  be a bounded self adjoint compact operator. Then there exists an orthonormal basis of  $H$  consisting of eigenvectors of  $T$ .

(b) If  $H$  is finite dimensional then  $H \cong \mathbb{C}^n$  with

$$\langle (x_1, x_2, \dots, x_n), (y_1, y_2, \dots, y_n) \rangle = x_1 \bar{y}_1 + \dots + x_n \bar{y}_n.$$

Say  $H \cong \mathbb{C}^3$ . Then  $T: H \rightarrow H$  can be represented as a  $3 \times 3$  matrix  $A$ .

All  $3 \times 3$  matrices correspond to bounded linear transformations  $\mathbb{C}^3 \rightarrow \mathbb{C}^3$ , and

all  $3 \times 3$  matrices correspond to compact linear operators  $\mathbb{C}^3 \rightarrow \mathbb{C}^3$ .

If  $T: \mathbb{C}^3 \rightarrow \mathbb{C}^3$  is self adjoint, then

$$A = \bar{A}^t \quad \left( \begin{array}{l} \text{with respect to the standard} \\ \text{basis} \end{array} \right)$$

20  $A = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 4 & 5 \\ 3 & 5 & 6 \end{pmatrix}$  represents a bounded compact linear operator. (3)

There exists  $\lambda_1, \lambda_2, \lambda_3 \in \mathbb{R}$  such that and  $g \in GL_3(\mathbb{C})$  such that

$$g^{-1} A g = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}$$

$$b_1 = A \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}$$

$$\frac{1}{\sqrt{1^2 + 2^2 + 3^2}} \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \frac{b_1}{\|b_1\|}$$

$$b_2 = \frac{1}{\sqrt{14}} A \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \begin{pmatrix} 14 \\ 25 \\ 31 \end{pmatrix}$$

$$\frac{1}{\sqrt{(14)^2 + (25)^2 + (31)^2}} \frac{1}{\sqrt{14}} \begin{pmatrix} 14 \\ 25 \\ 31 \end{pmatrix} = \frac{b_2}{\|b_2\|}$$

Should already be an approximation of an eigenvector.

Question 7 Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces

(a) Let  $f_1: X \rightarrow Y, f_2: X \rightarrow Y, \dots$  be functions.

Assume  $f: X \rightarrow Y$  is a function and  $(f_1, f_2, \dots)$  converges uniformly to  $f$ .

To show:  $(f_1, f_2, \dots)$  converges pointwise to  $f$ .

To show: If  $x \in X$  then  $\lim_{n \rightarrow \infty} f_n(x) = f(x)$ .

Assume  $x \in X$ .

To show:  $\lim_{n \rightarrow \infty} f_n(x) = f(x)$ .

To show: If  $\varepsilon \in \mathbb{R}_{>0}$  then there exists  $N \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{\geq N}$  then  $d_Y(f_n(x), f(x)) < \varepsilon$ .

Assume  $\varepsilon \in \mathbb{R}_{>0}$ .

Since  $(f_1, f_2, \dots)$  converges uniformly to  $f$  then

$$\lim_{n \rightarrow \infty} d_\infty(f_n, f) = 0, \text{ where}$$

$$d_\infty(f_n, f) = \sup \{ d_Y(f_n(p), f(p)) \mid p \in X \}.$$

So there exists  $N \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{\geq N}$  then  $d_\infty(f_n, f) < \varepsilon$ .

To show:  $d_y(f_n(x), f(x)) < \varepsilon$ .

$$d_y(f_n(x), f(x)) \leq \sup \{ d_y(f_n(p), f(p)) \mid p \in X \} \\ = d_\infty(f_n, f) < \varepsilon.$$

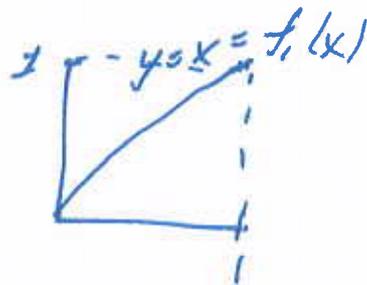
So  $d_y(f_n(x), f(x)) < \varepsilon$ .

So  $(f_1, f_2, \dots)$  converges pointwise to  $f$ .

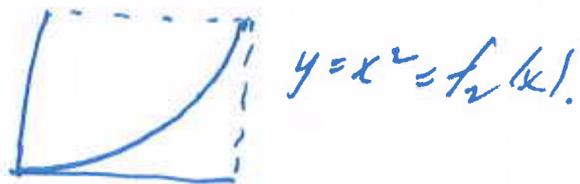
(b) Let  $f_n: \mathbb{R}_{[0,1]} \rightarrow \mathbb{R}_{[0,1]}$  and  $f: \mathbb{R}_{[0,1]} \rightarrow \mathbb{R}_{[0,1]}$   
 $x \mapsto x^n$

given by  $f(x) = \begin{cases} 0, & \text{if } 0 \leq x < 1, \\ 1, & \text{if } x = 1 \end{cases}$

