

Symmetric functions

Lecture Notes: Schur functions

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1. Skew polynomials

The polynomial ring

$$\mathbb{Z}[X_n] = \mathbb{Z}[x_1, \dots, x_n] \quad \text{has basis} \quad \{x^\mu \mid \mu \in \mathbb{Z}_{\geq 0}^n\}, \quad \text{where} \quad x^\mu = x_1^{\mu_1} x_2^{\mu_2} \cdots x_n^{\mu_n},$$

for $\mu = (\mu_1, \dots, \mu_n) \in \mathbb{Z}_{\geq 0}^n$. The vector space of *skew polynomials* is

$$A_n = \{g \in \mathbb{Z}[x_1, \dots, x_n] \mid wg = \det(w)g \text{ for all } w \in S_n\}.$$

If $f \in \mathbb{Z}[X_n]_n^S$ and $g \in A_n$ then $fg \in A_n$ and so A_n is a $\mathbb{Z}[X_n]_n^S$ -module.

The symmetric group S_n acts on $\mathbb{Z}_{\geq 0}^n$ by permuting the coordinates. If

$$\begin{aligned} \mathbb{Z}^n &= \{(\gamma_1, \dots, \gamma_n) \mid \gamma_i \in \mathbb{Z}\}, \\ P^+ &= \{(\gamma_1, \dots, \gamma_n) \in \mathbb{Z}^n \mid \gamma_1 \geq \gamma_2 \geq \cdots \geq \gamma_n\}, \quad \text{and} \\ P^{++} &= \{(\gamma_1, \dots, \gamma_n) \in \mathbb{Z}^n \mid \gamma_1 > \gamma_2 > \cdots > \gamma_n\}, \end{aligned}$$

then P^+ is a set of representatives of the orbits of the S_n action on \mathbb{Z}^n and the map defined by

$$\begin{array}{ccc} P^+ & \longrightarrow & P^{++} \\ \lambda & \longmapsto & \lambda + \rho \end{array} \quad \text{where} \quad \rho = (n-1, n-2, \dots, 2, 1, 0),$$

is a bijection.

Let

$$P_n^+ = \{(\gamma_1, \dots, \gamma_n) \in \mathbb{Z}^n \mid \gamma_1 \geq \gamma_2 \geq \cdots \geq \gamma_n \geq 0\}.$$

For each $\mu = (\mu_1, \dots, \mu_n) \in \mathbb{Z}_{\geq 0}^n$ such that $\mu_n \geq 0$,

$$a_\mu = \sum_{w \in S_n} \det(w) w x^\mu \tag{1.1}$$

is a skew polynomial. Since $a_\mu = \det(w) a_{w\mu}$ and $a_\mu = 0$ unless $\mu_1 > \mu_2 > \cdots > \mu_n$,

$$\{a_{\lambda+\rho} \mid \lambda \in P_n^+\} \quad \text{is a basis of } A_n,$$

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and thus

$$A_n = \varepsilon \cdot \mathbb{Z}[X_n], \quad \text{where } \varepsilon = \sum_{w \in S_n} \det(w)w.$$

The skew element

$$a_{\lambda+\rho} = \det \begin{pmatrix} x_1^{\lambda_1+n-1} & x_2^{\lambda_2+n-2} & \cdots & x_1^{\lambda_n} \\ x_2^{\lambda_1+n-1} & x_2^{\lambda_2+n-2} & \cdots & x_2^{\lambda_n} \\ \vdots & \vdots & \ddots & \vdots \\ x_n^{\lambda_1+n-1} & x_n^{\lambda_2+n-2} & \cdots & x_n^{\lambda_n} \end{pmatrix} \quad \text{is divisible by } \prod_{n \geq j > i \geq 1} (x_j - x_i), \quad (1.2)$$

since the factors $(x_j - x_i)$ in the product on the right hand side are coprime in $\mathbb{Z}[x_1, \dots, x_n]$ and setting $x_i = x_j$ makes the determinant vanish so that $a_{\lambda+\rho}$ must be divisible by $x_j - x_i$. When $\lambda = 0$, comparing coefficients of the maximal terms on each side shows that the *Vandermonde determinant*

$$a_\rho = \det \begin{pmatrix} x_1^{n-1} & x_1^{n-2} & \cdots & x_1^0 \\ x_2^{n-1} & x_2^{n-2} & \cdots & x_2^0 \\ \vdots & \vdots & \ddots & \vdots \\ x_n^{n-1} & x_n^{n-2} & \cdots & x_n^0 \end{pmatrix} = \prod_{n \geq j > i \geq 1} (x_j - x_i). \quad (1.3)$$

