

## 25 Problem list: Compactness

### 25.1 Relating types of compactness

1. (cover compact implies sequentially compact) Let  $(X, d)$  be a metric space and let  $A \subseteq X$ . Show that if  $A$  is cover compact then  $A$  is sequentially compact.
2. (sequentially compact implies cover compact) Let  $(X, d)$  be a metric space and let  $A \subseteq X$ . Show that if  $A$  is sequentially compact then  $A$  is cover compact.
3. (sequentially compact implies Cauchy compact) Let  $(X, d)$  be a metric space and let  $A \subseteq X$ . Show that if  $A$  is sequentially compact then  $A$  is Cauchy compact.
4. (cover compact implies ball compact) Let  $(X, d)$  be a metric space and let  $A \subseteq X$ . Show that if  $A$  is cover compact then  $A$  is ball compact.
5. (ball compact implies bounded) Let  $(X, d)$  be a metric space and let  $A \subseteq X$ . Show that if  $A$  is ball compact then  $A$  is bounded.
6. (sequentially compact implies Cauchy compact) Let  $(X, d)$  be a metric space and let  $A \subseteq X$ . Show that if  $A$  is Cauchy compact then  $A$  is closed.
7. (ball compact does not imply closed) Let  $A = (0, 1) \subseteq \mathbb{R}$  with the standard metric on  $\mathbb{R}$ . Show that  $A$  is ball compact and not closed.
8. (ball compact does not imply cover compact) Let  $A = (0, 1) \subseteq \mathbb{R}$  with the standard metric on  $\mathbb{R}$ . Show that  $A$  is ball compact and not cover compact.
9. (ball compact does not imply Cauchy compact) Let  $A = (0, 1) \subseteq \mathbb{R}$  with the standard metric on  $\mathbb{R}$ . Show that  $A$  is ball compact and not Cauchy compact.
10. (bounded does not imply ball compact) Let  $X = \mathbb{R}$  with metric given by  $d(x, y) = \min\{|x - y|, 1\}$  and let  $A = X$ . Show that  $A$  is bounded but not ball compact.
11. (closed does not imply Cauchy compact) Let  $X = \mathbb{R}_{(0,1)} = \{x \in \mathbb{R} \mid 0 < x < 1\}$  with metric given by  $d(x, y) = |x - y|$  and let  $A = X$ . Show that  $A$  is closed in  $X$  but not Cauchy compact.
12. (Cauchy compact does not imply bounded) Let  $X = \mathbb{R}$  with metric given by  $d(x, y) = |x - y|$  and let  $A = X$ . Show that  $A$  is Cauchy compact but not bounded.
13. (Cauchy compact does not imply cover compact) Let  $X = \mathbb{R}$  with metric given by  $d(x, y) = |x - y|$  and let  $A = X$ . Show that  $A$  is Cauchy compact but not cover compact.

14. (Cauchy compact does not imply ball compact) Let  $X = \mathbb{R}$  with metric given by  $d(x, y) = |x - y|$  and let  $A = X$ . Show that  $A$  is Cauchy compact but not ball compact.
15. (ball compact+Cauchy compact implies cover compact) Let  $(X, d)$  be a metric space and let  $A \subseteq X$ . Show that if  $A$  is ball compact and Cauchy compact if and only if  $A$  is cover compact.
16. (In  $\mathbb{R}^n$  closed and bounded implies cover compact) Let  $X = \mathbb{R}^n$  with the standard metric and let  $A \subseteq X$ . Show that  $A$  closed and bounded if and only if  $A$  is cover compact.
17. (In  $\mathbb{R}^n$  closed implies Cauchy compact) Let  $X = \mathbb{R}^n$  with the standard metric and let  $A \subseteq X$ . Show that if  $A$  is closed in  $X$  then  $A$  is Cauchy compact.
18. (closed subsets of Cauchy compact spaces are Cauchy compact) Let  $(X, d)$  be a Cauchy compact metric space and let  $A \subseteq X$ . Show that if  $A$  is closed in  $X$  then  $A$  is Cauchy compact.
19. (bounded subsets of ball compact spaces are ball compact) Let  $(X, d)$  be a ball compact metric space and let  $A \subseteq X$ . Show that if  $A$  is bounded then  $A$  is ball compact.
20. (closed subsets of cover compact spaces are cover compact) Let  $(X, d)$  be a cover compact metric space and let  $A \subseteq X$ . Show that if  $A$  is closed in  $X$  then  $A$  is cover compact.
21. (compact subsets of Hausdorff topological spaces are closed) Let  $(X, \mathcal{T})$  be a Hausdorff topological space and let  $K$  be a compact subset of  $X$ . Let  $x \in K^c$ . Since  $X$  is Hausdorff, for each  $y \in K$  there exist  $U_{xy} \in \mathcal{T}$  and  $V_{xy} \in \mathcal{T}$  such that

$$U_{xy} \cap V_{xy} = \emptyset \quad \text{and then} \quad \{V_{xy} \mid y \in K\} \quad \text{is an open cover of } K.$$

Since  $K$  is compact there exists a finite subcover  $\{V_{xy_1}, V_{xy_2}, \dots, V_{xy_\ell}\}$  of  $K$ . If  $U = U_{xy_1} \cap \dots \cap U_{xy_\ell}$  then

$$x \in U \quad \text{and} \quad U \cap K \subseteq (U_{xy_1} \cap \dots \cap U_{xy_\ell}) \cap (V_{xy_1} \cup \dots \cup V_{xy_\ell}) = \emptyset.$$

So  $x \in U$  and  $U \subseteq K^c$ , and thus  $x$  is an interior point of  $K^c$ . So  $K^c$  is open and  $K$  is closed.

22. (compact subsets of topological spaces are not necessarily closed) Let  $X$  be a set with more than one point with topology  $\mathcal{T} = \{\emptyset, X\}$ . Show that every subset  $A \subseteq X$  is compact but the only closed subsets of  $X$  are  $\emptyset$  and  $X$ . Note that  $X$  is not Hausdorff.
23. (boundedness and completeness are not topological properties) Show that  $(0, 1)$  is homeomorphic to  $\mathbb{R}$ .



Show that

$$\begin{array}{ll} (0, 1) \text{ is bounded,} & (0, 1) \text{ is not complete,} \\ \mathbb{R} \text{ is not bounded,} & \mathbb{R} \text{ is complete.} \end{array}$$

Conclude that boundedness and completeness are not topological properties.

## 25.2 Separability and compactness for metric spaces

- (cover compact metric spaces have a countable base) [BR, Ch. 2 Ex. 25] Assume  $X$  is cover compact. If  $n \in \mathbb{Z}_{>0}$  then  $\mathcal{S}_{\frac{1}{n}} = \{B_{\frac{1}{n}}(x) \mid x \in X\}$  contains a finite subcover  $\mathcal{B}_{\frac{1}{n}}$  of  $X$ . Show that the union of the  $\mathcal{B}_{\frac{1}{n}}$  is a countable base of  $X$ .
- (sequentially compact metric spaces have a countable dense set) [BR, Ch. 2 Ex. 24] Let  $\delta \in \mathbb{R}_{>0}$  and  $x_1 \in X$ . For  $i \in \mathbb{Z}_{>0}$  let

$$x_i \in X \quad \text{such that} \quad d(x_j, x_i) \geq \delta \text{ for } j = 1, 2, \dots, i - 1.$$

Use the fact that  $X$  is sequentially compact to show that this process must stop after a finite number of steps and conclude that  $X$  can be covered by a finite number of open balls of radius  $\delta$ . Do this for  $\delta \in \{1, \frac{1}{2}, \frac{1}{3}, \dots\}$  to obtain a countable collection of open balls whose centers form a countable dense subset of  $X$ .

- (metric spaces with a countable base have countable open subcovers) (This exercise is one part of [BR, Ch. 2 Ex. 26].) Let  $(X, d)$  be a metric space with a countable base. Show that every open cover of  $X$  has a countable subcover.

## 25.3 The one point compactification

- (The one point compactification) A *locally compact space* is a topological space  $(X, \mathcal{T})$  such that  $X$  is Hausdorff and

if  $x \in X$  then there exists a neighborhood  $N$  of  $x$  such that  $N$  is cover compact.

Let  $(X, \mathcal{T})$  be a locally compact space and let  $\infty$  be a symbol. The *one-point compactification* of  $X$  is

$$X^+ = X \cup \{\infty\}$$

with topology

$$\mathcal{U} = \mathcal{T} \cup \{X^+ - K \mid K \text{ is a cover compact subset of } X\}.$$

- Show that  $\mathcal{U}$  is a topology on  $X^+$  and that  $X^+$  is cover compact.
- Show that  $\mathbb{R}_{\geq 0}$  is locally compact and  $(\mathbb{R}_{\geq 0})^+$  is homeomorphic to  $[0, 1] = \{x \in \mathbb{R} \mid 0 \leq x \leq 1\}$ .
- Show that  $\mathbb{R}$  is locally compact and that  $\mathbb{R}^+$  is homeomorphic to  $S^1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$ .

## 25.4 Cauchy sequences and convergent sequences

- (convergent sequences are Cauchy) Let  $(X, d)$  be a metric space and let  $(x_1, x_2, \dots)$  be a sequence in  $X$ . Show that if there exists  $x \in X$  with  $\lim_{n \rightarrow \infty} x_n = x$  then  $(x_1, x_2, \dots)$  is a Cauchy sequence in  $X$ .

