

Metric space and Hilbert Spaces, Lecture 16, 1 Sept. 2015 ①  
Univ. of Melbourne

Let  $(X, d)$  be a metric space and let  $(x_1, x_2, \dots)$  be a sequence in  $X$ . Let  $y \in X$ .

A cluster point of  $(x_1, x_2, \dots)$  is  $y \in X$  such that there exists a subsequence  $(x_{n_1}, x_{n_2}, \dots)$  of  $(x_1, x_2, \dots)$  such that  $y = \lim_{k \rightarrow \infty} x_{n_k}$ .

Example In  $\mathbb{R}$  let

$$(x_1, x_2, \dots) = (2, -\frac{3}{2}, 1+\frac{1}{3}, -(1+\frac{1}{4}), 1+\frac{1}{5}, -(1+\frac{1}{6}), \dots)$$

Then 1 and -1 are cluster points of  $(x_1, x_2, \dots)$  but  $(x_1, x_2, \dots)$  has no limit.

Let  $A \subseteq X$ . Let  $\mathcal{T}$  be the metric space topology on  $X$ .

(a) The set  $A$  is sequentially compact if every sequence in  $A$  has a cluster point in  $A$ .

(b) The set  $A$  is Cauchy compact, or complete, if every Cauchy sequence has a cluster point in  $A$ .

(c) The set  $A$  is ball compact, or precompact, or totally bounded, if  $A$  satisfies

if  $\varepsilon \in \mathbb{R}_{>0}$  then there exists  $l \in \mathbb{Z}_{>0}$  and

$x_1, x_2, \dots, x_l \in X$  such that

$$A \subseteq B_\varepsilon(x_1) \cup B_\varepsilon(x_2) \cup \dots \cup B_\varepsilon(x_l).$$

In English:  $A$  can be covered by a finite number of balls of radius  $\varepsilon$ .

(d) The set  $A$  is cover compact if  $A$  satisfies:

if  $\mathcal{S} \subseteq \mathcal{I}$  and  $A \subseteq \left( \bigcup_{U \in \mathcal{S}} U \right)$  then

there exists  $l \in \mathbb{Z}_{>0}$  and  $U_1, \dots, U_l \in \mathcal{S}$  such that

$$A \subseteq U_1 \cup U_2 \cup \dots \cup U_l.$$

In English: Every open cover has a finite subcover.

### Theorem

cover compact  $\Rightarrow$  ball compact  $\Rightarrow$  bounded  
 $\Downarrow \Uparrow$   
 sequentially compact  $\Leftarrow$  +  
 compact  $\Rightarrow$  Cauchy compact  $\Rightarrow$  closed in  $X$ .

Theorem For  $\mathbb{R}^n$  with the standard topology

ball compact  $\Leftrightarrow$  bounded

and Cauchy compact  $\Leftrightarrow$  closed in  $\mathbb{R}^n$

Proposition Let  $(X, d)$  be a complete metric space.

Let  $A \subseteq X$ .

If  $A$  is closed then  $A$  is complete.

Proof Assume  $A$  is closed in  $X$ .

To show:  $A$  is complete

To show: If  $(a_1, a_2, \dots)$  is a Cauchy sequence in  $A$  then  $(a_1, a_2, \dots)$  converges in  $A$ .

Assume  $(a_1, a_2, \dots)$  is a Cauchy sequence in  $A$ .

Then  $(a_1, a_2, \dots)$  is a Cauchy sequence in  $X$ .

Since  $X$  is complete  $\lim_{n \rightarrow \infty} a_n$  exists in  $X$ .

To show:  $\lim_{n \rightarrow \infty} a_n$  is an element of  $A$ .

Since  $A$  is closed then

$$A = \bar{A} = \left\{ z \in X \mid \text{there exists } (x_1, x_2, \dots) \text{ in } X \right. \\ \left. \text{such that } z = \lim_{n \rightarrow \infty} x_n \right\}$$

$$\therefore \lim_{n \rightarrow \infty} a_n \in \bar{A} = A.$$

$\therefore (a_1, a_2, \dots)$  converges in  $A$ .

$\therefore A$  is complete.  $\parallel$

Corollary Let  $A \subseteq \mathbb{R}$ , where  $\mathbb{R}$  has the standard topology.

If  $A$  is closed then  $A$  is complete.

Proposition Let  $A \subseteq \mathbb{R}$ , where  $\mathbb{R}$  has the standard topology.

If  $A$  is bounded then  $A$  is ball compact.

Proof Assume  $A$  is bounded.

To show:  $A$  is ball compact.

Since  $A$  is bounded there exists  $x \in \mathbb{R}$  and  $M \in \mathbb{R}_{>0}$  such that  $A \subseteq (x-M, x+M)$ .

To show: If  $\varepsilon \in \mathbb{R}_{>0}$  then there exists  $\ell \in \mathbb{Z}_{>0}$  and  $x_1, x_2, \dots, x_\ell \in \mathbb{R}$  such that  $A \subseteq B_\varepsilon(x_1) \cup B_\varepsilon(x_2) \cup \dots \cup B_\varepsilon(x_\ell)$ .

Assume  $\varepsilon \in \mathbb{R}_{>0}$ .

To show: There exists  $\ell \in \mathbb{Z}_{>0}$  and  $x_1, \dots, x_\ell \in \mathbb{R}$  such that  $A \subseteq B_\varepsilon(x_1) \cup B_\varepsilon(x_2) \cup \dots \cup B_\varepsilon(x_\ell)$ .

Let  $\ell \in \mathbb{Z}_{>0}$  be such that  $\frac{\ell\varepsilon}{2} > 2M$ .

Let  $x_1 = x - M$ ,  $x_2 = x - M + \frac{\varepsilon}{2}$ ,  $\dots$ ,  $x_\ell = x - M + \ell \frac{\varepsilon}{2}$ .

Then

$$\begin{aligned} A \subseteq (x-M, x+M) &\subseteq (x_1 - \frac{\varepsilon}{2}, x_1 + \frac{\varepsilon}{2}) \cup (x_2 - \frac{\varepsilon}{2}, x_2 + \frac{\varepsilon}{2}) \cup \dots \cup (x_\ell - \frac{\varepsilon}{2}, x_\ell + \frac{\varepsilon}{2}) \\ &= B_\varepsilon(x_1) \cup B_\varepsilon(x_2) \cup \dots \cup B_\varepsilon(x_\ell) \end{aligned}$$

So  $A$  is ball compact.  $\square$