Since $\{a_{\lambda+\rho} \mid \lambda \in P_n^+\}$ is a basis of A_n , (???) shows that the inverse of the map

$$\begin{array}{ccc} \mathbb{Z}[x_1, \dots, x_n]^{S_n} & \longrightarrow & A_n \\ f & \longmapsto & a_\rho f \end{array} \quad (1.4)$$

is well defined, and thus the map in (???) is an isomorphism of $\mathbb{Z}[X_n]^{S_n}$ -modules.

The *Schur polynomials* are

$$s_\lambda = \frac{a_{\lambda+\rho}}{a_\rho}, \quad \text{for } \lambda \in P_n^+,$$

and since $\{a_{\lambda+\rho} \mid \lambda \in P_n^+\}$ is a basis of A_n and the map in (???) is an isomorphism,

$$\{s_\lambda \mid \lambda \in P_n^+\} \quad \text{is a basis of } \mathbb{Z}[x_1, \dots, x_n]^{S_n}.$$

2. Schur functions

Tableaux

Let λ be a partition and let $\mu = (\mu_1, \dots, \mu_n) \in \mathbb{Z}_{\geq 0}^n$ be a sequence of nonnegative integers. A *column strict tableau of shape λ and weight μ* is a filling of the boxes of λ with μ_1 1s, μ_2 2s, \dots , μ_n ns, such that

- (a) the rows are weakly increasing from left to right,
- (b) the columns are strictly increasing from top to bottom.

If p is a column strict tableau write $\text{shp}(p)$ and $\text{wt}(p)$ for the shape and the weight of p so that

$$\begin{array}{ll} \text{shp}(p) = (\lambda_1, \dots, \lambda_n), & \text{where } \lambda_i = \text{number of boxes in row } i \text{ of } p, \quad \text{and} \\ \text{wt}(p) = (\mu_1, \dots, \mu_n), & \text{where } \mu_i = \text{number of } i \text{ s in } p. \end{array}$$

For example,

$$p = \begin{array}{|c|c|c|c|c|c|c|c|c|} \hline 1 & 1 & 1 & 1 & 1 & 1 & 1 & 2 & 2 \\ \hline 2 & 2 & 2 & 2 & 3 & 3 & 4 & & \\ \hline 3 & 3 & 3 & 4 & 4 & 4 & 5 & & \\ \hline 4 & 5 & 5 & 6 & & & & & \\ \hline 6 & 7 & & & & & & & \\ \hline 7 & & & & & & & & \\ \hline \end{array}$$

has $\text{shp}(p) = (9, 7, 7, 4, 2, 1, 0)$ and $\text{wt}(p) = (7, 6, 5, 5, 3, 2, 2)$.

For a partition λ and a sequence $\mu = (\mu_1, \dots, \mu_n) \in \mathbb{Z}_{\geq 0}$ of nonnegative integers write

$$\begin{aligned} B(\lambda) &= \{\text{column strict tableaux } p \mid \text{shp}(p) = \lambda\}, \\ B(\lambda)_\mu &= \{\text{column strict tableaux } p \mid \text{shp}(p) = \lambda \text{ and } \text{wt}(p) = \mu\}, \end{aligned} \tag{2.1}$$

Words

Define $B_n = \{b_1, \dots, b_n\}$ and let

$$B_n^{\otimes k} = \{b_{i_1} \cdots b_{i_k} \mid 1 \leq i_1, \dots, i_k \leq n\}$$

be the set of words of length k in the alphabet B . For each $1 \leq i \leq n$ define *root operators*

$$\tilde{e}_i: B_n^{\otimes k} \longrightarrow B_n^{\otimes k} = \{0\} \quad \text{and} \quad \tilde{f}_i: B_n^{\otimes k} \longrightarrow B_n^{\otimes k} = \{0\}$$

by the following process. If $b = b_{i_1} \cdots b_{i_k}$ in $B_n^{\otimes k}$ place the value

- +1 over each b_i ,
- 1 over each b_{i+1} ,
- 0 over each b_j , $j \neq i, i + 1$.