2. (convergent sequences are bounded) Let  $(X, d)$  be a metric space and let  $(x_1, x_2, \dots)$  be a sequence in  $X$ . Show that if  $(x_1, x_2, \dots)$  converges in  $X$  then the set  $\{x_1, x_2, \dots\}$  is bounded.
3. (Cauchy sequences provide Cauchy filters) Let  $(X, \mathcal{A})$  be a uniform space and let  $(x_1, x_2, \dots)$  be a sequence in  $X$ . Let  $\mathcal{F}$  be the filter consisting of all subsets of  $X$  which contain all but a finite number of points of  $\{x_1, x_2, \dots\}$ . Show that  $\mathcal{F}$  is a Cauchy filter if and only if  $(x_1, x_2, \dots)$  is a Cauchy sequence.
4. (Convergent filters are Cauchy) Let  $(X, \mathcal{A})$  be a uniform space and let  $\mathcal{F}$  be a filter on  $X$ . Show that if  $\mathcal{F}$  is convergent then  $\mathcal{F}$  is Cauchy.
5. (Convergent sequences are Cauchy) Let  $(X, \mathcal{A})$  be a uniform space and let  $(x_1, x_2, \dots)$  be a sequence in  $X$ . Show that if  $(x_1, x_2, \dots)$  is convergent then  $(x_1, x_2, \dots)$  is a Cauchy sequence.
6. (Cauchy sequences are not necessarily convergent) Let  $X = \mathbb{R}_{(0,1)} = \{x \in \mathbb{R} \mid 0 < x < 1\}$  with metric given by  $d(x, y) = |x - y|$ . Show that the sequence  $(\frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \dots)$  is a Cauchy sequence in  $X$  that does not converge in  $X$ .
7. (Cauchy filters do not necessarily have limit points) Let  $(X, \mathcal{A})$  be a uniform space and let  $(x_1, x_2, \dots)$  be a Cauchy sequence in  $X$  which does not have a limit point. Let  $\mathcal{F}$  be the filter on  $X$  generated by the sets  $\vec{x}_{\geq N} = \{x_m \mid m \in \mathbb{Z}_{\geq N}\}$  for  $N \in \mathbb{Z}_{>0}$ . Show that  $\mathcal{F}$  is a Cauchy filter on  $X$  which does not have a limit point.

### 25.5 Favourite examples of complete spaces

1. ( $\mathbb{R}$  is complete) Let  $X = \mathbb{R}$  with metric given by  $d(x, y) = |x - y|$ . Show that  $\mathbb{R}$  is a complete metric space.
2. ( $\mathbb{R}^n$  is complete) Let  $n \in \mathbb{Z}_{>0}$ . Let  $X = \mathbb{R}^n$  with metric given by  $d(x, y) = \|x - y\|$  where  $\|(x_1, \dots, x_n)\| = \sqrt{x_1^2 + \dots + x_n^2}$ . Show that  $\mathbb{R}^n$  is a complete metric space.
3. (The example  $\iota: \mathbb{Q} \rightarrow \mathbb{R}$ ) The *standard metric* on  $\mathbb{R}$  is

$$d: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}_{\geq 0} \quad \text{given by} \quad d(x, y) = |y - x|,$$

where the *standard absolute value*  $|\cdot|: \mathbb{R} \rightarrow \mathbb{R}_{\geq 0}$  is given by

$$|x| = \begin{cases} x, & \text{if } x \geq 0, \\ -x, & \text{if } x \leq 0. \end{cases}$$

Show that, with respect to the standard metric,  $\mathbb{R}$  is the completion of  $\mathbb{Q}$ .

4. (The example  $\iota: \mathbb{R}[t] \rightarrow \mathbb{R}[[t]]$ ) The  $t$ -adic metric on  $\mathbb{R}[[t]]$  is

$$d: \mathbb{R}[t] \times \mathbb{R}[[t]] \rightarrow \mathbb{R}_{\geq 0} \quad \text{given by} \quad d(x, y) = e^{-v(y-x)},$$

where  $e \in \mathbb{R}_{>1}$  and the  $t$ -adic valuation  $v: \mathbb{R}[[t]] \rightarrow \mathbb{Z}_{\geq 0}$  is given by

$$v(p) = \max\{n \in \mathbb{Z}_{\geq 0} \mid p \in t^n \mathbb{R}[[t]]\}.$$

Show that, with respect to the  $t$ -adic metric,  $\mathbb{R}[[t]]$  is the completion of  $\mathbb{R}[t]$ .

5. (The example  $\iota: \mathbb{R}(t) \rightarrow \mathbb{R}((t))$ ) The  $t$ -adic metric on  $\mathbb{R}((t))$  is

$$d: \mathbb{R}((t)) \times \mathbb{R}((t)) \rightarrow \mathbb{R}_{\geq 0} \quad \text{given by} \quad d(x, y) = e^{-v(y-x)},$$

where  $e \in \mathbb{R}_{>1}$  and the  $t$ -adic valuation  $v: \mathbb{R}((t)) \rightarrow \mathbb{Z}_{ge0}$  is given by

$$v(f) = \max\{n \in \mathbb{Z}_{\geq 0} \mid f \in t^n \mathbb{R}[[t]]\}.$$

Show that, with respect to the  $t$ -adic metric,  $\mathbb{R}((t))$  is the completion of  $\mathbb{R}(t)$ .

6. (The example  $\iota: \mathbb{Q} \rightarrow \mathbb{Q}_p$ ) Let  $p \in \mathbb{Z}_{>1}$  be prime. The  $p$ -adic metric on  $\mathbb{Q}_p$  is

$$d: \mathbb{Q}_p \times \mathbb{Q}_p \rightarrow \mathbb{R}_{\geq 0} \quad \text{given by} \quad d(x, y) = e^{-v_p(y-x)},$$

where  $e \in \mathbb{R}_{>1}$  and the  $p$ -adic valuation  $v_p: \mathbb{Q}_p \rightarrow \mathbb{Z}$  is given by

$$v_p(a) = \max\{n \in \mathbb{Z} \mid a \in p^n \mathbb{Z}_p\}.$$

Show that, with respect to the  $p$ -adic metric,  $\mathbb{Q}_p$  is the completion of  $\mathbb{Q}$ .

7. (The example  $\iota: \mathbb{Z} \rightarrow \mathbb{Z}_p$ ) Let  $p \in \mathbb{Z}_{>1}$  be prime. The  $p$ -adic metric on  $\mathbb{Z}_p$  is

$$d: \mathbb{Z}_p \times \mathbb{Z}_p \rightarrow \mathbb{R}_{\geq 0} \quad \text{given by} \quad d(x, y) = e^{-v_p(y-x)},$$

where  $e \in \mathbb{R}_{>1}$  and the  $p$ -adic valuation  $v_p: \mathbb{Z}_p \rightarrow \mathbb{Z}_{\geq 0}$  is given by

$$v_p(a) = \max\{n \in \mathbb{Z}_{\geq 0} \mid a \in p^n \mathbb{Z}_p\}.$$

Show that, with respect to the  $p$ -adic metric,  $\mathbb{Z}_p$  is the completion of  $\mathbb{Z}$ .

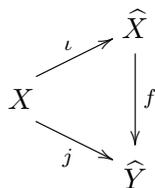
8. ( $\ell^2$  is not ball compact) Let  $e_1 = (1, 0, 0, \dots)$ ,  $e_2 = (0, 1, 0, 0, \dots)$ ,  $e_3 = (0, 0, 1, 0, 0, \dots)$ ,  $\dots$  in  $\ell^2$ . Show that

- (a) If  $A = \{e_1, e_2, \dots\}$  then  $A \subseteq B_{\sqrt{2}+0.01}(e_1)$  so that  $A$  is bounded.
- (b) If  $A = \{e_1, e_2, \dots\}$  and  $\epsilon \in \mathbb{R}_{>0}$  with  $\epsilon < \sqrt{2}$  then there do not exist a finite number of balls of radius  $\epsilon$  which cover  $A$ . Thus  $A$  is not ball compact.
- (c) Show that  $e_1, e_2, e_3, \dots$  is a sequence in  $\ell^2$  with no cluster point.

9. ( $\ell^2$  is Cauchy compact) Show that  $\ell^2$  is Cauchy compact.

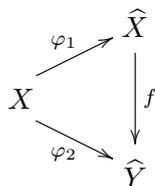
### 25.6 Existence and uniqueness of completions

- (isometries are injective) Show that if  $\varphi: X \rightarrow Y$  is an isometry then  $\varphi$  is injective.
- (isometries are not necessarily surjective) Show that if  $\varphi: \mathbb{Q} \rightarrow \mathbb{R}$  given by  $\varphi(x) = x$  is an isometry that is not surjective.
- (uniqueness of the completion of a uniform space) Let  $(X, \mathcal{X})$  be a uniform space. Show that if  $(\widehat{X}, \widehat{\mathcal{X}}, \iota: X \rightarrow \widehat{X})$  and  $(\widehat{Y}, \widehat{\mathcal{Y}}, j: X \rightarrow \widehat{Y})$  are completions of  $X$  then there exists a bijective uniformly continuous function  $f: \widehat{X} \rightarrow \widehat{Y}$  such that the inverse function  $f^{-1}: \widehat{Y} \rightarrow \widehat{X}$  is uniformly continuous and  $j = f \circ \iota$ .