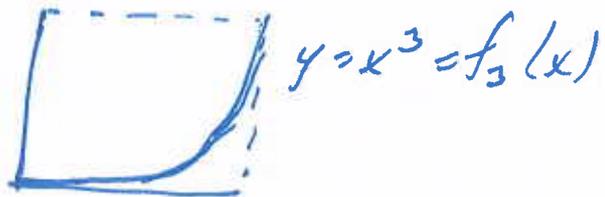
$$y = f_1(x) = x$$



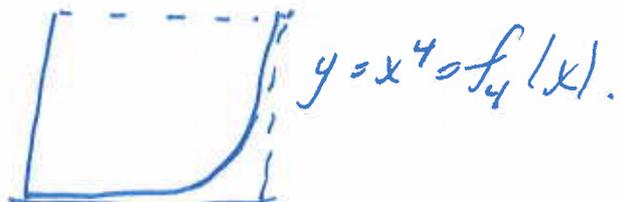
$$y = f_2(x) = x^2$$



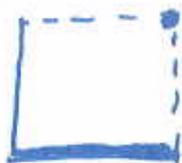
$$y = f_3(x) = x^3$$



$$y = f_4(x) = x^4$$



$$y = f(x)$$



$$y = f(|x|)$$

Q7

③

To show: (ba) If  $x \in \mathbb{R}_{(0,1]}$  then  $\lim_{n \rightarrow \infty} f_n(x) = f(x)$ .

(bb)  $(f_1, f_2, \dots)$  does not converge uniformly to  $f$ .

(ba) Assume  $x \in \mathbb{R}_{(0,1]}$

Case 1:  $x = 1$ . Then  $\lim_{n \rightarrow \infty} f_n(x) = \lim_{n \rightarrow \infty} f_n(1)$

$$= \lim_{n \rightarrow \infty} 1^n = \lim_{n \rightarrow \infty} 1 = 1 = f(1) = f(x).$$

Case 2:  $x < 1$ . To show:  $\lim_{n \rightarrow \infty} x^n = 0$ .

To show: If  $\varepsilon \in \mathbb{R}_{>0}$  then there exists  $N \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{\geq N}$  then  $x^n < \varepsilon$ .

Assume  $\varepsilon \in \mathbb{R}_{>0}$

Let  $k \in \mathbb{Z}_{>0}$  such that  $x < 1 - \frac{1}{10^k} = \frac{10^k - 1}{10^k}$ .

Let  $M \in \mathbb{Z}_{>0}$  such that  $\frac{1}{10^M} < \varepsilon$ .

We want, if  $n \in \mathbb{Z}_{\geq n}$ .

$$x^n < x^{kl} < \left(\frac{10^k - 1}{10^k}\right)^l < \frac{10^{kl} - 2 \cdot 10^k}{10^{kl}} = 1 - \frac{2}{10^{k(l-1)}} < \frac{1}{10^M} < \varepsilon$$

and this happens when

$$10^M \frac{l 10^M}{10^{k(l-k)}} < 1, \text{ i.e. } 10^M < \frac{1}{\frac{10^{kl} - 10^k l}{10^{kl}}}$$

$$\text{so } 10^M < \frac{10^{kl}}{10^{kl} - 10^k l} = \frac{10^{kl} - 10^k l + 10^k l}{10^{kl} - 10^k l} = 1 + \frac{10^k l}{10^{kl} - 10^k l}$$

(bb) To show:  $\lim_{n \rightarrow \infty} d_{\infty}(f_n, f) \neq 0$ .

To show: There exists  $\delta \in \mathbb{Z}_{>0}$  such that if  $n \in \mathbb{Z}_{>0}$  then  $d_{\infty}(f_n, f) > \frac{1}{2}$ .

To show: If  $n \in \mathbb{Z}_{>0}$  then  $d_{\infty}(f_n, f) = 1$ .

Assume  $n \in \mathbb{Z}_{>0}$

If  $\epsilon \in \mathbb{R}_{>0}$

To show: ~~There~~ there exists  $x \in \mathbb{R}_{[0,1]}$  such that  $d_y(x^n, D) > 1 - \epsilon$

Assume  $\epsilon \in \mathbb{R}_{>0}$ .

Let  $k \in \mathbb{Z}_{>0}$  such that  $\frac{1}{10^k} < \epsilon$ .

To show: there exists  $x \in \mathbb{R}_{[0,1]}$  such that  $x^n > 1 - \frac{1}{10^k}$

Let  $r \in \mathbb{Z}_{>0}$  such that  $10^r > n 10^k$  and let  $x = 1 - \frac{1}{10^r}$   
then  $x^n \geq (1 - \frac{1}{10^r})^n > 1 - n \frac{1}{10^r} > 1 - \frac{1}{10^k}$

since  $\frac{1}{10^r} < \frac{1}{n 10^k}$ . //

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①

Question 8 Let  $(a_1, a_2, \dots)$  be a sequence in  $\mathbb{C}$  with  $\sup\{|a_1|, |a_2|, \dots\} < \infty$ . Let

$T: \ell^2 \rightarrow \ell^2$  given by  $T(b_1, b_2, \dots) = (0, a_1 b_1, a_2 b_2, \dots)$   
Let  $C = \sup\{|a_1|, |a_2|, \dots\}$ .