Ignoring 0s read this sequence of ± 1 from left to right and successively remove adjacent $(+1, -1)$ pairs until the sequence is of the form

$$\begin{array}{ccc} & \text{cogood} & \text{good} \\ & \downarrow & \downarrow \\ \underbrace{+1 \ +1 \ \dots \ +1}_{\text{conormal nodes}} & & \underbrace{-1 \ -1 \ \dots \ -1}_{\text{normal nodes}} \end{array}$$

The -1 s in this sequence are the *normal nodes* and the $+1$ s are the *conormal nodes*. The *good node* is the leftmost normal node and the *cogood node* is the right most conormal node. Then

$$\begin{aligned} \tilde{e}_i(b) &= \text{same as } b \text{ except with the cogood node path step changed to } b_i, \\ \tilde{f}_i(b) &= \text{same as } b \text{ except with the good node path step changed to } b_i, \end{aligned}$$

For example, if $n = 5$, $k = 30$,

$$b = b_4 b_3 b_3 b_1 b_2 b_2 b_4 b_4 b_1 b_2 b_3 b_3 b_2 b_1 b_1 b_2 b_3 b_3 b_2 b_1 b_4 b_5 b_5 b_1 b_1 b_1 b_2 b_2 b_4,$$

and $i = 1$, then the parentheses in the table

$$\begin{array}{cccccccccccccccccccc}
& & & (&) & -1 & & & (&) & & & -1 & (& (&) & &) & +1 \\
0 & 0 & 0 & +1 & -1 & -1 & 0 & 0 & +1 & -1 & 0 & 0 & -1 & +1 & +1 & -1 & 0 & 0 & -1 & +1 \\
b_4 & b_3 & b_3 & b_1 & b_2 & b_2 & b_4 & b_4 & b_1 & b_2 & b_3 & b_3 & b_2 & b_1 & b_1 & b_2 & b_3 & b_3 & b_2 & b_1
\end{array}$$

$$\begin{array}{cccccccc}
& & & +1 & +1 & (& (&) &) & 0 \\
& & 0 & 0 & 0 & +1 & +1 & +1 & +1 & -1 & -1 & 0 \\
& & b_4 & b_5 & b_5 & b_1 & b_1 & b_1 & b_1 & b_2 & b_2 & b_4
\end{array}$$

indicate the $(+1, -1)$ pairings and the numbers in the top row indicate the resulting sequence of -1 s and $+1$ s. Then

$$\begin{aligned}
\tilde{e}_1(b) &= b_4 b_3 b_3 b_1 b_2 b_2 b_4 b_4 b_1 b_2 b_3 b_3 b_2 b_1 b_1 b_2 b_3 b_3 b_2 b_4 b_5 b_5 b_1 b_1 b_1 b_1 b_2 b_2 b_4, & \text{and} \\
\tilde{f}_1(b) &= b_4 b_3 b_3 b_1 b_2 b_2 b_4 b_4 b_1 b_2 b_3 b_3 b_1 b_1 b_1 b_2 b_3 b_3 b_2 b_1 b_4 b_5 b_5 b_1 b_1 b_1 b_1 b_2 b_2 b_4.
\end{aligned}$$

If λ is a partition of k define an imbedding

$$\begin{aligned}
B(\lambda) &\longrightarrow B_n^{\otimes k} \\
p &\longmapsto b_{i_1} b_{i_2} \cdots b_{i_k}
\end{aligned}$$

where the entries $i_1 i_2 \cdots i_k$ are the entries of p read in Arabic reading order. The action of \tilde{e}_i and \tilde{f}_i preserves the image of $B(\lambda)$ in $B_n^{\otimes k}$ and so the set $B(\lambda)$ can be viewed as a subcrystal.

If B is a normal crystal and $b \in B$ the i -string of b is the set

$$\tilde{f}_i^{\varphi_i(b)} b \xleftrightarrow{i} \cdots \xleftrightarrow{i} \tilde{f}_i^2 b \xleftrightarrow{i} \tilde{f}_i b \xleftrightarrow{i} b \xleftrightarrow{i} \tilde{e}_i b \xleftrightarrow{i} \tilde{e}_i^2 b \xleftrightarrow{i} \cdots \xleftrightarrow{i} \tilde{e}_i^{\varepsilon_i(b)} b,$$

and the extra condition for B to be a normal crystal is equivalent to $\langle \text{wt}(\tilde{e}_i^{\varepsilon_i(b)} b), \alpha_i^\vee \rangle = -\langle \text{wt}(\tilde{f}_i^{\varphi_i(b)} b), \alpha_i^\vee \rangle$ so that every i string in a normal crystal B is a model for a finite dimensional \mathfrak{sl}_2 -module.