- (uniqueness of the completion of a metric space) Let  $(X, d)$  be a metric space. Show that if  $(\widehat{X}_1, \widehat{d}_1)$  with  $\varphi_1: X \rightarrow \widehat{X}_1$  and  $(\widehat{X}_2, \widehat{d}_2)$  with  $\varphi_2: X \rightarrow \widehat{X}_2$  are completions of  $(X, d)$  then there exists

$f: \widehat{X}_1 \rightarrow \widehat{X}_2$  such that  $f$  is an isometry,  $f$  is a bijection, and  $f \circ \varphi_1 = \varphi_2$ .



- (existence of the completion of a metric space) Let  $(X, d)$  be a metric space. Let  $(\widehat{X}, \widehat{d}, \iota)$  be the metric space

$$\widehat{X} = \{\text{Cauchy sequences } \vec{x} \text{ in } X\} \quad \text{with the function} \quad \iota: \begin{array}{ccc} X & \longrightarrow & \widehat{X} \\ x & \longmapsto & (x, x, x, \dots) \end{array}$$

where  $\widehat{X}$  has the metric

$$d: \widehat{X} \times \widehat{X} \rightarrow \mathbb{R}_{\geq 0} \quad \text{defined by} \quad d(\vec{x}, \vec{y}) = \lim_{n \rightarrow \infty} d(x_n, y_n),$$

and Cauchy sequences  $\vec{x} = (x_1, x_2, \dots)$  and  $\vec{y} = (y_1, y_2, \dots)$  are equal in  $\widehat{X}$ ,

$$\vec{x} = \vec{y} \quad \text{if} \quad \lim_{n \rightarrow \infty} d(x_n, y_n) = 0.$$

Show that  $(\widehat{X}, \widehat{d})$  with an isometry  $\iota: X \rightarrow \widehat{X}$  such that

$$(\widehat{X}, \widehat{d}) \text{ is a complete metric space} \quad \text{and} \quad \overline{\varphi(X)} = \widehat{X},$$

where  $\overline{\varphi(X)}$  is the closure of the image of  $\varphi$ .

6. (another construction of the completion of a metric space) Let  $(X, d)$  be a metric space. The space of *bounded functions on  $X$*  is

$$B(X) = \{f: X \rightarrow \mathbb{R} \mid f(X) \text{ is bounded}\}$$

with metric  $d_\infty: B(X) \times B(X) \rightarrow \mathbb{R}_{\geq 0}$  given by

$$d_\infty(f, g) = \sup\{|f(x) - g(x)| \mid x \in X\}.$$

Fix  $a \in X$ . Let  $(\widehat{X}, \widehat{d}, \iota)$  be the metric space

$$\widehat{X} = \overline{\iota(X)} \quad \text{where} \quad \begin{array}{ccc} \iota: & X & \rightarrow B(X) \\ & x & \mapsto f_x \end{array}$$

with

$$f_x: X \rightarrow \mathbb{R} \quad \text{given by} \quad f_x(y) = d(y, x) - d(y, a).$$

Show that  $\iota$  is well defined and  $(\widehat{X}, d_\infty, \iota)$  is a completion of  $X$ .

7. (existence of the completion of a uniform space) Let  $(X, \mathcal{X})$  be a uniform space. A *minimal Cauchy filter on  $X$*  is a Cauchy filter on  $X$  which is minimal with respect to inclusion of filters. The *completion* of  $X$  is the uniform space

$$\widehat{X} = \{\text{minimal Cauchy filters } \hat{x} \text{ on } X\} \quad \text{with the function} \quad \begin{array}{ccc} \iota: & X & \longrightarrow \widehat{X} \\ & x & \longmapsto \mathcal{N}(x) \end{array}$$

where  $\mathcal{N}(x)$  is the neighborhood filter of  $x$ , and  $\widehat{X}$  has the uniformity  $\widehat{\mathcal{X}}$  generated by the sets

$$\widehat{V} = \{(\hat{x}, \hat{y}) \mid \text{there exists } N \in \hat{x} \cap \hat{y} \text{ such that } N \times N \subseteq V\},$$

for  $V \in \mathcal{X}$  such that if  $(x, y) \in V$  then  $(y, x) \in V$ .

Show that  $(\widehat{X}, \widehat{\mathcal{X}})$  is a complete Hausdorff uniform space and  $\iota: X \rightarrow \widehat{X}$  is a uniformly continuous function such that

if  $Y$  is a complete Hausdorff uniform space and  $f: X \rightarrow Y$  is a uniformly continuous map then there exists a unique uniformly continuous function  $g: \widehat{X} \rightarrow Y$  such that  $f = g \circ \iota$ .

## 25.7 Completions and inverse limits

1. (Completions and inverse limits)

A *topological abelian group* is a topological space  $(G, \mathcal{T})$  with a function

$$\begin{array}{ccc} G \times G & \longrightarrow & G \\ (g_1, g_2) & \longmapsto & g_1 + g_2 \end{array} \quad \text{such that}$$

- (a) If  $g_1, g_2, g_3 \in G$  then  $(g_1 + g_2) + g_3 = g_1 + (g_2 + g_3)$ ,
- (b) There exists  $0 \in G$  such that if  $g \in G$  then  $g + 0 = g$  and  $0 + g = g$ ,
- (c) If  $g \in G$  then there exists  $-g \in G$  such that  $g + (-g) = 0$  and  $(-g) + g = 0$ ,
- (d) If  $g_1, g_2 \in G$  then  $g_1 + g_2 = g_2 + g_1$ ,

(e) The function

$$\begin{array}{ccc} G \times G & \longrightarrow & G \\ (g_1, g_2) & \longmapsto & g_1 + g_2 \end{array} \quad \text{is continuous, and}$$

(f) The function

$$\begin{array}{ccc} G & \longrightarrow & G \\ g & \longmapsto & -g \end{array} \quad \text{is continuous.}$$

Assume that  $\mathcal{N}(0)$ , the neighborhood filter of 0 in  $G$  is countably generated (i.e. there exist  $U_1, U_2, \dots \in \mathcal{N}(0)$  such that if  $P \in \mathcal{N}(0)$  then there exists  $j \in \mathbb{Z}_{>0}$  such that  $P \supseteq U_j$ ).

A *Cauchy sequence* in  $G$  is a sequence  $x_1, x_2, \dots \in G$  such that

$$\begin{array}{l} \text{if } P \in \mathcal{N}(0) \text{ then there exists } N \in \mathbb{Z}_{>0} \text{ such that} \\ \text{if } r, s \in \mathbb{Z}_{\geq N} \text{ then } x_r - x_s \in P. \end{array}$$

Two Cauchy sequences  $(x_1, x_2, \dots)$  and  $(y_1, y_2, \dots)$  are *equivalent*,

$$(x_1, x_2, \dots) \sim (y_1, y_2, \dots), \quad \text{if} \quad \lim_{n \rightarrow \infty} (x_n - y_n) = 0.$$

The *completion* of  $G$  is the set of equivalence classes of Cauchy sequences in  $G$ ,

$$\widehat{G} = \{\text{Cauchy sequences } (x_1, x_2, \dots) \text{ in } G\} / \sim$$

with the function

$$\begin{array}{ccc} \varphi: G & \longrightarrow & \widehat{G} \\ x & \longmapsto & (x, x, \dots). \end{array}$$

Now assume that  $G_1 \supseteq G_2 \supseteq \dots$  are subgroups which generate  $\mathcal{N}(0)$  (i.e.  $G_1, G_2, \dots \in \mathcal{N}(0)$  and if  $P \in \mathcal{N}(0)$  then there exists  $j \in \mathbb{Z}_{>0}$  such that  $P \supseteq G_j$ ). A *coherent sequence* is a sequence  $(\bar{x}_1, \bar{x}_2, \dots)$  with

$$\bar{x}_n \in G/G_n \quad \text{and} \quad \pi_n(\bar{x}_{n+1}) = \bar{x}_n, \quad \text{where} \quad \begin{array}{ccc} \pi_n: G/G_{n+1} & \longrightarrow & G/G_n \\ \bar{g} & \longmapsto & \bar{g} + G_n. \end{array}$$

The *inverse limit*

$$\varprojlim G/G_n \quad \text{is the set of coherent sequences.}$$

Show that the function

$$\begin{array}{ccc} \Phi: \widehat{G} & \longrightarrow & \varprojlim G/G_n \\ (x_1, x_2, \dots) & \longmapsto & (x_1 + G_1, x_2 + G_2, \dots) \end{array} \quad \text{is an isomorphism.}$$

### 25.7.1 Products and function spaces

- (products of complete metric spaces are complete) Let  $(X, d_X)$  and  $(Y, d_Y)$  be complete metric space spaces. Show that  $X \times Y$  with metric given by

$$d((x_1, y_1), (x_2, y_2)) = d_X(x_1, x_2) + d_Y(y_1, y_2)$$

is a complete metric space.

2. (products of complete uniform spaces are complete) Let  $(X, \mathcal{X})$  and  $(X, \mathcal{Y})$  be complete uniform spaces. Show that  $X \times Y$  with the product uniformity is a complete uniform space. (See [Bou Ch II §3 no. 5 Proposition 10]).