(a) To show: ~~now~~  $\|T\| = C$ . (this will give that  $T$  is a bounded operator)

To show: (aa)  $\|T\| \leq C$

(ab)  $\|T\| \geq C$ .

(ab) Let  $e_i = (0, 0, \dots, 0, 1, 0, \dots)$  with 1 in the  $i$ th spot.

Then

$$\|Te_i\|_2 = \|a_i e_i\|_2 = |a_i| \cdot \|e_i\|_2 = |a_i| \cdot \|e_i\|_2.$$

$\therefore \|T\| \geq |a_i|$  for  $i \in \mathbb{Z}_{>0}$

$\therefore \|T\| \geq \sup\{|a_1|, |a_2|, \dots\} = C$ .

(aa) Let  $b = (b_1, b_2, \dots) \in \ell^2$  so that  $\|b\|_2 < \infty$ .

Then

$$\begin{aligned} \|Tb\|_2 &= \|(0, a_1 b_1, a_2 b_2, \dots)\|_2 \\ &= \left( \sum_{i=1}^{\infty} |a_i b_i|^2 \right)^{\frac{1}{2}} = \left( \sum_{i=1}^{\infty} |a_i|^2 |b_i|^2 \right)^{\frac{1}{2}} \\ &\leq \left( \sum_{i=1}^{\infty} C^2 |b_i|^2 \right)^{\frac{1}{2}} = \left( C^2 \cdot \sum_{i=1}^{\infty} |b_i|^2 \right)^{\frac{1}{2}} = C \|b\|_2. \end{aligned}$$

$$\text{so } \|T\| \leq C$$

(b) The adjoint operator will satisfy

$$\begin{aligned} \langle T^*(a, a, \dots), e_j \rangle &= \langle (a, a, \dots), T e_j \rangle \\ &= \langle (a, a, \dots), a_j e_{j+1} \rangle = \bar{a}_j a_{j+1}. \end{aligned}$$

$$\text{so } T^*(a, a, \dots) = (\bar{a}_1 a_2, \bar{a}_2 a_3, \dots).$$

$$\begin{aligned} (c) \quad T^* T (1, 0, 0, \dots) &= T^*(0, a_1, 0, 0, \dots) \\ &= (\bar{a}_1 a_1, 0, 0, \dots) \end{aligned}$$

and

$$\begin{aligned} T T^*(1, 0, 0, \dots) &= T(0, 0, 0, \dots) \\ &= (0, 0, 0, \dots) \end{aligned}$$

$$\text{so } T^* T \neq T T^* \text{ when } a_1 \neq 0.$$

Similarly, if  $j \in \mathbb{Z}_{>0}$  such that  $a_j \neq 0$  then

$$T^* T e_j = \bar{a}_j a_j e_j \text{ and}$$

$$T T^* e_j = T(\bar{a}_j e_{j-1}) = \bar{a}_j a_{j-1} e_j = \bar{a}_j \cdot 0 e_j = 0$$

so that  $T^* T \neq T T^*$ .

(d) If  $T^*(c_1, c_2, \dots) = \lambda(c_1, c_2, \dots)$  then

$(\bar{a}_1 c_1, \bar{a}_2 c_2, \bar{a}_3 c_3, \dots) = (\lambda c_1, \lambda c_2, \dots)$  so that

$$\bar{a}_1 c_1 = \lambda c_1$$

$$\bar{a}_2 c_2 = \lambda c_2$$

$\vdots$

so that

$$c_2 = (\bar{a}_1)^{-1} \lambda c_1$$

$$c_3 = (\bar{a}_2)^{-1} \lambda c_2 = (\bar{a}_2 \bar{a}_1)^{-1} \lambda^2 c_1$$

$\vdots$

when  $\bar{a}_1 \neq 0, \bar{a}_2 \neq 0, \dots$

Normalizing  $c_1 = 1$ , then,

(A) if  $\bar{a}_i \neq 0$  then  $(1, (\bar{a}_1)^{-1} \lambda, (\bar{a}_2 \bar{a}_1)^{-1} \lambda^2, \dots) = v$   
is the unique (up to constant) eigenvector of eigenvalue  $\lambda$ .

(B) if  $\bar{a}_k = 0$  and  $\lambda \neq 0$  then  $c_{k-1} = 0, c_{k-2} = 0, \dots, c_1 = 0$

and  $(0, 0, \dots, 0, 1, (\bar{a}_k)^{-1} \lambda, (\bar{a}_k \bar{a}_{k-1})^{-1} \lambda^2, \dots) = v$

is the unique (up to constant) eigenvector of eigenvalue  $\lambda$ .