If B is a normal crystal define a bijection $s_i: B \rightarrow B$ by

$$s_i b = \begin{cases} \tilde{f}_i^{\langle \text{wt}(b), \alpha_i^\vee \rangle} b, & \text{if } \langle \text{wt}(b), \alpha_i^\vee \rangle \geq 0, \\ \tilde{e}_i^{-\langle \text{wt}(b), \alpha_i^\vee \rangle} b, & \text{if } \langle \text{wt}(b), \alpha_i^\vee \rangle \leq 0, \end{cases} \quad \text{so that} \quad \text{wt}(s_i b) = s_i \text{wt}(b), \quad \text{for all } b \in B.$$

The map s_i flips each i -string in B .

Proposition 2.2. [Kashiwara, Duke **73** (1994), 383-413] *Let B be a normal crystal. The maps $s_i: B \rightarrow B$ $i \in I$, define an action of W on B .*

Proof. ■

Corollary 2.3. *Let B be a crystal. Then, for all $\mu \in P$ and $w \in W$, $\text{Card}(B_\mu) = \text{Card}(B_{w\mu})$.*

Theorem 2.4. *Let B be a subcrystal of \vec{B} such that B_μ is finite for all $\mu \in P$. Then*

$$\sum_{p \in B} e^{\text{wt}(p)} = \sum_{\substack{b \in B \\ b \subseteq C - \rho}} s_{\text{wt}(b)},$$

where s_λ denotes the Weyl character corresponding to $\lambda \in P^+$.

Proof. Let χ^B be the sum on the left hand side. Then $\chi^B \in \mathbb{Z}[P]^W$ since the action of W on B defined in (???) satisfies $\text{wt}(wp) = w\text{wt}(p)$ for $w \in W, p \in B$. Thus

$$\begin{aligned} \sum_{p \in B} e^{\text{wt}(p)} &= \frac{1}{a_\rho} \chi^B a_\rho = \frac{1}{a_\rho} \chi^B \epsilon(e^\rho) = \frac{1}{a_\rho} \epsilon(\chi^B e^\rho) \\ &= \frac{1}{a_\rho} \epsilon \left(\sum_{p \in B} e^p e^\rho \right) = \frac{1}{a_\rho} \sum_{p \in B} a_{\text{wt}(p)+\rho} = \sum_{p \in B} s_{\text{wt}(p)+\rho}. \end{aligned}$$

Define a bijection

$$\tilde{B} \rightarrow B \quad \text{such that} \quad s_{\text{wt}(\tilde{p})} = -s_{\text{wt}(p)},$$

for all $p \in B$ that are not highest weight.

Let $p \in B$. If p is not highest weight then there is an $i, 1 \leq i \leq n$, such that the last time p leaves the region $C - \rho$ it leaves it by crossing the wall H_{α_i} . We want

$$s_i \circ \text{wt}(\tilde{p}) = \text{wt}(p).$$

Since

$$s_i \circ \text{wt}(p) = s_i(\text{wt}(p) + \rho) - \rho = \text{wt}(p) - \langle \text{wt}(p), \alpha_i^\vee \rangle \alpha_i + \rho - \alpha_i - \rho = \text{wt}(p) - \langle \text{wt}(p) + \rho, \alpha_i^\vee \rangle \alpha_i,$$

defining

$$\tilde{p} = \tilde{f}_i^{\langle \text{wt}(p) + \rho, \alpha_i^\vee \rangle} p,$$

should do what we want. ?????? ■

Corollary 2.5. *Let $\lambda \in P^+$. Then*

$$s_\lambda = \sum_{p \in B(\lambda)} e^{\text{wt}(p)},$$

so that $s_\lambda = \sum_{\mu} K_{\lambda\mu} m_\mu$, where $K_{\lambda\mu} = \text{Card}(B(\lambda)_\mu)$.

Cauchy kernels

For a partition $\lambda = (1^{m_1} 2^{m_2} \dots)$ of k define

$$z_\lambda = 1^{m_1} m_1! 2^{m_2} m_2! \dots \quad \text{so that} \quad \frac{n!}{z_\lambda} = \text{Card}(\{w \in S_k \mid w \text{ has cycle type } \lambda\}) \quad (2.6)$$

is the size of the conjugacy class indexed by λ in the symmetric group S_k .