3. (function spaces with complete targets are complete) Let  $(X, d)$  and  $(Y, \rho)$  be metric spaces and let

$$C_b(X, Y) = \{f: X \rightarrow Y \mid f \text{ is continuous and } f(X) \text{ is bounded}\}$$

with metric  $d_\infty: C_b(X, Y) \times C_b(X, Y) \rightarrow \mathbb{R}_{\geq 0}$  given by

$$d_\infty(f, g) = \sup\{\rho(f(x), g(x)) \mid x \in X\}.$$

Show that if  $(Y, \rho)$  is a complete metric space then  $C_b(X, Y)$  is a complete metric space.

4. (the metric space of bounded continuous real valued functions is complete) Let  $(X, d)$  and  $(Y, \rho)$  be metric spaces and

$$C_b(X) = \{f: X \rightarrow \mathbb{R} \mid f \text{ is continuous and } f(X) \text{ is bounded}\}$$

with metric  $d_\infty: C_b(X) \times C_b(X) \rightarrow \mathbb{R}_{\geq 0}$  given by

$$d_\infty(f, g) = \sup\{|f(x) - g(x)| \mid x \in X\}.$$

Show that  $C_b(X)$  is a complete metric space.

5. (If  $W$  is complete then  $B(V, W)$  is complete) Let  $V$  and  $W$  be normed vector spaces and let  $B(V, W)$  be the vector space of bounded linear operators from  $V$  to  $W$  with norm given by

$$\|T\| = \sup \left\{ \frac{\|Tv\|}{\|v\|} \mid v \in V \right\}, \quad \text{for } T \in B(V, W).$$

Show that if  $W$  is complete then  $B(V, W)$  is complete.

6. (If  $Y$  is complete then bounded continuous functions from  $X$  to  $Y$  is complete) Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces and let

$$\mathcal{BC}(X, Y) = \{f: X \rightarrow Y \mid f \text{ is continuous and } f(X) \text{ is bounded in } Y\},$$

with  $d_\infty: \mathcal{BC}(X, Y) \times \mathcal{BC}(X, Y) \rightarrow \mathbb{R}_{\geq 0}$  given by

$$d_\infty(f, g) = \sup\{d_Y(f(x), g(x)) \mid x \in X\}.$$

(a) Show that  $\mathcal{BC}(X, Y)$  is a metric space.

(b) Show that if  $Y$  is a complete metric space then  $\mathcal{BC}(X, Y)$  is a complete metric space.

7. (bounded real valued functions is a complete metric space) Let  $(X, d)$  be a metric space and let

$$B(X) = \{f: X \rightarrow \mathbb{R} \mid f(X) \text{ is bounded}\},$$

with metric  $d_\infty: B(X) \times B(X) \rightarrow \mathbb{R}_{\geq 0}$  given by

$$d_\infty(f, g) = \sup\{|f(x) - g(x)| \mid x \in X\}.$$

Show that  $B(X)$  is a complete metric space.

8. (duals of normed vector spaces are complete) Let  $V$  with  $\|\cdot\|: V \rightarrow \mathbb{R}_{\geq 0}$  be a normed vector space. Show that  $V^*$ , the dual of  $V$ , is complete.

**25.7.2 Banach fixed point theorem and Picard iteration**

1. (Banach fixed point theorem) Let  $(X, d)$  be a metric space.

A *contraction mapping* is a function  $f: X \rightarrow X$  such that there exists  $\alpha \in \mathbb{R}_{>0}$  such that  $\alpha < 1$  and

$$\text{if } x, y \in X \text{ then } d(f(x), f(y)) \leq \alpha d(x, y).$$

A *fixed point* of  $f: X \rightarrow X$  is an element  $x \in X$  such that  $f(x) = x$ .

Let  $(X, d)$  be a complete metric space and let  $f: X \rightarrow X$  be a contraction mapping. Let  $x \in X$  and let  $x_1, x_2, \dots$  be the sequence

$$x_1 = f(x), \quad x_2 = f(f(x)), \quad x_3 = f(f(f(x))), \quad \dots$$

Show that the sequence  $x_1, x_2, \dots$  converges and  $p = \lim_{n \rightarrow \infty} x_n$  is the unique fixed point of  $f$ .

2. (Picard iteration) Picard iteration is a method for solving equations of the the form  $f(x) = x$ . The process is to let

$$a_1 = \text{your choice}, \quad a_2 = f(a_1), \quad a_3 = f(a_2), \quad \dots$$

If the sequence  $(a_1, a_2, \dots)$  converges and  $a = \lim_{n \rightarrow \infty} a_n$  then  $f(a) = a$  (because  $f(a_n) = a_{n+1}$  is very close to  $a_n$  for large  $n$ ). To apply this technique to find a solution of  $x^3 - x - 1 = 0$  proceed as follows.

- Transform the equation  $x^3 - x - 1 = 0$  to the form  $x = f(x)$ , where  $f(x) = \frac{1}{x^2+1}$ .
- Let  $a_1 = \frac{1}{2}$ . Show that  $a_2 = \frac{4}{5} = 0.8$ .
- Show that  $a_3 = \frac{25}{41} \approx 0.609760976097\dots$
- Show that  $a_4 = \frac{1681}{2306} \approx 0.728967$ .
- Show that  $a_5 \approx 0.6530046$ .
- Show that  $a_6 \approx 0.7010582$ .
- Show that  $a_7 \approx 0.6704737$ .
- Show that  $a_8 \approx 0.68987635$ .
- Show that  $a_9 \approx 0.67753918$ .
- Show that  $a_{10} \approx 0.68537308$ .
- Show that  $a_{11} \approx 0.680394233$ .
- Show that  $a_{12} \approx 0.6835567$ .
- Show that  $a_{13} \approx 0.68154722$ .
- Show that  $a_{14} \approx 0.68282382$ .
- Show that  $a_{15} \approx 0.6820126$ .
- Prove that, to 3 decimal places of accuracy,  $x = .682$  is a solution of  $x^3 + x - 1 = 0$ .

3. (Picard iteration doesn't always converge) Picard iteration is a method for solving equations of the the form  $f(x) = x$ . The process is to let

$$a_1 = \text{your choice}, \quad a_2 = f(a_1), \quad a_3 = f(a_2), \quad \dots$$

If the sequence  $(a_1, a_2, \dots)$  converges and  $a = \lim_{n \rightarrow \infty} a_n$  then  $f(a) = a$  (because  $f(a_n) = a_{n+1}$  is very close to  $a_n$  for large  $n$ ). Another transformation of the equation  $x^3 - x - 1 = 0$  to the form  $x = f(x)$ , has  $f(x) = 1 - x^3$ .

- (a) Let  $a_1 = \frac{1}{2}$ . Show that  $a_2 = \frac{7}{8} = 0.875$ .
- (b) Show that  $a_3 \approx 0.330078$ .
- (c) Show that  $a_4 \approx 0.964037$ .
- (d) Show that  $a_4 \approx 0.104055$ .
- (e) Prove that  $(a_1, a_2, a_3, \dots)$  does not converge, but is oscillating between close to 1 and close to 0.

4. Which of the following maps are contractions?

- (a)  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = e^{-x}$ ;
- (b)  $f : [0, \infty) \rightarrow [0, \infty), f(x) = e^{-x}$ ;
- (c)  $f : [0, \infty) \rightarrow [0, \infty), f(x) = e^{-e^x}$ ;
- (d)  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = \cos x$ ;
- (e)  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = \cos(\cos x)$ .

5. Let  $X$  be a complete metric space and let  $f : X \rightarrow X$  be a contraction. Show that  $f$  has a unique fixed point.

6. Let  $\alpha \in \mathbb{R}$  with  $0 < \alpha < 1$ . Let  $X$  be a complete metric space and let  $f : X \rightarrow X$  be a  $\alpha$ -contraction. Let  $x \in X, x_0 = x$  and  $x_{n+1} = f(x_n)$ , for  $n \in \mathbb{Z}_{\geq 0}$ .

- (a) Show that the sequence  $x_0, x_1, x_2, \dots$  converges in  $X$ .

Let  $p = \lim_{n \rightarrow \infty} x_n$ .

- (b) Show that  $d(x, p) \leq \frac{d(x, f(x))}{1 - \alpha}$ .
- (c) Show that  $f(p) = p$ .

7. Let  $U$  be an open subset of  $\mathbb{R}^2$ . Let  $f : U \rightarrow \mathbb{R}$  be a continuous function which satisfies the Lipschitz condition with respect to the second variable: There exists  $\alpha \in \mathbb{R}_{>0}$  such that

$$\text{if } (x, y_1), (x, y_2) \in U \quad \text{then} \quad |f(x, y_1) - f(x, y_2)| \leq \alpha |y_1 - y_2|.$$

Show that if  $(x_0, y_0) \in U$  then there exists  $\delta \in \mathbb{R}_{>0}$  such that  $y'(x) = f(x, y(x))$  has a unique solution  $y : [x_0 - \delta, x_0 + \delta] \rightarrow \mathbb{R}$  such that  $y(x_0) = y_0$ .

8. Consider the map  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  given by

$$f(x, y) = \frac{1}{10}(8x + 8y, x + y), \quad (x, y) \in \mathbb{R}^2.$$

Recall metrics  $d_1((x_1, y_1), (x_2, y_2)) = |x_1 - x_2| + |y_1 - y_2|$ ,  $d_2((x_1, y_1), (x_2, y_2)) = \sqrt{|x_1 - x_2|^2 + |y_1 - y_2|^2}$  and  $d_\infty((x_1, y_1), (x_2, y_2)) = \max\{|x_1 - x_2|, |y_1 - y_2|\}$ . Is  $f$  a contraction with respect to  $d_1$ ?  $d_2$ ?  $d_\infty$ ?

9. (a) Consider  $X = (0, a]$  with the usual metric and  $f(x) = x^2$  for  $x \in X$ . Find values of  $a$  for which  $f$  is a contraction and show that  $f : X \rightarrow X$  does not have a fixed point.

- (b) Consider  $X = [1, \infty)$  with the usual metric and let  $f(x) = x + \frac{1}{x}$  for  $x \in X$ . Show that  $f : X \rightarrow X$  and  $d(f(x), f(y)) < d(x, y)$  for all  $x \neq y$ , but  $f$  does not have a fixed point. Reconcile (a) and (b) with Banach fixed point theorem.

10. Let  $(X, d)$  be a complete metric space and  $f : X \rightarrow X$  be a function such that

$$d(f(x), f(y)) \leq \alpha d(x, y)$$

for all  $x, y \in \overline{B}(x_0, r_0)$ , where  $0 < \alpha < 1$  and  $d(x_0, f(x_0)) \leq (1 - \alpha) \cdot r_0$ . Prove that  $f$  has a unique fixed point  $p \in \overline{B}(x_0, r_0)$ .

11. (a) Show that there is exactly one continuous function  $f : [0, 1] \rightarrow \mathbb{R}$  which satisfies the equation

$$[f(x)]^3 - e^x [f(x)]^2 + \frac{f(x)}{2} = e^x.$$

(Hint: rewrite the equation as  $f(x) = e^x + \frac{1}{2} \frac{f(x)}{1 + f(x)^2}$ .)

- (b) Consider  $C[0, a]$  with  $a < 1$  and  $T : C[0, a] \rightarrow C[0, a]$  given by

$$(Tf)(t) = \sin t + \int_0^t f(s) ds, \quad t \in [0, a].$$

Show that  $T$  is a contraction. What is the fixed point of  $T$ ?

- (c) Find all  $f \in C[0, \pi]$  which satisfy the equation

$$3f(t) = \int_0^t \sin(t - s) f(s) ds.$$

- (d) Let  $g \in C[0, 1]$ . Show that there exists exactly one  $f \in C[0, 1]$  which solves the equation

$$f(x) + \int_0^1 e^{x-y-1} f(y) dy = g(x), \quad \text{for all } x \in [0, 1].$$

(Hint: Consider the metric  $d(f, h) = \sup\{e^{-x} |f(x) - h(x)| \mid x \in [0, 1]\}$ .)

12. Call a map  $f : X \rightarrow X$  a **weak contraction** if  $d(f(x), f(y)) < d(x, y)$  for all  $x \neq y$ . Prove that if  $X$  is compact and  $f$  is a weak contraction, then  $f$  has a unique fixed point.

13. Let  $a > 0$ , and let

$$f(x) = \frac{1}{2} \left( x + \frac{a}{x} \right) \quad \text{for } x > 0.$$

- (a) Show that  $f(x) \geq \sqrt{a}$  for all  $x > 0$ . Hence  $f$  defines a function  $f : X \rightarrow X$  where  $X = [\sqrt{a}, \infty)$ .  
 (b) Show that  $f$  is a contraction mapping when  $X$  is given the usual metric.  
 (c) Fix  $x_0 > \sqrt{a}$  and  $x_{n+1} = f(x_n)$  for all  $n \geq 0$ . Show that the sequence  $\{x_n\}$  converges and find its limit with respect to the usual metric on  $\mathbb{R}$ .

14. (a) State the Banach fixed point theorem.  
 A mapping  $f : \mathbb{R} \rightarrow \mathbb{R}$  is defined as a *contraction* if there exists a constant  $c$  with  $0 \leq c < 1$  such that  $|f(x) - f(y)| \leq c|x - y|$  for all  $x, y \in \mathbb{R}$ .
- (b) (1) Use (a) to show that the equation  $x + f(x) = a$  has a unique solution for each  $a \in \mathbb{R}$ .  
 (2) Deduce that  $F : \mathbb{R} \rightarrow \mathbb{R}$  defined by  $F(x) = x + f(x)$  is a bijection. (This should be easy).  
 (3) Show that  $F$  is continuous.  
 (4) Show that  $F^{-1}$  is continuous. (Hence  $F$  is a homeomorphism.)

15. (a) State the Banach fixed point theorem.  
 (b) Let  $X$  be the interval  $(0, 1/3)$  with usual Euclidean metric. Show that  $f : X \rightarrow X$  defined by  $f(x) = x^2$  is a contraction, but  $f$  does not have a fixed point in  $X$ . Why does this not contradict the Banach fixed point theorem?  
 (c) Let  $(X, d)$  be a complete metric space and  $f : X \rightarrow X$ . Define  $g(x) = f(f(x))$ , that is,  $g = f \circ f$ . Assume that the map  $g : X \rightarrow X$  is a contraction. Prove that  $f$  has a unique fixed point.

16. Let  $f : \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$  be given by

$$f(x) = \frac{2}{2+x}.$$

- (a) Show that  $f$  defines a contraction mapping  $f : \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$ .  
 (b) Fix  $x_0 \geq 0$  and  $x_{n+1} = f(x_n)$  for all  $n \geq 0$ . Show that the sequence  $\{x_n\}$  converges and find its limit with respect to the usual metric on  $\mathbb{R}$ .

17. Let  $a \in \mathbb{R}_{>0}$ . Let

$$f(x) = \frac{1}{2} \left( x + \frac{a}{x} \right), \quad \text{for } x \in \mathbb{R}_{>0}.$$

- (a) Show that if  $x \in \mathbb{R}_{>0}$  then  $f(x) \geq \sqrt{a}$ . Hence  $f$  defines a function  $f : X \rightarrow X$  where  $X = [\sqrt{a}, \infty)$ .  
 (b) Show that  $f$  is a contraction mapping when  $X$  is given the usual metric.  
 (c) Fix  $x_0 > \sqrt{a}$  and  $x_{n+1} = f(x_n)$ , for  $n \in \mathbb{Z}_{\geq 0}$ . Show that the sequence  $\{x_n\}$  converges and find its limit with respect to the usual metric on  $\mathbb{R}$ .

18. Consider the map  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  given by

$$f(x, y) = \frac{1}{10}(8x + 8y, x + y).$$

Recall metrics

$$\begin{aligned} d_1((x_1, y_1), (x_2, y_2)) &= |x_1 - x_2| + |y_1 - y_2|, \\ d_2((x_1, y_1), (x_2, y_2)) &= (|x_1 - x_2|^2 + |y_1 - y_2|^2)^{1/2}, \\ d_\infty((x_1, y_1), (x_2, y_2)) &= \max\{|x_1 - x_2|, |y_1 - y_2|\} \end{aligned}$$

If  $f$  a contraction with respect to  $d_1$ ?  $d_2$ ?  $d_\infty$ ? Prove that your answers are correct.

19. (a) State the Banach fixed point theorem.  
 (b) Let  $X = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 \leq 1\}$ .  
 Verify that the mapping  $f: X \rightarrow X$  given by

$$f(x, y) = \left(\frac{1}{4}(x + y + 1), \frac{1}{4}(x - y + 1)\right)$$

satisfies the conditions of the Banach fixed point theorem.

- (c) Find directly the unique fixed point for  $f$ .

## 25.8 The space $L^1$

A *rectangle* in  $\mathbb{R}^k$  is  $I_1 \times \dots \times I_k$ , where  $I_1, \dots, I_k$  are intervals in  $\mathbb{R}$  and

$$\text{vol}(I_1 \times \dots \times I_k) = \text{length}(I_1) \cdots \text{length}(I_k).$$

A *step function* is a function  $f: \mathbb{R}^k \rightarrow \mathbb{R}$  such that there exist  $k \in \mathbb{Z}_{>0}$ ,  $c \in \mathbb{R}$  and intervals  $I_1, \dots, I_k \subseteq \mathbb{R}$  such that

$$f(x) = \begin{cases} c, & \text{if } x \in I_1 \times \dots \times I_k, \\ 0, & \text{otherwise.} \end{cases}$$

A *null set* is a subset  $A \subseteq \mathbb{R}^k$  such that

if  $\varepsilon \in \mathbb{R}_{>0}$  then there exists a sequence  $R_1, R_2, \dots$  of rectangles in  $\mathbb{R}^k$

$$\text{such that } A \subseteq \bigcup_{j \in \mathbb{Z}_{>0}} R_j \quad \text{and} \quad \sum_{j \in \mathbb{Z}_{>0}} \text{vol}(R_j) < \varepsilon.$$

A *full set* is the complement of a null set.

1. Let  $S$  be the set of linear combinations of step functions  $f: \mathbb{R}^k \rightarrow \mathbb{R}$ . Let

$$\|f\| = \int |f| \quad \text{and} \quad d(f, g) = \|f - g\|,$$

for  $f, g \in S$ .