Proposition 2.7. *Let $x^\gamma = x_1^{\gamma_1} \dots x_n^{\gamma_n}$ for a sequence for a sequence $\gamma = (\gamma_1, \dots, \gamma_n) \in \mathbb{Z}_{\geq 0}^n$.*

$$\prod_{i,j} \frac{1}{1 - x_i y_j} = \sum_{\lambda} h_\lambda(x) m_\lambda(y) = \sum_{\lambda} \frac{p_\lambda(x) p_\lambda(y)}{z_\lambda} = \sum_{\nu, \gamma} a_{\nu\gamma} x^\nu y^\gamma = \sum_{\lambda} s_\lambda(x) s_\lambda(y),$$

where $a_{\nu\gamma}$ is the set of matrices with entries in $\mathbb{Z}_{\geq 0}$ with row sums ν and column sums γ .

Proof. (a)

$$\begin{aligned} \prod_j \prod_i \frac{1}{1 - x_i y_j} &= \prod_j \left(\sum_{k_j \in \mathbb{Z}_{\geq 0}} h_{j k_j}(x) y_j^{k_j} \right) \\ &= \sum_{k_1, k_2, \dots} (h_{k_1}(x) h_{k_2}(x) \cdots) (y_1^{k_1} y_2^{k_2} \cdots) = \sum_{\lambda} h_{\lambda}(x) m_{\lambda}(y). \end{aligned}$$

(b) Recalling that

$$\ln(1 - x_i y_j) = \sum_{k \geq 1} \frac{x_i^k y_j^k}{k} \quad \text{since} \quad \ln(1 - t) = \int \frac{1}{1 - t} dt = \int (1 + t + t^2 + \cdots) dt,$$

we have

$$\begin{aligned} \prod_{i,j} \frac{1}{1 - x_i y_j} &= \exp \ln \left(\prod_{i,j} \frac{1}{1 - x_i y_j} \right) = \exp \left(\sum_{i,j} \ln(1 - x_i y_j) \right) = \exp \left(\sum_k \sum_{i,j} \frac{x_i^k y_j^k}{k} \right) \\ &= \exp \left(\sum_k \frac{p_k(x) p_k(y)}{k} \right) = \prod_k \exp \left(\frac{p_k(x) p_k(y)}{k} \right) = \prod_k \sum_{m_k \geq 0} \left(\frac{p_k^{m_k}(x) p_k^{m_k}(y)}{k^{m_k} m_k!} \right) \\ &= \sum_{m_1, m_2, \dots} \left(\frac{p_1^{m_1}(x) p_2^{m_2}(x) \cdots p_1^{m_1}(y) p_2^{m_2}(y) \cdots}{1^{m_1} m_1! 2^{m_2} m_2! \cdots} \right) = \sum_{\lambda} \frac{p_{\lambda}(x) p_{\lambda}(y)}{z_{\lambda}} \end{aligned}$$

(c) Let A be the set of matrices with rows and columns indexed by $\mathbb{Z}_{> 0}$ and with entries from $\mathbb{Z}_{\geq 0}$. Then

$$\prod_{i,j} \frac{1}{1 - x_i y_j} = \left(\sum_{a_{11} \in \mathbb{Z}_{\geq 0}} (x_1 y_1)^{a_{11}} \right) \left(\sum_{a_{12} \in \mathbb{Z}_{\geq 0}} (x_1 y_2)^{a_{12}} \right) \cdots = \sum_{a \in A} \prod_{i,j} (x_i y_j)^{a_{ij}} = \sum_{\mu, \gamma} a_{\nu\gamma} x^{\nu} y^{\gamma}.$$

(d) Let $B = \bigsqcup_{\lambda} B(\lambda)$ be the set of column strict tableaux.

$$\sum_{\lambda} s_{\lambda}(x) s_{\lambda}(y) = \sum_{\lambda} \sum_{P \in B(\lambda)} \sum_{Q \in B(\lambda)} x^{\text{wt}(P)} y^{\text{wt}(Q)} = \sum_{\substack{P, Q \in B \\ \text{sh}(P) = \text{sh}(Q)}} x^{\text{wt}(P)} y^{\text{wt}(Q)}.$$

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NOTES AND REFERENCES

- [Mac] I.G. MACDONALD, *Symmetric functions and Hall polynomials*, Second edition, Oxford University Press, 1995.