- (a) Show that  $\|\cdot\|: S \rightarrow \mathbb{R}_{\geq 0}$  is not a norm on  $S$ .  
 (b) Show that  $d: S \times S \rightarrow \mathbb{R}_{\geq 0}$  is not a metric on  $S$ .
2. Let  $S$  be the set of linear combinations of step functions  $f: \mathbb{R}^k \rightarrow \mathbb{R}$ . Let  $\sum_{i \in \mathbb{Z}_{>0}} f_i$  be a series in  $S$  which is norm absolutely convergent. Show that there exists a full set in  $\mathbb{R}^k$  on which  $\sum_{i \in \mathbb{Z}_{>0}} f_i$  converges.
3. Let  $S$  be the set of linear combinations of step functions  $f: \mathbb{R}^k \rightarrow \mathbb{R}$ . Let  $\sum_{n \in \mathbb{Z}_{>0}} f_n$  be a series in  $S$  which is norm absolutely convergent. Show that  $\sum_{n \in \mathbb{Z}_{>0}} f_n = 0$  almost everywhere if and only if the limit of the norms of the partial sums of  $f_n$  converge to 0.
4. Let  $L^1$  be the set of functions which are equal almost everywhere to limits of norm absolutely convergent series in  $S$ , where  $S$  is the set of linear combinations of step functions  $f: \mathbb{R}^k \rightarrow \mathbb{R}$ . Define

$$\|f\| = \int f \quad \text{and} \quad d(f, g) = \|f - g\|, \quad \text{for } f, g \in L^1.$$

- (a) Show that  $\|\cdot\|: L^1 \rightarrow \mathbb{R}_{\geq 0}$  is a norm on  $L^1$ .  
 (b) Show that  $d: L^1 \times L^1 \rightarrow \mathbb{R}_{\geq 0}$  is a metric on  $L^1$ .

## 25.9 Additional sample exam questions

1. Let  $(X, d)$  be a metric space and let  $x_1, x_2, \dots$  be a sequence in  $X$ . Show that if  $(x_1, x_2, \dots)$  is a Cauchy sequence then  $\{x_1, x_2, \dots\}$  is bounded.
2. Let  $(X, d)$  be a metric space and let  $(x_1, x_2, \dots)$  be a sequence in  $X$ . Show that if  $(x_1, x_2, \dots)$  converges then  $(x_1, x_2, \dots)$  is a Cauchy sequence.
3. Let  $(X, d)$  be a metric space and let  $(x_1, x_2, \dots)$  be a sequence in  $X$ . Show that if  $(x_1, x_2, \dots)$  is a Cauchy sequence and contains a convergent subsequence then  $(x_1, x_2, \dots)$  converges.
4. Give an example of a metric space  $(X, d)$  and a Cauchy sequence  $(x_1, x_2, \dots)$  in  $X$  that does not converge.
5. Give an example of a metric space  $(X, d)$  that is not complete.
6. Show that  $\mathbb{R}$  with the usual metric is a complete metric space.
7. Let  $(X, d)$  be a complete metric space. Let  $Y \subseteq X$  be a subspace of  $X$ . Show that if  $Y$  is closed then  $(Y, d)$  is complete.
8. Give an example of a metric space  $(X, d)$  and a subspace  $Y \subseteq X$  such that  $(X, d)$  is a complete metric space and  $(Y, d)$  is not complete.
9. Let  $(X, d)$  be a metric space and let  $Y \subseteq X$  be a subspace of  $X$ . Show that if  $(Y, d)$  is complete then  $Y$  is a closed subset of  $X$ .
10. Let  $(X_1, d_1), \dots, (X_\ell, d_\ell)$  be metric spaces and let  $(X_1 \times \dots \times X_\ell, d)$  be the product metric space. Show that if  $(X_1, d_1), \dots, (X_\ell, d_\ell)$  are complete then  $(X_1 \times \dots \times X_\ell, d)$  is complete.
11. Let  $(X, d)$  and  $(Y, d')$  be metric spaces and let  $C_b(X, Y)$  be the set of bounded continuous functions  $f: X \rightarrow Y$  with the metric  $\rho: C_b(X, Y) \times C_b(X, Y) \rightarrow \mathbb{R}_{\geq 0}$  given by

$$\rho(f, g) = \sup\{d'(f(x), g(x)) \mid x \in X\}.$$

Show that if  $(Y, d')$  is complete then  $(C_b(X, Y), \rho)$  is a complete metric space.

12. Show that the completion of  $(0, 1)$  with the usual metric is  $[0, 1]$  with the usual metric.
13. Let  $(X, d)$  and  $(Y, \rho)$  be metric spaces and let  $f: X \rightarrow Y$  be an isometry. Show that  $f$  is injective.

14. Give an example of an isometry  $f: X \rightarrow Y$  that is not surjective.
15. Let  $(X, d)$  be a metric space. Show that a completion of  $(X, d)$  exists.
16. Let  $(X, d)$  be a metric space. Show that the completion of  $(X, d)$  is unique (if it exists).
17. Let  $(X, d)$  be a metric space. Let  $((X_1, d_1), \varphi_1)$  and  $((X_2, d_2), \varphi_2)$  be completions of  $(X, d)$ . Show that there is a surjective isometry  $f: X_1 \rightarrow X_2$  such that  $f \circ \varphi_1 = \varphi_2$ .
18. Let  $(X, \|\cdot\|)$  be a normed vector space. Show that  $(X, \|\cdot\|)$  is complete if and only if every norm absolutely convergent series is convergent in  $X$ .
19. Let  $I$  be a closed and bounded interval in  $\mathbb{R}$ . Let  $x_1, x_2, x_3, \dots$  be a sequence in  $I$ . Show that there exists a subsequence  $x_{n_1}, x_{n_2}, x_{n_3}, \dots$  of  $x_1, x_2, x_3, \dots$  such that  $x_{n_1}, x_{n_2}, x_{n_3}, \dots$  converges in  $I$ .
20. Let  $X$  be a compact topological space. Let  $C$  be a closed subset of  $X$ . Show that  $C$  is compact.
21. Let  $X$  be a metric space and let  $E$  be a compact subset of  $X$ . Show that  $E$  is closed and bounded.
22. Let  $C([0, 1], \mathbb{R}) = \{f: [0, 1] \rightarrow \mathbb{R} \mid f \text{ is continuous}\}$  and let  $d(f, g) = \sup\{|f(x) - g(x)| \mid x \in [0, 1]\}$ .
  - (a) Show that  $d: C([0, 1], \mathbb{R}) \times C([0, 1], \mathbb{R})$  is a metric on  $C([0, 1], \mathbb{R})$ .
  - (b) Let  $A = \overline{B}_1(0) = \{f \in C([0, 1], \mathbb{R}) \mid d(f, 0) \leq 1\}$ . Show that  $A$  is closed and bounded.
  - (c) Show that  $A$  is not compact.
23. Let  $K \subseteq \mathbb{R}$ . Show that  $K$  is compact if and only if  $K$  is closed and bounded.
24. Let  $(X, d)$  and  $(Y, d')$  be metric spaces and let  $f: X \rightarrow Y$  be a continuous function. Let  $K$  be a compact subset of  $X$ . Show that  $f(K)$  is compact in  $Y$ .
25. Let  $X$  be a compact metric space. Let  $f: X \rightarrow \mathbb{R}$  be a continuous function. Show that  $f$  attains a maximum and a minimum value.
26. Let  $X$  be a compact metric space. Let  $f: X \rightarrow Y$  be a continuous function. Show that  $f$  is uniformly continuous.
27. Let  $X$  be a set with the discrete metric. Show that  $X$  is compact if and only if  $X$  is finite.

28. Let  $X$  be a metric space and let  $A \subseteq X$ . Show that if  $A$  is totally bounded then  $A$  is bounded.

29. Let  $X = \mathbb{R}$  with metric given by  $d(x, y) = \min\{|x - y|, 1\}$ .

- (a) Show that  $X$  is bounded.
- (b) Show that  $X$  is not totally bounded.

30. Let  $X$  be a metric space and let  $A \subseteq X$ . Show that the following are equivalent:

- (a) Every sequence in  $A$  has a convergent subsequence.
- (b)  $A$  is complete and totally bounded.
- (c) Every open cover of  $A$  has a finite subcover.

31. Let  $X$  be a topological space. Show that  $X$  is compact if and only if  $X$  satisfies if  $\mathcal{C}$  is a collection of closed sets such that

$$\text{if } \ell \in \mathbb{Z}_{>0} \text{ and } C_1, \dots, C_\ell \in \mathcal{C} \text{ then } C_1 \cap \dots \cap C_\ell \neq \emptyset$$

then  $\bigcap_{C \in \mathcal{C}} C \neq \emptyset$ .

32. Let  $X$  be a topological space and let  $K \subseteq X$ . Assume  $X$  is compact. Show that if  $K$  is closed then  $K$  is compact.

33. Let  $X$  be a topological space and let  $K \subseteq X$ . Assume  $X$  is Hausdorff. Show that if  $K$  is compact then  $K$  is closed.

34. Show that a compact Hausdorff space is normal.

35. Let  $X$  and  $Y$  be topological spaces and let  $f: X \rightarrow Y$  be a continuous function. Let  $K \subseteq X$ . Show that if  $K$  is compact then  $f(K)$  is compact.

36. Let  $X$  and  $Y$  be topological spaces and let  $f: X \rightarrow Y$  be a continuous function. Assume  $f$  is a bijection,  $X$  is compact and  $Y$  is Hausdorff. Show that the inverse function  $f^{-1}: Y \rightarrow X$  is continuous.

37. Let  $X = [0, 2\pi)$  and  $Y = S^1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$ . Let  $f: [0, 2\pi) \rightarrow S^1$  be given by  $f(x) = (\cos x, \sin x)$ .

- (a) Show that  $f$  is continuous.
- (b) Show that  $f$  is a bijection.
- (c) Show that  $f^{-1}: S^1 \rightarrow [0, 2\pi)$  is not continuous.
- (d) Why does this not contradict the previous problem? FIX THIS.

38. Suppose that  $\{x_n\}$  and  $\{y_n\}$  are Cauchy sequences in a metric space  $(X, d)$ . Prove that the sequence of real numbers  $\{d(x_n, y_n)\}$  converges.
39. Suppose that  $\{x_n\}$  is a sequence in a metric space  $(X, d)$  such that  $d(x_n, x_{n+1}) \leq 2^{-n}$  for all  $n \in \mathbb{Z}_{>0}$ . Prove that  $\{x_n\}$  is a Cauchy sequence.
40. Decide if the following metric spaces are complete:
- $((0, \infty), d)$ , where  $d(x, y) = |x^2 - y^2|$  for  $x, y \in (0, \infty)$ .
  - $((-\pi/2, \pi/2), d)$ , where  $d(x, y) = |\tan x - \tan y|$  for  $x, y \in (-\pi/2, \pi/2)$ .
41. Let  $X = (0, 1]$  be equipped with the usual metric  $d(x, y) = |x - y|$ . Show that  $(X, d)$  is not complete. Let  $\tilde{d}(x, y) = \left\| \frac{1}{x} - \frac{1}{y} \right\|$  for  $x, y \in X$ . Show that  $\tilde{d}$  is a metric on  $X$  that is equivalent to  $d$ , and that  $(X, \tilde{d})$  is complete.
42. Suppose that  $(X, d)$  and  $(Y, \tilde{d})$  are metric spaces and that  $f : X \rightarrow Y$  is a bijection such that both  $f$  and  $f^{-1}$  are uniformly continuous. Show that  $(X, d)$  is complete if and only if  $(Y, \tilde{d})$  is complete.
43. (Cantor's Intersection Theorem) Let  $(X, d)$  be a metric space and let  $\{F_n\}$  be a "decreasing" sequence of non-empty subsets of  $X$  satisfying  $F_{n+1} \subseteq F_n$  for all  $n$ .
- Prove that if
    - $(X, d)$  is complete,
    - each  $F_n$  is closed,
    - $\text{diam}(F_n) \rightarrow 0$ ,
 then  $\bigcap_{n \in \mathbb{Z}_{>0}} F_n$  consists of exactly one point.
  - Show that, if any of (i)-(iii) is omitted, then  $\bigcap_{n \in \mathbb{Z}_{>0}} F_n$  may be empty.
  - Conversely, prove that if for every decreasing sequence  $\{F_n\}$  of non-empty subsets satisfying (ii) and (iii), the intersection  $\bigcap_{n \in \mathbb{Z}_{>0}} F_n$  is non-empty, then  $X$  is complete.
44. Let  $(X, d)$  be a complete metric space and let  $f : X \rightarrow (0, \infty)$  be a continuous function. Prove that there exists a point  $x^*$  such that  $f(y) \leq 2f(x^*)$  for all  $y \in B(x^*, \frac{1}{\sqrt{f(x^*)}})$ .  
*(Hint: Arguing by contradiction show that there exists a sequence  $\{x_n\}$  with the following properties:  $f(x_1) > 0$ ,  $f(x_{n+1}) > 2f(x_n)$  for all  $n \geq 1$  and  $d(x_{n+1}, x_n) \leq \frac{1}{\sqrt{f(x_n)}}$ . Then show that  $\{x_n\}$  is Cauchy.)*
45. Let  $(X, d)$  be a complete metric space and let  $(Y, \tilde{d})$  be a metric space. Let  $\{f_n\}$  be a sequence of continuous functions from  $X$  to  $Y$  such that  $\{f_n(x)\}$  converges for every  $x \in X$ . Prove that for every  $\varepsilon > 0$  there exist  $k \in \mathbb{Z}_{>0}$  and a non-empty open subset  $U$  of  $X$  such that  $\tilde{d}(f_n(x), f_m(x)) < \varepsilon$  for all  $x \in U$  and all  $n, m \geq k$ .

46. On  $\mathbb{R}$  consider the metrics:

$$d_1(x, y) = |\arctan x - \arctan y|,$$

$$d_2(x, y) = |x^3 - y^3|.$$

With which of these metrics is  $\mathbb{R}$  complete? If  $(\mathbb{R}, d_i)$  is not complete find its completion.

47. Which of the following subsets of  $\mathbb{R}$  and  $\mathbb{R}^2$  are compact? ( $\mathbb{R}$  and  $\mathbb{R}^2$  are considered with the usual metrics).

(a)  $A = \mathbb{Q} \cap [0, 1]$

(b)  $B = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$

(c)  $C = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}$

(d)  $D = \{(x, y) : |x| + |y| \leq 1\}$

(e)  $E = \{(x, y) : x \geq 1 \text{ and } 0 \leq y \leq 1/x\}$

48. Prove that if  $A_1, \dots, A_k$  are compact subsets of a metric space  $(X, d)$ , then  $\bigcup_{i=1}^k A_i$  is compact.

49. Prove that if  $A_i$  is a compact subset of the metric space  $(X_i, d_i)$  for  $i = 1, \dots, k$ , then  $A_1 \times \dots \times A_k$  is a compact subset of  $X = X_1 \times \dots \times X_k$  with the product metric  $d$ .

50. Let  $A$  be a non-empty compact subset of a metric space  $(X, d)$ . Prove:

(a) If  $x \in X$ , then there exists  $a \in A$  such that  $d(x, a) = d(x, A)$ ;

(b) If  $A \subseteq U$  and  $U$  is open, then there is  $\varepsilon > 0$  such that  $\{x \in X : d(x, A) < \varepsilon\} \subset U$ .

(c) If  $B$  is closed and  $A \cap B = \emptyset$ , then  $d(A, B) > 0$ .

*Hint:* Recall that  $(x, y) \mapsto d(x, y)$  is continuous from  $X \times X \rightarrow [0, \infty)$ .

51. Let  $f : X \rightarrow \mathbb{R}$ . Call a function  $f$  **upper semicontinuous**, abbreviated u.s.c., if for every  $r \in \mathbb{R}$ ,  $\{x \in X \mid f(x) < r\}$  is open. Similarly,  $f$  is **lower semicontinuous**, abbreviated l.s.c., if for every  $r \in \mathbb{R}$ ,  $\{x \in X \mid f(x) > r\}$  is open. Assume that  $X$  is compact. Show that every u.s.c. function assumes a maximum value and every l.s.c. function assumes a minimum value.

52. (a different construction of the completion of a metric space) An **equivalence relation** on a set  $X$  is a relation  $\sim$  having the following three properties:

(a) (Reflexivity)  $x \sim x$  for every  $x \in X$ .

(b) (Symmetry) If  $x \sim y$ , then  $y \sim x$ .

(c) (Transitivity) If  $x \sim y$  and  $y \sim z$ , then  $x \sim z$ .

The **equivalence class** determined by  $x$ , and denoted by  $[x]$ , is defined by  $[x] = \{y \in X : y \sim x\}$ . We have  $[x] = [y]$  if and only if  $x \sim y$ , and  $X$  is a disjoint union of these equivalence classes.

Let  $(X, d)$  be a metric space and let  $X^*$  be the set of Cauchy sequences  $\mathbf{x} = \{x_n\}$  in  $(X, d)$ . Define a relation  $\sim$  in  $X^*$  by declaring  $\mathbf{x} = \{x_n\} \sim \mathbf{y} = \{y_n\}$  to mean  $d(x_n, y_n) \rightarrow 0$ .

- (a) Show that  $\sim$  is an equivalence relation.

Denote by  $[\mathbf{x}]$  the equivalence class of  $\mathbf{x} \in X^*$ , and let  $\tilde{X}$  denote the set of these equivalence classes.

- (b) Show that if  $\mathbf{x} = \{x_n\}$  and  $\mathbf{y} = \{y_n\} \in X^*$ , then  $\lim_{n \rightarrow \infty} d(x_n, y_n)$  exists. Show that if  $\mathbf{x}' = \{x'_n\} \in [\mathbf{x}]$  and  $\mathbf{y}' = \{y'_n\} \in [\mathbf{y}]$ , then

$$\lim_{n \rightarrow \infty} d(x_n, y_n) = \lim_{n \rightarrow \infty} d(x'_n, y'_n).$$

For  $[\mathbf{x}], [\mathbf{y}] \in \tilde{X}$ , define

$$D([\mathbf{x}], [\mathbf{y}]) = \lim_{n \rightarrow \infty} d(x_n, y_n).$$

Note that the definition of  $D$  is unambiguous in view of the above equality.

- (c) Show that  $(\tilde{X}, D)$  is a complete metric space.

*Hint:* Let  $[\mathbf{x}^n]$  be Cauchy in  $(\tilde{X}, D)$ . Then  $\mathbf{x}^n = \{x_1^n, x_2^n, x_3^n, \dots\}$  is Cauchy in  $(X, d)$ . So for every  $n \in \mathbb{Z}_{>0}$ , there exists  $k_n \in \mathbb{Z}_{>0}$  such that

$$d(x_m^n, x_{k_n}^n) < 1/n$$

for all  $m \geq k_n$ .

Set  $\mathbf{x} = \{x_{k_1}^1, x_{k_2}^2, x_{k_3}^3, \dots\}$ . Then show that  $\mathbf{x}$  is Cauchy in  $(X, d)$  and  $D([\mathbf{x}^n], [\mathbf{x}]) \rightarrow 0$ .

- (d) If  $x \in X$ , let  $\varphi(x)$  be the equivalence class of the constant sequence  $\mathbf{x} = (x, x, x, \dots)$ . That is,  $\varphi(x) = [\mathbf{x}] = [\{x, x, x, \dots\}]$ . Show that

$\varphi : X \rightarrow \varphi(X)$  is an isometry.

- (e) Show that  $\varphi(X)$  is dense in  $(\tilde{X}, D)$ .

*Hint:* Let  $[\mathbf{x}] \in \tilde{X}$  with  $\mathbf{x} = \{x_1, x_2, x_3, \dots\}$ . Denote by  $\mathbf{x}^n$  the constant sequence  $\{x_n, x_n, x_n, \dots\}$  and show that  $D([\mathbf{x}^n], [\mathbf{x}]) \rightarrow 0$ .

53. Consider the following spaces:

- (a)  $\mathbb{R}$  with the metric  $d_1(x, y) = \frac{|x - y|}{1 + |x - y|}$ ;  
 (b)  $\mathbb{R}$  with the metric  $d_2(x, y) = |\arctan x - \arctan y|$ ;  
 (c)  $\mathbb{R}$  with the metric  $d_3(x, y) = 0$  if  $x = y$  and  $d(x, y) = 1$  if  $x \neq y$ .

Is  $(\mathbb{R}, d_i)$  compact?

54. Use the Heine-Borel property to prove that if  $f : X \rightarrow Y$  is a continuous mapping between metric spaces and  $X$  is compact then  $f$  is uniformly continuous.

55. A family  $\{F_i\}_{i \in I}$  is said to have the **finite intersection property** if for every finite subset  $J$  of  $I$ ,  $\bigcap_{i \in J} F_i \neq \emptyset$ . Show that  $X$  is compact if and only if for every family  $\{F_i\}_{i \in I}$  of closed subsets of  $X$  having the finite intersection property, the intersection  $\bigcap_{i \in I} F_i \neq \emptyset$ .

56. Consider  $C[0, 1]$  with the usual  $d_\infty$  metric. Let

$$A = \{f \in C[0, 1] \mid 0 = f(0) \leq f(t) \leq f(1) = 1 \text{ for all } t \in [0, 1]\}.$$

Show that there is no finite 1/2-net for  $A$ .

57. Show that if  $A \subseteq X$  is totally bounded, then  $\bar{A}$  is also totally bounded.
58. Show that a metric space  $(X, d)$  is totally bounded if and only if every sequence  $\{x_n\} \subseteq X$  contains a Cauchy subsequence.
59. Let  $X$  be a totally bounded metric space and  $Y$  a metric space. Assume that  $f: X \rightarrow Y$  is a bijection. Show that if  $f$  and  $f^{-1}$  are uniformly continuous, then  $Y$  is totally bounded.
60. (Lebesgue number lemma) Let  $(X, d)$  be a compact metric space and let  $\{U_i\}_{i \in I}$  be an open covering of  $X$ . Prove that there exists  $\delta > 0$  such that for every subset  $A \subseteq X$  with  $\text{diam}(A) < \delta$  there exists  $i \in I$  such that  $A \subseteq U_i$ . ( $\delta$  is called a “Lebesgue number” for the covering.)
61. Let  $(X, d)$  be a compact metric space. Assume that  $f: X \rightarrow X$  preserves distance, that is,

$$d(f(x), f(y)) = d(x, y)$$

for every  $x, y \in X$ . Show that  $f$  is a bijection. *Hint:* Assume that  $f(X) \neq X$ . So there exists  $a \in X \setminus f(X)$ . Since  $f$  is continuous and  $X$  is compact,  $f(X)$  is compact. So  $d(a, f(X)) = r > 0$ . Consider a sequence  $x_n = f^n(a)$ .

62. Let  $X$  be the set of all real sequences with *finitely many non-zero terms* with the supremum metric: if  $\mathbf{x} = (x_i)$  and  $\mathbf{y} = (y_i)$  then  $d(\mathbf{x}, \mathbf{y}) = \sup\{|x_i - y_i| : i \in \mathbb{Z}_{>0}\}$ . For each  $n \in \mathbb{Z}_{>0}$ , let  $\mathbf{x}^n = (1, 1/2, 1/3, \dots, 1/n, 0, 0, \dots)$ .
- Show that  $\{\mathbf{x}^n\}$  is a Cauchy sequence in  $X$ .
  - Show that  $\{\mathbf{x}^n\}$  does not converge to a point in  $X$ .
  - Show that the completion of  $X$  is the space of all real sequences which converge to zero, with the supremum metric.
63. Let  $X$  be a nonempty set and let  $(Y, d)$  be a complete metric space. Let  $f: X \rightarrow Y$  be an injective function and define
- $$d_f(x, y) = d(f(x), f(y))$$
- for  $x, y \in X$ .
- Explain briefly why  $d_f$  is a metric on  $X$ .
  - Show that  $(X, d_f)$  is a complete metric space if  $f(X)$  is a closed subset of  $Y$ .
64. (a) Define compactness for a metric space  $(X, d)$ .
- Let  $\ell^\infty$  be the set of bounded real sequences with the supremum metric.
  - Consider the following metric spaces. Which of these spaces are compact? Give brief explanations.
    - The circle  $\{(x, y) : x^2 + y^2 = 1\}$  with the metric induced from  $\mathbb{R}^2$ ;
    - The open disk  $\{(x, y) : x^2 + y^2 < 1\}$  with the metric induced from  $\mathbb{R}^2$ .
    - The closed unit ball in the space  $\ell^\infty$ .

65. (a) State the definitions of a *Cauchy sequence* and a *complete* metric space.  
 (b) Let  $(X, d)$  and  $(Y, d')$  be metric spaces, and let  $f : X \rightarrow Y$  be continuous with  $f(X) = Y$ . Show that if  $(X, d)$  is complete and  $d(x, y) \leq d'(f(x), f(y))$  for all  $x, y \in X$ , then  $(Y, d')$  is complete.

66. Let  $X$  be a complete normed vector space over  $\mathbb{R}$ . A **sphere** in  $X$  is a set

$$S(a, r) = \{x \in X : d(x, a) = \|x - a\| = r\}, \quad \text{for } a \in X \text{ and } r \in \mathbb{R}_{>0}.$$

- (a) Show that each sphere in  $X$  is nowhere dense.  
 (b) Show that there is no sequence of spheres  $\{S_n\}$  in  $X$  whose union is  $X$ .  
 (c) Give a geometric interpretation of the result in (b) when  $X = \mathbb{R}^2$  with the Euclidean norm.  
 (d) Show that the result of (b) does not hold in every complete metric space  $X$ .
67. Let  $X = \mathbb{R}$  with metric given by  $d(x, y) = |x - y|$ .

- (a) Let  $A = X$ . Show that  $A$  is Cauchy compact but not bounded.  
 (b) Let  $A = X$ . Show that  $A$  is Cauchy compact but not cover compact.  
 (c) Let  $A = X$ . Show that  $A$  is Cauchy compact but not ball compact.  
 (d) Let  $A = (0, 1) \subseteq X$ . Show that  $A$  is ball compact and not closed in  $X$ .  
 (e) Let  $A = (0, 1) \subseteq X$ . Show that  $A$  is ball compact and not cover compact.  
 (f) Let  $A = (0, 1) \subseteq X$ . Show that  $A$  is ball compact and not Cauchy compact.  
 (h) Let  $A = (0, 1) \subseteq X$  and let  $B = A$ . Show that  $B$  is closed in  $A$  but  $B$  is not Cauchy compact.  
 (g) Let  $Y = \mathbb{R}$  with metric given by  $\rho(x, y) = \min\{|x - y|, 1\}$  and let  $A = Y$ . Show that  $A$  is bounded but not ball compact.

68. A family  $\{F_i\}_{i \in I}$  is said to have the **finite intersection property** if for every finite subset  $J$  of  $I$ ,  $\bigcap_{i \in J} F_i \neq \emptyset$ . Show that  $X$  is compact if and only if for every family  $\{F_i\}_{i \in I}$  of closed subsets of  $X$  having the finite intersection property, the intersection  $\bigcap_{i \in I} F_i \neq \emptyset$ .

69. Let  $(0, 1) = \{x \in \mathbb{R} \mid 0 < x < 1\}$  with the standard metric. Show that  $X$  is not complete, is totally bounded and is not cover compact.

70. Let  $(X, d)$  be a metric space and let  $(a_1, a_2, \dots)$  be a sequence in  $X$ .

- (a) Carefully define cluster point and limit point of  $(a_1, a_2, \dots)$ .  
 (b) Prove that if  $z$  is a limit point of  $(a_1, a_2, \dots)$  then  $z$  is a cluster point of  $(a_1, a_2, \dots)$ .  
 (c) Carefully define Cauchy sequence and convergent sequence.  
 (d) Prove that if  $(a_1, a_2, \dots)$  converges then  $(a_1, a_2, \dots)$  is Cauchy.  
 (e) Carefully define complete metric space.